

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bertelsman, William O.	2. Court or Organization KY-ED	3. Date of Report 05/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 35 West Fifth Street, Rm. 505 P. O. Box 1012 Covington, KY 41012-1012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Teacher (part-time)(one class)(non-compensated)	Salmon P. Chase College of Law, Northern Kentucky University, Highland Heights, KY
2. Owner	529 Trust Plans #1-#9 through Kentucky Education Savings Plan and Michigan Education Program
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
 2010 MAY 17 P 1:39
 DISCLOSURE OFFICE

copy

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

III. NON-INVESTMENT INCOME. *(Reporting in individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place '(X)' after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Victory Gradison Government Reserve	A	Dividend	J	T					
2. Dreyfus Municipal Bond Fund	A	Interest			Redeemed	5/18/09	K	A	
3. Dreyfus Intermediate Municipal Bond Fund	C	Interest			Redeemed	5/18/09	L	A	
4. Goldline (formerly Dreyfus Gold Fund)		None	L	T					
5. Legg Mason Europe Fund (stock)	A	Dividend	J	T					
6. U.S. Bank, Fort Thomas, KY	A	Interest	J	T					
7. Dreyfus Short Intermedidate Muncipal Bond Fund	A	Interest			Redeemed	5/18/09	L	A	
8. Dupree, Kentucky- Short/Med. Fund	A	Interest	M	T	Buy (add'l)	5/18/09	L		
9. Dupree, Kentucky - Tax Free Fund	B	Interest	N	T	Buy (add'l)	5/18/09	L		
10. Two Undeveloped Lots in Charlotte Co., Florida		None	J	T					No Value-Assessed @ \$25,000
11. Fifth Third Bank (Account)	A	Interest	K	T					
12. Fifth Third Bank, Fort Thomas, KY (Common Shares)	A	Dividend	J	T					
13. Victory Established Value Fund G	A	Dividend	K	T					
14. Dreyfus Growth & Income Fund	A	Dividend	J	T					
15. Bank of Kentucky (Account)	C	Interest	L	T					
16. Procter & Gamble - Common stock	A	Dividend	L	T					
17. Victory Small Company Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	R = Cost (Real Estate Only) V = Other	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market
3. Value Method Codes (See Column C7)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NCR Corp. (common stock)		None			Sold	6/3/09	J	A	
19. Pomeroy IT Solutions Res. (Common stock)		None			Sold	6/3/09	J	A	
20. BankAmerica Corp. (common stock)	A	Dividend	J	T					
21. Bank of Kentucky (Account)	C	Interest	M	T					
22. United States Savings Bonds (I)	B	Interest	L	T					
23. Conagra Foods, Inc.	A	Dividend			Sold	6/3/09	J	A	
24. Sherwin Williams	A	Dividend	K	T	Sold (part)	6/3/09	K	D	
25. Tyco International Ltd.	A	Dividend			Sold	6/3/09	J	A	
26. DWS Dreman High Return Fund	B	Dividend	J	T					
27. Russell County, KY Hospital	A	Interest			Redeemed	6/1/09	J	A	
28. American Express Co.	A	Dividend			Sold	6/3/09	J	A	
29. Kroger Co.	A	Dividend	J	T					
30. Harlan County, KY Health Care Facs Laurel Project	A	Interest	J	T					
31. Eastern KY Univ. Revs. Sys (Due 2/1/09)	A	Interest			Redeemed	6/11/09	J	A	
32. Barbourville, KY EDK Bldg Rev (Union College)	A	Interest			Redeemed	10/1/09	J	A	
33. J.M. Smucker Co.	A	Dividend	J	T					
34. Comcast Corp. Class A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	--	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT
Page 6 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ky Education Savings Plan 529 Trust #1	B	Interest	K	T					
36. Ky Education Savings Plan 529 Trust #2	B	Interest	J	T					
37. Michigan Education Savings Program #2	B	Interest	L	T					
38. Michigan Education Savings Program #3	B	Interest	K	T					
39. Ky Education Savings Plan 529 Trust #4	B	Interest	K	T					
40. Michigan Education Savings Program #4	B	Interest	M	T					
41. Ky Education Savings Plan 529 Trust #5	B	Interest	K	T					
42. Michigan Education Savings Program #5	B	Interest	M	T					
