

AO 10  
Rev. 1/2008

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nickerson, William M	2. Court or Organization Maryland	3. Date of Report 04/16/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior St	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 101 W. Lombard Street Baltimore MD 21201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/6/93	State of Md. Judicial Pension Plan;
2.	
3.	

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**FINANCIAL DISCLOSURE REPORT**

Page 2 of 12

Name of Person Reporting

Nickerson, William M

Date of Report

04/16/2008

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. monthly	State of Md. Judicial Pension Plan	\$ 27,821.10
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 12

Name of Person Reporting

Nickerson, William M

Date of Report

04/16/2008

**V. GIFTS.** (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Taylor, Bean & Whitaker Mgt. Corp.	Deed of Trust/ mortgage encumbering [REDACTED], Towson, MD	N
2.			
3.			
4.			
5.			

# FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Nickerson, William M

Date of Report

04/16/2008

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Alltel Corp. cmn	C	Dividend	M	T	cash merger	11/16	M	G	
2. Wyeth cmn	A	Dividend	K	T					
3. Bristol Myers Squibb cmn	B	Dividend	K	T					
4. Chevron Texaco Corp. formerly Chevron Corp. cmn	B	Dividend	K	T					
5. Coca Cola Co. cmn	B	Dividend	L	T					
6. Colgate Palmolive Co. cmn	B	Dividend	L	T					
7. Diamond Offshore Drilling Co. cmn	A	Dividend	K	T					
8. DuPont, E. I. cmn	A	Dividend	J	T					
9. Equifax Inc. cmn	A	Dividend	K	T					
10. General Electric Co. cmn	B	Dividend	L	T					
11. General Mills Inc. cmn	B	Dividend	K	T					
12. Johnson & Johnson cmn	B	Dividend	L	T					
13. Merck & Co., Inc. cmn	A	Dividend	K	T					
14. Motorola, Inc. cmn	A	Dividend			sell	10/23	J	D	
15. Pitney Bowes, Inc. cmn	A	Dividend	K	T					
16. Procter & Gamble Co. cmn	A	Dividend	J	T					
17. Mutual Shares Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

# FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. Constellation Energy cmn	A	Dividend	K	T					
19. Cheesecake Factory Inc. cmn		None	K	T					
20. Jones Apparel Grp cmn	A	Dividend	J	T					
21. T.R.P. Md. Tax Free Bond	C	Dividend	L	T					
22. T.R.P. Tax Exempt M/M	A	Dividend	M	T					
23. J P Morgan - Trust	A	Distribution	P1	T					
24. - Chase Market Rate Acct									
25. - Verizon Communications									
26. - Chevron Texaco Corp									
27. - Dow Chemical									
28. - Gen Elect Co									
29. - Exxon Mobil Corp									
30. - J P Morgan Core Bond Sel Fid Fund									
31. - Altria Group, Inc.									
32. - American Electric Power Co, Inc.									
33. - Bank of America Corp New									
34. - Bristol Meyers Squibb Co									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
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# FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. - Citigroup Inc.									
36. - Consolidated Edison, Inc.									
37. - Dominion Resources Inc VA									
38. - Eastman Chemical Co									
39. - Scana Corp									
40. - Washington Mut Inc.									
41. - Bank of America 3.875% 1/15/08									
42. - DuPont Ei De Nemour 3.375% 11/15/07									
43. - FNMA 3.375% 12/15/08									
44. -- Merrill Lynch MTN 4.125% 1/15/09									
45. - Morgan Stanley 4.25% 5/15/10									
46. - US Treasury NT 2.375% 5/15/06					redeemed	8/15			
47. - Donnelley RR & Sons Co.									
48. - Duke Energy Hldg. Corp.									
49. - Lyondell Chemical Co.									
50. - Travelers Cos. Inc. (formerly known as St. Paul Travelers									
51. - Wachovia Corp. 2nd New									

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# FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. - Whirlpool Corp.									
53. - Glaxosmithkline PLC Sponsored ADR									
54. - Idearc, Inc. (spinoff Verizon Comm.)					spin off	11/20			
55. - Kraft Foods, Inc. (spinoff Altria Grp. Inc.)					spin off	4/6			
56. - Spectra Energy Corp. (spinoff Duke Energy)					spin off	1/4			
57. Dell, Inc. Cmn		None	J	T					
58. Exxon Mobil Corp cmn	A	Dividend	K	T					
59. Intel Corp cmn	A	Dividend	K	T					
60. PNC Finl. Svs. Grp. Inc. (formerly k/aMercantile Bkshrs) cmn	A	Dividend	K	T					
61. Microsoft Corp cmn	A	Dividend	J	T					
62. T. Rowe Price Inc. cmn	A	Dividend	K	T					
63. Zebra Tech cmn		None	K	T					
64. OCA, Inc. cmn (Y)		None			wash out	1/26			see VIII
65. Pfizer Inc cmn	A	Dividend	J	T	partial sale	10/23	K	D	
66. Wal-Mart Stores cmn	A	Dividend	J	T	partial sale	10/23	J	C	
67. Alcatel Lucent Spon ADR cmn	A	Dividend	J	T					
68. Fidelity Natl. Info. Svcs. Inc. cmn	A	Dividend	K	T					

