UNITED STATES COURT OF APPEALS
FOR THE NINTH CIRCUIT
125 SOUTH GRAND AVENUE
P.O. BOX 91510
PASADENA, CALIFORNIA 91109-1510

CHAMBERS OF
A. WALLACE TASHIMA
UNITED STATES CIRCUIT JUDGE

TEL: (626) 229-7373
[redacted]

September 11, 2009

Committee on Financial Disclosure
c/o Administrative Office of U.S. Courts
One Columbus Circle, NE, Suite 2-301
Washington, DC 20544

Re: Amendment to 2008 Financial Disclosure Report

Dear Committee Members:

This letter is in response to the Committee's letter to me, dated August 11, 2009.

The asset listed in Part VII, page 5, line 27, "Lamb & Kawakami," is a short term note, which was received as part of a non-reportable transaction – the sale of an interest in [redacted] home to [redacted] The note has since been paid off. I trust that this letter is responsive to the Committee's inquiry.

I hereby also enclose three copies of this letter amendment.

Very truly yours,

[redacted]

encl.

AO 10 Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
TASHIMA, A. WALLACE	U.S.C.A. - Ninth Circuit	07/15/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2008 to 12/31/2008
7. Chambers or Office Address 125 South Grand Avenue Pasadena, CA 91105-1652	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer________ Date________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3		

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	07/15/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 3/14/08	Thomson/West, Eagan, MN - Royalties	$23,878.00
2. 9/17/08	Thomson/West, Eagan, MN - Royalties	$54,292.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Cornell Law School	2/15/08 - 2/17/08	Ithaca, New York	Moot Court	Transportation, Hotel & Meals
2. Morrison & Foerster	5/2/08 - 5/4/08	San Diego, CA	Speaker	Transportation, Hotel & Meals
3.				
4.				
5.				

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	07/15/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

[✓] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Washington Mutual, Los Angeles, CA	Mortgage on investment property [redacted]	O
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	07/15/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dreyfus Liquid Asset Fund	B	Dividend	L	T					
2. Rowe Price Int'l Bond Fund	A	Dividend	J	T					
3. John Hancock Money Market Fund	B	Dividend	M	T					
4. Rowe Price Equity Income Fund	A	Dividend	K	T					
5. W.L. Morgan Growth Fund (Vanguard)	A	Div. & C.G	K	T					
6. Vanguard Windsor II Fund	A	Div. & C.G	K	T					
7. K.I.T. & Company, Inc. (common stock & notes)	A	None	K	U	Closed	1/3	K		N/A
8. Citibank [redacted]	A	Interest	K	T					
9. Honeywell Intl. Common	A	Dividend	J	T					
10. Chas. Schwab & Co. Brokerage Acct. Mone y Market	A	Dividend	J	T					
11. Hendricks Villa, Inc., common	F	Int./Div.	O	U					
12. Citibank -Checking (2)	A	Interest	K	T					
13. Citibank - Savings	A	Interest	L	T					
14. Janus Research Fund	A	Dividend	K	T					
15. Rental Prop. #2, L.A., CA Appraisal Date: 12/17/03	A	Rent	P1	Q					
16. Janus Orion Fund	A	Dividend	J	T					
17. Bank of America	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	07/15/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. JP Morgan Chase	A	Interest	J	T					
19. Hendricks Villa Inc., common [redacted], as Trustee)	G	Dividend	P1	W					
20. Promissory Note [redacted] as Trustee)	D	Interest	N	T					
21. Citibank [redacted] Trust		None	J	T					
22. Citibank [redacted] Trust (CYP)		None	J	T	Closed	Unk.	J		N/A
23. Citibank [redacted] Custodian [redacted]		None	J	T	Closed	Unk.	J		N/A
24. Citibank [redacted] Custodian [redacted]		None	J	T	Closed	Unk.	J		N/A
25. Citibank [redacted] Custodian [redacted]		None	J	T	Closed	Unk.	J		N/A
26. Golden State Scholarshare Trust [redacted] Benef.	A	Dividend	L	T					
27. Golden State Scholarshare Trust [redacted] Beneficiary	A	Dividend	L	T					
28. Golden State Scholarshare Trust [redacted] Beneficiary	A	Dividend	L	T					
29. Lamb & Kawakami	F	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	07/15/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

All of the assets listed in Part VII, lines 19-28, are held by [redacted] as trustee (or otherwise in a trust capacity) and I have no beneficial interest in said assets.

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	07/15/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544