43. Ky Education Savings Plan 529 Trust #6	B	Interest	K	T					
44. Michigan Education Savings Program #6	B	Interest	M	T					
45. BP PLC	C	Dividend	K	T					
46. Chevron-Texaco Corp.	B	Dividend	L	T					
47. Duke Energy	A	Dividend	J	T					
48. Clorox Co.	B	Dividend	L	T					
49. Conoco Phillips	A	Dividend	J	T					
50. Exxon Mobil Corp.	B	Dividend	J	T					
51. General Mills, Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 7 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. PepsiCo, Inc.	C	Dividend	M	T					
53. Procter & Gamble Co.	E	Dividend	N	T					
54. Southern Co.	C	Dividend	L	T					
55. Sunoco, Inc.	A	Dividend	K	T					
56. Wisconsin Energy Corp.	B	Dividend	L	T					
57. Yum Brands, Inc.	B	Dividend	L	T					
58. U.S. Savings Bonds (H)	B	Interest	K	T					
59. Michigan Education Savings Program #7	C	Interest	M	T					
60. Michigan Education Savings Program #8	C	Interest	M	T					
61. Michigan Education Savings Program #9	C	Interest	M	T					
62. Kentucky State Turnpike Authority Bond	B	Interest			Redeemed	7/1/09	K	A	
63. Kentucky State Property & Bldgs. Communication Revenue Bond	B	Interest			Sold	7/30/09	K	A	
64. Clay County, KY Justice Center Bond	A	Interest	K	T					
65. Kentucky State Property & Bldgs Revenue Bond	A	Interest	L	T					
66. E-Trade Account	A	Interest	J	T					
67. Ky. State Turnpike Bond 3.6%	A	Interest	J	T					
68. Automatio Data Processing, Inc.	B	Dividend	K	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 8 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Johnson & Johnson	A	Dividend	K	T					
70. T-Rowe Price Equity Index 500	A	Dividend	K	T					
71. Templeton Foreign Fund CI A	A	Interest	J	T					
72. American Funds Growth Fund of America	A	Dividend	K	T					
73. Ky. State Property & Bldg. Commn. Bond	A	Interest	K	T					
74. Jessamine Co., KY School 2/1/11 3.125%	A	Interest	K	T					
75. Ameriprise Fin. Inc.	A	Dividend			Sold	6/3/09	J	A	
76. Peabody Energy Group	A	Interest	K	T					
77. KY Interlocal School (due 3/1/11)	A	Interest	K	T					
78. American High Income Trust	A	Interest			Sold	8/13/09	J	A	
79. Edmonson Co., KY School Dist.Bldg.Rev.	A	Interest	K	T					
80. Middlesboro, KY School Bldg. Rev.	A	Interest	K	T					
81. Hart Co., KY Public Property	A	Interest	K	T					
82. Bowling Green, KY Independent (due 5/1/13)	A	Interest	K	T					
83. Broadridge Financial Solutions	A	Dividend			Sold	6/3/09	J	A	
84. Covidien Ltd.	A	Dividend			Sold	6/3/09	J	A	
85. Western Union Co.	A	Dividend	K	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 O = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
---	--	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT
Page 9 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Patriot Coal Co.	A	Dividend			Sold	6/3/09	J	A	
87. Teradata Corp.	A	Dividend			Sold	6/3/09	J	C	
88. Spectra Energy	A	Dividend	J	T					
89. Jefferson, Ky SD Fin. Crp.	A	Interest	K	T					
90. Kentucky St. Prop & Bldgs (due 8/1/25)	A	Interest	L	T					
91. Highlands Ranch Met. Dist. (due 12/1/15)	A	Interest	M	T					
92. Ky. State Turnpike Authority (due 7/1/16)	A	Interest	L	T					
93. New York, NY (due 8/1/16)	A	Interest	L	T					
94. Hopkins Mn. Indpt. School (due 12/1/17)	B	Interest	L	T					
95. Arizona Sch. Facs. Brd. (due 9/1/17)	A	Interest	M	T					
96. California St. (due 8/1/18)	A	Interest	M	T					
97. Oklahoma Dept. Trans. Rev. (due 9/1/08)	A	Interest	M	T					
98. Puerto Rico Hsg. Fin. Auth. (due 12/1/18)	A	Interest	L	T					
99. Bowling Green, KY Proj. (due 6/1/20)	A	Interest	L	T					
100. Ivy Asset Strategy Fund CI Y	A	Dividend			Buy (add'l)	5/8/09	J		
101.	A	Dividend			Sold	7/20/09	K	A	
102. Blackrock Global Allocation Fund	A	Dividend	K	T	Buy (add'l)	1/22/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 17

Name of Person Reporting

Bertelsman, William O.

