AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Shubb, William B.	U. S. District Court	04/27/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior U. S. District Court Judge	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2010 to 12/31/2010
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
501 I Street [redacted] Sacramento, CA 95814	Reviewing Officer______________ Date__________	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor	University of California, Davis, School of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Shubb, William B.	04/27/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2010	Regents of the University of California	$4,238.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Shubb, William B.	04/27/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Shubb, William B.	04/27/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS									
2. Bank America (Checking)	A	Interest	K	T					
3. Bank America (Maximizer)	A	Interest	J	T					
4. Ist Community Credit Union	A	Interest	J	T					
5.									
6. ANNUITIES									
7. American Skandia		None	M	T					
8. Venture Annuity		None	J	T					
9.									
10. LIMITED PARTNERSHIPS									
11. Municipal Mortg. & Equity	B	Dividend	K	W					
12.									
13. RAYMOND JAMES IRA									
14. Government Strips		None	J	T					
15. Capstead Mortgage	A	Dividend			Sold	12/20/10	J	A	
16. RJ Bank Dep.	A	Dividend	J	T					
17. Oppenheimer Senior Fltg Rate Fund	A	Dividend	J	T	Buy	03/31/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.	RAYMOND JAMES ACCOUNT #1[redacted]									
19.	Eagle Tax Free Money Fund, fmrly Eagle Muni Cash Trust	A	Dividend	J	T					
20.	WF Advantage Strategic Muni Bond Fund, fmrly Evergreen	A	Dividend	J	T					
21.	Franklin CA High Yield Muni	C	Dividend	L	T					
22.	Seligman CA Hi Yld Muni	C	Dividend	L	T					
23.	Invesco Van Kampen Interm Muni Fund	B	Dividend	K	T	Sold (part)	01/14/10	K		
24.										
25.	RAYMOND JAMES ACCOUNT #2 [redacted]									
26.	Eagle Tax Free Money Fund, fmrly known as EagleCash TrusMuni	A	Dividend	J	T					
27.	Alliance Muni Income Ins'd CA	B	Dividend	K	T					
28.	Franklin Income Fund	C	Dividend	L	T					
29.	Franklin Strategic Income Fund	C	Dividend	L	T					
30.	Highland Capital Floating Rate	A	Dividend	K	T					
31.	Oppenheimer Senior Fltg Rate	D	Dividend	M	T	Buy (add'l)	06/08/10	L		
32.	Invesco Van Kampen Equity Income Fund, fmrly Van Kampen Eqty	A	Dividend	K	T					
33.	Citizens Bank 2.55% 6/01/11 CD	A	Dividend			Redeemed	03/01/10	L		
34.	Preferred Bank 1.15% 5/28/10	A	Dividend			Redeemed	05/28/10	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.									
36. WACHOVIA IRA									
37. Equally Weighted S&P Fund	A	Dividend	J	T	Sold (part)	12/06/10	J	A	
38. New Perspective Fund	A	Dividend	J	T	Buy	01/29/10	J		
39.									
40. WACHOVIA [redacted]									
41. Bank sweep acct.	A	Dividend	J	T	Sold (part)	01/29/10	J		
42. Boeing	A	Dividend	K	T					
43. Chevron	A	Dividend	K	T					
44. Coca Cola	A	Dividend	K	T					
45. General Electric	A	Dividend	K	T					
46. Intel	A	Dividend	K	T					
47. Proctor & Gamble	A	Dividend	K	T					
48. Investment Company of America	A	Dividend	K	T	Buy	01/29/10	K		
49. New Perspective Fund	A	Dividend	K	T	Buy	12/07/10	K		
50.									
51. IRA [redacted]									

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52. Bank Deposit Sweep, frmrly knwn as Wachovia Bank Dep. Sweep	A	Dividend	J	T					
53. Dividend Growth Fund	A	Dividend	J	T					
54. Apache Corp.	A	Dividend	K	T					
55. Sempra Energy	A	Dividend	J	T					
56.									
57. IRA/ROLLOVER of HR-10									
58. Bank Deposit Sweep, frmrly knwn as Wachovia Bank Dep. Sweep	A	Dividend	J	T					
59. Franklin Income Fund	D	Dividend	L	T	Buy (add'l)	01/29/10	J		
60.					Buy (add'l)	03/29/10	K		
61. Equally Weighted S&P 500	B	Dividend	M	T					
62. Dividend Growth Fund	B	Dividend	M	T					
63. JPM International Value Fund	A	Dividend	K	T					
64. Abbott Labs	A	Dividend	K	T					
65. A T & T	B	Dividend	K	T					
66. Boeing	A	Dividend	K	T					
67. Bristol Meyers	A	Dividend	K	T					
68. Catepillar	B	Dividend	L	T					

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69. Chevron	B	Dividend	L	T					
70. Cisco	A	Dividend	J	T					
71. Coca Cola	A	Dividend	K	T					
72. Comcast	A	Dividend	J	T					
73. Conoco	B	Dividend	K	T					
74. Du Pont	A	Dividend	K	T					
75. General Electric	A	Dividend	K	T					
76. Hewlett Packard	A	Dividend	K	T					
77. Intel	B	Dividend	K	T					
78. IBM	B	Dividend	L	T					
79. Johnson & Johnson	B	Dividend	K	T					
80. Microsoft	A	Dividend	K	T					
81. Proctor & Gamble	A	Dividend	J	T					
82. Sempra Energy	A	Dividend	K	T					
83. Target	A	Dividend	J	T					
84. Walt Disney	A	Dividend	K	T					
85. Yum Brands	A	Dividend	J	T					

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86. Zimmer Holdings	A	Dividend	J	T					
87. BofA 1.10% 4/01/10	A	Int./Div.			Matured	04/01/10	K		
88. CORTS - BMY Squibb 8-1-97 (KNR)	B	Dividend	J	T					
89. Delphi Finc'l 7.376% Pfd 5/1/67	A	Dividend	J	T	Buy	10/25/10	J		
90. GE Capital Sr. Notes 2/18/33 (GED)	B	Dividend	K	T					
91. GE Capital PINES 6/28/32 (GEA)	B	Dividend	K	T					
92. GECC 6.1% PINES 11/15/32 (GEC)	B	Dividend	K	T					
93. Georgia Power Sr. Notes 1/15/45 (GAH)	A	Dividend			Redeemed	01/20/10	K	A	
94. Mississipi Pwr 5.625% Pfd 4/15/67	A	Dividend	J	T	Buy	10/25/10	J		
95. Morgan Stanley 6.45% Pfd 4/15/67 (MSK)	B	Dividend	K	T					
96. SATURNS GE 6% Pfd 3/15/32 (DKC)	B	Dividend	K	T					
97. Wachovia 6.375% Pfd 6/1/67	B	Dividend	K	T					
98. Wells Fargo Capital 6.25% 6/15/67 (FWF)	B	Dividend	K	T					
99.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 23 2010 report "Invesco Van Kampen Interm Muni Fund" formerly known as Van Kampen Hi Yield Muni

Line 32 2010 Report "Invesco Van Kampen Equity Income Fund" formerly know as Van Kampen Equity Income Fund

Line 77 in 2009 report "Alacatel-Lucent" removed, value is below $1,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William B. Shubb**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544