

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Bertelsman, William O.	2. Court or Organization KY-ED	3. Date of Report 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 35 West Fifth Street, Rm. 505 P. O. Box 1012 Covington, KY 41012-1012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p align="center">IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i></p>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Teacher (part-time)(one class)(non-compensated)	Salmon P. Chase College of Law, Northern Kentucky University, Highland Heights, KY
2.	Owner	529 Trust Plans #1-#9 through Kentucky Education Savings Plan and Michigan Education Program
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	

1. Victory Gradison Government Reserve	A	Dividend	J	T					
2. Goldline (formerly Dreyfus Gold Fund)		None	L	T					
3. Legg Mason Europe Fund (stock)	A	Dividend	J	T					
4. U.S. Bank, Fort Thomas, KY	A	Interest	J	T					
5. Dupree, Kentucky- Short/Med. Fund	C	Interest	M	T					
6. Dupree, Kentucky - Tax Free Fund	B	Interest	N	T					
7. Two Undeveloped Lots in Charlotte Co., Florida		None			Sold	01/10/10	J	A	No Value-Assessed @ \$25,000
8. Fifth Third Bank (Account)	A	Interest	K	T					
9. Fifth Third Bank, Fort Thomas, KY (Common Shares)	A	Dividend	J	T					
10. Victory Established Value Fund	B	Dividend	K	T					
11. Dreyfus Growth & Income Fund	A	Dividend	J	T					
12. Bank of Kentucky (Account)	B	Interest	L	T					
13. Procter & Gamble - Common stock	B	Dividend	L	T					
14. Victory Small Company Fund	A	Dividend	K	T					
15. BankAmerica Corp. (common stock)	A	Dividend	J	T					
16. Bank of Kentucky (Account)	B	Interest	M	T					
17. United States Savings Bonds (I)	B	Interest	L	T					

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting

Bertelsman, William O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

18. Sherwin Williams	A	Dividend	J	T					
19. DWS Dreman High Return Fund	A	Dividend	J	T					
20. Kroger Co.	A	Dividend	J	T					
21. Harlan County, KY Health Care Facs Laurel Project	A	Interest	J	T					
22. J.M. Smucker Co.	A	Dividend	J	T					
23. Comcast Corp. Class A	A	Dividend			Sold	01/01/10	J	A	
24. Ky Education Savings Plan 529 Trust #1	B	Interest	K	T					
25. Ky Education Savings Plan 529 Trust #2	B	Interest	J	T					
26. Michigan Education Savings Program #2	B	Interest	L	T					
27. Michigan Education Savings Program #3	B	Interest	K	T					
28. Ky Education Savings Plan 529 Trust #4	B	Interest	K	T					
29. Michigan Education Savings Program #4	B	Interest	L	T					
30. Ky Education Savings Plan 529 Trust #5	B	Interest	K	T					
31. Michigan Education Savings Program #5	B	Interest	M	T					
32. Ky Education Savings Plan 529 Trust #6	B	Interest	K	T					
33. Michigan Education Savings Program #6	B	Interest	M	T					
34. BP PLC	C	Dividend			Sold	06/01/10	K	A	

- 1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000
- (See Columns B1 and D4)
- 2. Value Codes: F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000
- (See Columns C1 and D3)
- J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000; P5 = More than \$50,000,000
- 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market
- (See Column C2)
- U = Book Value; V = Other; W = Estimated

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

35. Chevron-Texaco Corp.	B	Dividend	L	T					
36. Duke Energy	A	Dividend	J	T					
37. Clorox Co.	B	Dividend	L	T					
38. Conoco Phillips	A	Dividend	J	T					
39. Exxon Mobil Corp.	B	Dividend	J	T					
40. General Mills, Inc.	A	Dividend	K	T					
41. Pepsico, Inc.	C	Dividend	M	T					
42. Procter & Gamble Co.	E	Dividend	O	T					
43. Southern Co.	C	Dividend	L	T					
44. Sunoco, Inc.	A	Dividend	K	T					
45. Wisconsin Energy Corp.	B	Dividend	L	T					
46. Yum Brands, Inc.	B	Dividend	L	T					
47. U.S. Savings Bonds (H)	B	Interest	K	T					
48. Michigan Education Savings Program #7	C	Interest	M	T					
49. Michigan Education Savings Program #8	C	Interest	M	T					
50. Michigan Education Savings Program #9	C	Interest	M	T					
51. Clay County, KY Justice Center Bond	B	Interest	K	T					