Date of Report

05/11/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.	A	Dividend	J	T	Buy (add'l)	5/18/09	J		
104.	A	Dividend			Sold (part)	7/20/09	K	A	
105. IShares MSCI EAFE Index Fund	A	Dividend			Buy (add'l)	1/22/09	J		
106.	A	Dividend			Sold	7/20/09	K	A	
107. IShares Barclay's 1-3 yr Treas. Index Fund	A	Dividend			Sold (part)	5/1/09	J	A	
108.	A	Dividend			Sold	7/20/09	J	A	
109. Healthcare Select SPDR	A	Dividend			Sold	2/23/09	K	A	
110. Sector SPDR Consumers STPL	A	Dividend			Sold	3/10/09	J	A	
111. Alliance Bernstein Bal. Wealth Strategy	A	Dividend	K	T					
112. Alliance Bernstein Intl Growth	A	Dividend			Sold	7/20/09	J	A	
113. Loomis Sayles Growth Fund CI Y	A	Dividend			Buy (add'l)	3/23/09	J		
114.					Sold	7/20/09	J	B	
115. Loomis Sayles Value Fund CI Y	A	Dividend			Sold	7/20/09	J	A	
116. Loomis Sayles Global Mkts Fd CI Y	A	Dividend			Sold	7/20/09	J	B	
117. Loomis Sayles Strategic Inc Fd CI Y	A	Dividend			Buy (add'l)	5/4/09	J		
118.					Sold	7/20/09	K	B	
119. Blackrock Nat'l A Div Municipal Fund	A	Dividend			Sold	7/20/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$30,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	R = Cost (Real Estate Only) V = Other	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value		S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 11 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Elements - Rogers Tr. A Div	A	Dividend			Sold	7/20/09	J	B	
121. IShares MSCI Emerging Mkts Fd	A	Dividend			Buy (add'l)	7/15/09	J		
122.					Sold	7/20/09	J	A	
123. Vanguard Small Cap	A	Dividend			Sold	7/20/09	J	A	
124. IShares FTSE XinHua China	A	Dividend			Sold	1/22/09	J	A	
125. PowerShares Global	A	Dividend			Sold	7/20/09	J	A	
126. SPDR Ser Tr	A	Dividend			Sold	1/22/09	K	A	
127. IShares IBOX\$ High Yield Corp Bd Fund	A	Dividend			Sold	5/21/09	J	A	
128. IShares TR S and P Nat'l Mun Bd Fd	A	Dividend			Sold	7/20/09	J	A	
129. PowerShares VRDO Tax Free	A	Dividend			Buy (add'l)	1/22/09	J		
130.					Sold	7/20/09	J	A	
131. PowerShares Global Exch Traded Fund	A	Dividend			Buy (add'l)	1/22/09	J		
132.					Sold	7/7/09	J	A	
133. Sector SPDR Utilities	A	Dividend			Sold	2/18/09	J	A	
134. SPDR Gold Trust	A	Dividend			Sold	7/20/09	K	A	
135. Nuveen High Yield Muni Bond Fd Cl A	A	Dividend			Buy (add'l)	5/8/09	J		
136.					Sold	7/20/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 12 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. JP Morgan Int'l Value Fund CI A	A	Dividend			Sold	7/20/09	J	A	
138. Aberdeen Equity Long Short Fd CI A	A	Dividend			Sold	7/20/09	J	A	
139. Janus Adviser Forty Fund CI A	A	Dividend	J	T					
140. JP Morgan Highbridge Stat Mkt Neutral Fd	A	Dividend			Buy (add'l)	3/23/09	J		
141.					Sold	7/20/09	J	A	
142. Touchstone Large Cap Growth Fund CI A	A	Dividend			Sold	7/6/09	J	A	
143. Nuveen Rittenhouse Growth Fund	A	Dividend			Sold	5/6/09	J	A	
144. Alliance Bernstein Muni Inc Fund	A	Dividend			Sold	7/20/09	J	A	
145. Alliance Bernstein Global Bond Fund	A	Dividend			Sold	7/20/09	J	B	
146. Endowment Fund	A	Dividend	M	T					
147. Citizens Bank of No. Ky (CD)	A	Interest	L	T					
148. Citizens Bank of No. Ky. (CD)	A	Interest	J	T					
149. Citizens Bank of No. Ky (CD)	A	Interest	K	T					
150. Citizens Bank of No. Ky (CD)	A	Interest	K	T					
151. Merrill Lynch Money Fund (Muni)	A	Interest	K	T					
152. Merrill Lynch Bank (Regular)	A	Interest	L	T					
153. Merrill Lynch Bank (PIA)	A	Interest			Closed	7/1/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 13 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. IShares DJ US Telecom.	A	Dividend			Buy	1/26/09	J		
155.					Sold	2/23/09	J	A	
156. Elements Rogers Agric. Tr	A	Dividend			Buy	3/16/09	J		
157.					Sold	7/20/09	J	B	
158. Powershares TR Dynamic	A	Dividend			Buy	3/16/09	J		
159.					Sold	7/20/09	J	A	
160. Market Vectors ETF Tr	A	Dividend			Buy	5/6/09	J		
161.					Buy (add'l)	7/15/09	J		
162.					Sold	7/20/09	J	B	
163. Pro Shares Ultra QQQ	A	Dividend			Buy	3/4/09	J		
164.					Buy (add'l)	7/15/09	J		
165.					Buy (add'l)	7/16/09	J		
166.					Sold	7/20/09	J	B	
167. SPDR SP China	A	Dividend			Buy	2/5/09	J		
168.					Buy (add'l)	3/4/09	J		
169.					Sold	7/7/09	J	C	
170. IShares RS 2000 Value	A	Dividend			Buy	1/22/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 14 of 17

