AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
TASHIMA, A. WALLACE	U.S.C.A. - Ninth Circuit	5/16/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. Circuit Judge - Senior	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2010 to 12/31/2010
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
125 South Grand Avenue Pasadena, CA 91105-1652	Reviewing Officer________ Date________	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	3/23/10	Thomson/Reuters, Eagan, MN - Royalties	$23,742.00
2.	9/20/10	Thomson/Reuters, Eagan, MN - Royalties	$53,016.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	5/16/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	JP Morgan Chase, NY (successor to Washington Mutual)	Mortgage on investment property (J)	O
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
TASHIMA, A. WALLACE	5/16/2011

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Dreyfus Liquid Asset Fund	B	Dividend	K	T					
2.	Rowe Price Int'l Bond Fund	A	Dividend	J	T					
3.	John Hancock Money Market Fund	B	Dividend	K	T					
4.	Rowe Price Equity Income Fund	A	Dividend	K	T					
5.	W.L. Morgan Growth Fund (Vanguard)	A	Div. & C.G	K	T					
6.	Vanguard Windsor II Fund	A	Div. & C.G	K	T					
7.	Citibank[redacted]	A	Interest	K	T					
8.	Honeywell Intl. Common	A	Dividend	K	T					
9.	Chas. Schwab & Co. Brokerage Acct. Money Market	A	Dividend	J	T					
10.	Hendricks Villa, Inc., common	C	Int./Div.	O	U					
11.	Citibank -Checking (2)	A	Interest	J	T					
12.	Citibank - Savings	A	Interest	J	T					
13.	Janus Research Fund	A	Dividend	K	T					
14.	Rental Prop. #2, L.A., CA Appraisal Date: 12/17/03	A	Rent	P1	Q					
15.	Janus Global Select Fund	A	Dividend	J	T					
16.	Bank of America	A	Interest	K	T					
17.	JP Morgan Chase	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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TASHIMA, A. WALLACE	5/16/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Promissory Note (S, as Trustee)	D	Interest	N	T					
19. Citibank (S) Children's Trust		None	J	T					
20. Golden State Scholarshare Trust [redacted] Gabriela Perez, Benef.	A	Dividend	L	T					
21. Golden State Scholarshare Trust [redacted] Joji Perez, Beneficiary	A	Dividend	L	T					
22. Golden State Scholarshare Trust [redacted] Jason Perez, Beneficiary	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All of the assets listed in Part VII, lines 18-23, are held by [redacted] trustee (or otherwise in a trust capacit) and I have no beneficial interest in said assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ A. WALLACE TASHIMA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES COURT OF APPEALS
FOR THE NINTH CIRCUIT
125 SOUTH GRAND AVENUE
P.O. BOX 91510
PASADENA, CALIFORNIA 91109-1510

CHAMBERS OF
A. WALLACE TASHIMA
UNITED STATES CIRCUIT JUDGE

TEL: (626) 229-7373
[redacted]

August 30, 2011

Committee on Financial Disclosure
c/o Administrative Office of U.S. Courts
One Columbus Circle, NE, Suite 2-301
Washington, DC 20544

Re: Amendment to 2010 Financial Disclosure Report

Dear Committee Members:

In response to your letter to me of even date, I hereby amend my 2010 Financial Disclosure Report, as follows:

1. In Part VII, page 5, Column A, add "Hendricks Villa Inc., common [redacted] as Trustee) (Y)," at line 23.

2. In Part VIII, add the following explanation:

   The trust, of which [redacted] was Trustee, listed in Part VII, line 23, has matured and its corpus (*i.e.*, Hendricks Villa Inc. common) has been distributed to the beneficiaries named therein.

I also enclose three copies of this letter amendment.

Very truly yours,

[redacted]

A. Wallace Tashima

encl.