AO-10 (WP) Rev. 1/2009

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978, (5 U.S.C. app. §§101-111)

| 1. Person Reporting (Last name, first, middle initial)  | 2. Court or Organization  | 3. Date of Report  |
|---|---|--|
| LEE, WILLIAM C.   | U. S. DISTRICT COURT<br>NORTHERN DISTRICT OF INDIANA  | April 27, 2009   |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) | 5a. Report Type (check appropriate type)  | 6. Reporting Period                                      |
| U. S. DISTRICT JUDGE - Senior status eff. 2/3/03  | Nomination, Date  | January 1, 2008 through                                  |
|   | Initial _X Annual Final | December 31, 2008  |
| 7. Chambers or Office Address   | Sb Amended Report      On the basis of the information contained in this pertaining thereto, it is, in my opinion, in complian  | s Report and any modifications                           |
| 2100 E. Ross Adair Federal Building   | regulations.  |  |
| Fort Wayne, IN 46802  | Reviewing Officer   | Date   |
| ♣ 1 1 2 2 3 4 4 5 5 6 5 6 6 6 6 6 6 6 6 6 6 6 6 6 6   | ns accompanying this form must be followed. Com<br>where you have no reportable information. Sign c   | 요즘 네트리트 그 그래요? 이른 나는 사람들은 그리고 있다. 그 전쟁이 나를 하는 하는 것이 되었다. |
| I. POSITIONS. (Reporting individual only; see pp. 9-13  | of Instructions.)   |  |
| NONE (No reportable positions.)   |   |  |
| <u>POSITION</u>   | NAME OF ORGANIZA  | TION/ENTITY  |
| 1. Chairman   | Ft. Wayne Community School Scholars   | ship Committee   |
| 2. Chairman   | Indiana Pro Bono Commission   |  |
| 3. Board Member   | Allen County Fort Wayne Historical So   |  |
| II. AGREEMENTS. (Reporting individual only; see pp  | o. 14-16 of Instructions.)  | RE<br>RE<br>SCLOS  |
| X NONE (No reportable agreements.)  |   | IN SO  |
| DATE  | PARTIES AND TERMS   | MAY - 1 P 3 FINANCIAL LOSURE OFFI                        |
| 1.  |   | Fi w M   |
| 2.  |   | m 28   |
| III. NON-INVESTMENT INCOME. (Repor  | rting individual and spouse; see pp. 17-24 of I   | Instructions.)   |
| A. Filer's Non-Investment Income  |   |  |
| NONE (No reportable non-investment income.)   |   |  |
| DATE SOURCE A   | AND TYPE  | INCOME   |
| 1. 2008 West Publishing Co. Book royals   | \$ 38,467.31  |  |
| 2. 2008 Wilson Foundation - Committee Attendance Fees   |   |  |
| 3.  |   | \$   |
| B. Spouse's Non-Investment Income - If you were ma amount not required except for honoraria).                 | nrried during any portion of the reporting year, pleas  | se complete this section (dollar                         |
| X NONE (No reportable non-investment income.)   | •   |  |
| 1.  |   |  |
|   |   |  |

## FINANCIAL DISCLOSURE REPORT

Name of Person Reporting WILLIAM C. LEE, JUDGE

Date of Report April 27, 2009

| NONE                      | (No such reportab    | le reimbursements.)   |   |                         |  |  |
|---------------------------|----------------------|-----------------------|---|-------------------------|--|--|
| SOURCE                    | <u>DATES</u>         | <b>LOCATION</b>       | <u>PURPOSE</u>                          | ITEMS PROVIDED OR PAID  |  |  |
| 1. IN Pro Bono Commission | 1/10/08              | Indianapolis, IN      | Commission Meeting                      | transportation, parking |  |  |
| 2. IN Pro Bono Commission | 4/30/08              | Indianapolis, IN      | Commission Meeting                      | transportation, parking |  |  |
| 3. IN Pro Bono Commission | 5/14/08              | Indianapolis, IN      | Commission Meeting                      | transportation, parking |  |  |
| 4.                        |                      |                       |   |                         |  |  |
| 5.                        |                      |                       |   |                         |  |  |
| 6.                        |                      |                       |   |                         |  |  |
| 7.                        |                      | _                     |   |                         |  |  |
| 8                         |                      |                       |   |                         |  |  |
| 9                         |                      |                       |   |                         |  |  |
| V. GIFTS. (Inc            | cludes those to spot | use and dependent chi | ildren. See pp. 28-31 of Instructions., | )                       |  |  |
| X NONE (No                | such reportable g    | ifts.)                |   |                         |  |  |
| <u>S</u>                  | <u>OURCE</u>         |                       | DESCRIPTION                             | <u>VALUE</u>            |  |  |
| 1.                        |                      |                       |   | <b>\$</b>               |  |  |
| 2.                        |                      |                       |   | \$                      |  |  |
| VI. LIABILIT              | IES. (Includes th    | ose of spouse and dep | pendent children See pp. 32-33 of Ins   | structions.)            |  |  |
| NONE (No                  | reportable liabiliti | es.)                  |   |                         |  |  |
|                           | CREDITOR             |                       | DESCRIPTION                             | VALUE CODE              |  |  |
| <u>C</u>                  |                      |                       | Mortgage on Steuben Co., IN farm        | M                       |  |  |
| 1. Tower Bank             |                      |                       |   |                         |  |  |
|                           |                      |                       |   |                         |  |  |
| 1. Tower Bank             |                      |                       |   |                         |  |  |
| 1. Tower Bank 2.          |                      |                       |   |                         |  |  |

#### FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WILLIAM C. LEE, JUDGE

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# VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of

spouse and dependent children. See pp. 34-60 of Instructions.)

| A. Description of Assets (including trust assets)          | B. C Income Gross value during at end of reporting period |                                 | D.  Transactions during reporting period |                                   |  |                               |                                |                               |   |
|--|---|---------------------------------|--|-----------------------------------|--|-------------------------------|--------------------------------|-------------------------------|---|
|  | (1)   | (2)                             | (1)                                      | (2)                               | (1)  |                               | If no                          | t exempt                      | from disclosure                                       |
| Place "(X)" after each asset exempt from prior disclosure. | Amt<br>Codel<br>(A-H)                                     | Type (e.g., div., rent or int.) | Value<br>Code2<br>(J-P)                  | Value<br>Method<br>Code3<br>(Q-W) | Type (e.g., buy, sell, merger, redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Codel<br>(A-H) | (5) Identity of buyer/seller (if private transaction) |
| NONE (No reportable income, assets, or transactions.)      |   |                                 |  |                                   |  |                               |                                |                               |   |
| 1 Steuben Co., IN, farm                                    | Е   | rent                            | 0  | W                                 |  |                               |                                |                               |   |
| 2 Tower Bank   | В   | int                             | K  | Т                                 | Closed<br>Account                          | 12-05-<br>07                  | K                              | Α                             | Closed CD Account                                     |
| 3 Tower Bank   | A   | int                             | J  | Т                                 |  |                               |                                |                               |   |
| 4 Keough Plan - money market                               | A   | int                             | K  | Т                                 | Closed<br>Account                          | 05-27                         | K                              | Α                             | Closed Account  |
| 5 Audible - stock  | A   | div                             | J  | Т                                 | Sold                                       | 03-18                         | J                              | A<br>(loss)                   |   |
| 6 Lumera - Stock   | A   | div                             | J  | Т                                 | Sold                                       | 05-27                         | J                              | D<br>(loss)                   |   |
| 7 Sirius   | Α   | div                             | J  | Т                                 | Sold                                       | 05-23                         | J                              | E<br>(loss)                   |   |
| 8  |   | •                               | ·  |                                   |  |                               |                                |                               |   |
| 9  |   |                                 |  |                                   |  |                               |                                |                               |   |
| 10   |   |                                 |  |                                   |  |                               |                                |                               |   |
| 11   |   |                                 |  |                                   |  |                               |                                |                               |   |
| 12   |   |                                 |  |                                   |  |                               |                                |                               |   |
| 13   |   |                                 |  |                                   |  |                               |                                |                               |   |
| 14   |   | · ·                             |  |                                   |  |                               |                                |                               |   |
| 15   |   |                                 |  |                                   |  |                               |                                |                               |   |
| 16   |   |                                 |  |                                   |  |                               |                                | .                             |   |
| 17   |   |                                 |  |                                   |  |                               |                                |                               |   |

| 1 Income/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500        | C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 |
|---|--|
| (See Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000  | H1=\$1,000,001-\$5,000,000 H2=More than \$5,000,000      |
| 2 Value Codes: J=\$15,000 or less K=\$15,001-\$50,000           | L=\$50,001-\$100,000 M=\$100,001-\$250,000               |
| (See Col. C1, D3) N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 |  |
| P3=\$25,000,001-\$50,000,000                                    | P4=More than \$50,000,000                                |

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### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Part VII - Investments and Trusts - Line 2 - Tower Bank CD was closed on 12-05-07 and inadvertently was not reported on 2007 report.

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signa

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WIL

SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

MAY BE

#### FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544