- | | | | | | |
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| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
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52. Kentucky State Property & Bldgs Revenue Bond	A	Interest	L	T					
53. E-Trade Account	A	Interest	J	T					
54. Ky. State Turnpike Bond 3.6% due 7/1/13	A	Interest	J	T					
55. Automatic Data Processing, Inc.	A	Dividend	K	T					
56. Johnson & Johnson	A	Dividend	K	T					*combined
57. T-Rowe Price Equity Index 500	A	Dividend	K	T					
58. Templeton Foreign Fund Cl A	A	Interest	J	T					
59. American Funds Growth Fund of America	A	Dividend	K	T					
60. Ky. State Property & Bldg. Commn. Bond	A	Interest			Sold	07/01/10	L	A	
61. Jessamine Co., KY School 2/1/11 3.125%	A	Interest			Sold	10/05/10	K	A	
62. Peabody Energy Group	A	Interest	K	T					
63. KY Interlocal School (due 3/1/11)	B	Interest	K	T					
64. Edmonson Co., KY School Dist.Bldg.Rev.	A	Interest			Redeemed	10/01/10	K	A	
65. Middlesboro, KY School Bldg. Rev. due 8/1/11	B	Interest	K	T					
66. Hart Co., KY Public Property due 4/1/13	B	Interest	K	T					
67. Bowling Green, KY Independent (due 5/1/13)	B	Interest	K	T					
68. Western Union Co.	A	Dividend	K	T					

- | | | | | | |
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69. Spectra Energy	A	Dividend	J	T						
70. Jefferson, Ky SD Fin. Crp. due 11/11	B	Interest	K	T						
71. Kentucky St. Prop & Bldgs (due 8/1/25)	B	Interest	L	T						
72. Highlands Ranch Met. Dist. (due 12/1/15)	C	Interest	L	T	Sold (part)	05/10/10	K	A		
73. Ky. State Turnpike Authority (due 7/1/16)	B	Interest	L	T						
74. New York, NY (due 8/1/16)	C	Interest	L	T						
75. Hopkins Mn. Indpt. School (due 12/1/17)	B	Interest	L	T						
76. Arizona Sch. Facs. Brd. (due 9/1/17)	D	Interest	M	T						
77. California St. (due 8/1/18)	C	Interest	M	T						
78. Oklahoma Dept. Trans. Rev. (due 9/1/18)	D	Interest	M	T						
79. Puerto Rico Hsg. Fin. Auth. (due 12/1/18)	C	Interest	L	T						
80. Bowling Green, KY Proj. (due 6/1/20)	B	Interest	L	T						
81. Blackrock Global Allocation Fund	A	Dividend	M	T						
82. Alliance Bernstein Bal. Wealth Strategy	B	Dividend	K	T						
83. Janus Adviser Forty Fund Cl A	A	Dividend			Sold	07/20/10	J	A		
84. Endowment Fund	A	Dividend	M	T						
85. Citizens Bank of No. Ky (CD)	A	Interest	L	T						

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 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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86. Citizens Bank of No. Ky. (CD)	A	Interest	J	T					
87. Citizens Bank of No. Ky (CD)	A	Interest	K	T					
88. Citizens Bank of No. Ky (CD)	A	Interest	K	T					
89. Merrill Lynch Money Fund (Muni)	A	Interest	K	T					
90. Merrill Lynch Bank (Regular)	A	Interest	L	T					
91. IVY Global Natural	A	Dividend	K	T					
92. Janus Forty Fund CI C	A	Dividend	L	T					
93. PIMCO Total Return Fd CI C	B	Dividend	K	T					
94. Principal Investors Securities C	B	Dividend	K	T					
95. Lexington-Fayette Urban County Ky Ser A due 2/1/14	B	Interest	K	T					
96. China Mobile Ltd Spon ADR	A	Dividend	J	T					
97. Coca Cola Co.	A	Dividend	J	T					
98. Exxon Mobile Corp.	A	Dividend	J	T					
99. 3M Co.	A	Dividend	J	T					
100. Alabama St. Cap Impt. Ser.D due 6/1/19	A	Interest	K	T	Buy	05/12/10	K		
101. Ky State Ppty & Bldg due 11/1/17	A	Interest	K	T	Buy	11/10/10	K		
102. Citizens Bank Acct. (for 529 Plans)	A	Interest	K	T	Open	05/01/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William O. Bertelsman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544