Name of Person Reporting Bertelsman, William O.	Date of Report 05/11/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	5/7/09	J	A	
172. Janus Overseas Fd Cl A	A	Dividend			Buy	3/23/09	J		
173.					Buy (add'l)	7/20/09	J		
174.					Sold	7/27/09	J	B	
175. Janus Flexible Bd Cl A	A	Dividend			Buy	5/4/09	J		
176.					Sold	7/20/09	J	A	
177. DRYDN Sh Trm Co. Bd Z	A	Dividend			Buy	1/26/09	J		
178.					Sold	7/20/09	J	A	
179. PRIN Preferred Seos A	A	Dividend			Buy	7/15/09	J		
180.					Sold	7/20/09	J	A	
181. Alliance Bernstein High	A	Dividend			Buy	5/4/09	J		
182.					Sold	7/20/09	J	A	
183. AIM Int'l Growth	A	Dividend			Buy	6/1/09	J		
184.					Sold	7/20/09	J	A	
185. WF Ultra S/T Mun. Inc. Inv.	A	Dividend			Buy	6/12/09	J		
186.					Sold	7/20/09	J	A	
187. SPDR SP Metals Mining	A	Dividend			Buy	5/28/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$30,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 15 of 17

Name of Person Reporting
Bertelsman, William O.

Date of Report
05/11/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code J (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	7/6/09	J	A	
189. DB US Dollar Index Bullish Fund	A	Dividend			Buy	6/15/09	J		
190.					Sold	7/15/09	J	A	
191. IVY Global Natural	A	Dividend	K	T	Buy	7/31/09	K		
192. Janus Forty Fund Cl C	A	Dividend	K	T	Buy	7/31/09	K		
193. PIMCO Total Return Fd Cl C	A	Dividend	K	T	Buy	7/31/09	K		
194. Principal Investors Securities C	A	Dividend	K	T	Buy	7/31/09	K		
195. Lexington-Fayette Urban County Ky Ser A	A	Interest	K	T	Buy	2/9/09	K		
196. China Mobile Ltd Spon ADR	A	Dividend	J	T	Buy	6/3/09	J		
197. Coca Cola Co.	A	Dividend	J	T	Buy	6/3/09	J		
198. Exxon Mobile Corp.	A	Dividend	J	T	Buy	6/3/09	J		
199. Johnson & Johnson	A	Dividend	J	T	Buy	6/30/09	J		
200. 3M Co.	A	Dividend	J	T	Buy	6/3/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting	Date of Report
Bertelman, William O.	05/11/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

FINANCIAL DISCLOSURE REPORT

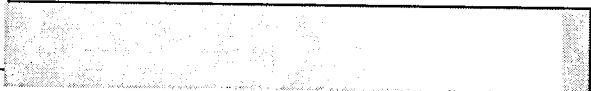
Page 17 of 17

Name of Person Reporting	Date of Report
Bertelsman, William O.	05/11/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544