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**FINANCIAL DISCLOSURE REPORT**

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69. Zimmer Hldgs. Inc. cmn		None	J	T					
70. Smucker J M Co cmn	A	Dividend	J	T					
71. Medcohealth Solutions, Inc. cmn		None	J	T					
72. W. P. Stewart Growth Fd., Inc.	E	Dividend	M	T					
73. Massachusetts Mutual Ins. Co. - whole life policy	C	Dividend	K	T					
74. Ameriprise Trust Co. ACF W. M. Nickerson IRA									
75. - AMEX Insd. M/M acct.	A	Dividend	J	T					
76. - Columbia Acorn Fd. - A	A	Dividend	L	T	partial sale	1/8	K	C	
77. - Riversource Diversified Bd. Fd. Cl A	B	Dividend	K	T					
78. - Riversource High Yield Bd. Fd. Cl A	B	Dividend	K	T	partial sale	1/25	J	A	
79. - Riversource Large Cap Equity Cl A		None			sell	1/08	K	D	
80. - Fidelity ADV Mid Cap Fd. Cl T		None	K	T	partial sale	1/05	J	A	
81. - Van Kampen Global Franchise Cl A	A	Dividend			sell	12/20	K	E	
82. - I Shares MSCI Emerging Mkts.	A	Dividend	J	T					
83. - I Shares Russell 1000 Value	B	Dividend	K	T					
84. - I Shares Russell 1000 Growth	A	Dividend	L	T	buy	1/16	J		
85. - I Shares S&P Europe 350 Index	A	Dividend	K	T					

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# FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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Nickerson, William M

Date of Report

04/16/2008

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86. - I Shares S&P Midcap400 Growth Index Fd. Barra	A	Dividend	K	T	partial sale	1/10	J	C	
87. - I Shares S&P Midcap 400 Barra-Value Index Fd.	A	Dividend	K	T	partial sale	1/10	J	C	
88. - I Shares S&P Smallcap 600 Index Fd.	A	Dividend	L	T	partial sale	1/10	K	C	
89. " " " "	A	Dividend	L	T	partial sale	12/19	J	C	
90. - Morgan Stanley Capital Trust V	A	Dividend	J	T					
91. - Fidelity Advisor New Insights - T Fd.		None	K	T	buy	1/24	J		
92. - RVS Diverse Equity Inc. - A		None	L	T	buy	1/8	L		
93. - MFS Intl. Diverse - A	A	Dividend	K	T	buy	1/11	K		
94. Riversource Fundamental Val - A Fd.	A	Dividend			sell	1/25	K	D	
95. Riversource Intl Sel Val-A Fund	A	Dividend	K	T	buy	1/23	J		
96. Columbia Mid Cap Value -A Fund	A	Dividend	K	T					
97. Columbia Small Cap Value A Fund		None	K	T					
98. Fidelity Advisor Mid Cap II-A Fd.		None	K	T					
99. Morgan Stanley Capital Trust V	A	Dividend	J	T					
100. Oppenheimer Developing Mkts. - A Fd.	A	Dividend	J	T					
101. Riversource Diversified Equity Inc. - A	A	Dividend	L	T	buy	1/23	K		
102. Riversource Ret. Adv. Plus Annuity	A	Int./Div.	L	U					

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# FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. Riversource Ret. Adv. Plus Annuity	A	Int./Div.	L	U					
104. Windstream Corp. cmn	A	Dividend	K	T					
105. [REDACTED] Baltimore, MD		None	M	R	buy	11/7	M		see VIII
106. Amish Naturals, Inc. - cmn		None	J	T	buy	12/28	K		

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**FINANCIAL DISCLOSURE REPORT**

Page 11 of 12

Name of Person Reporting	Date of Report
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

part VII, item 64, OCA, Inc. - reported on 1/26 by broker to have "no stockholder equity".

part VII, item 71, Medcohealth Solutions, Inc., reported on 2006 FDR erroneously as sold. The sale in 2006 was a partial sale.

part VII, item 105, [REDACTED] purchased for \$110,000 from prior owner, James A. Shaw, Jr. at private sale

# FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

A large black rectangular redaction covers the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544