AO 10 Rev. 1/2010	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009	Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, William P.	**2. Court or Organization** District Court - New Mexico	**3. Date of Report** 08/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address U. S. District Court 333 Lomas Blvd., NW, #640 Albuquerque, NM 87102	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer____________ Date________	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Conservator	Estate #1
2.	Board Member, Vice President of Programs	Great Southwest Council, Boy Scouts of America
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2009	NM State Employees Pension Fund; pension at age 64; no control
2.		
3.		

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Name of Person Reporting	Date of Report
Johnson, William P.	08/13/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2009	UNM Law School - teaching	$2,656.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2009	State of New Mexico, retirement pension
2.	2009	Self employed consultant in the juvenile justice field
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase	credit card/unsecured loan	K
2.	Ed Financial	Student loan for [redacted] college	K
3.	NM Bank & Trust	unsecured line of credit	K
4.			
5.			

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (checking accounts)	D	Interest	J	T					
2. Pershing, LLC, (IRA custodian)									
3. --Apache Corp. com stock	A	Dividend	J	T	Sold (part)	3/30/09	J	A	
4. --Bank of Amer. com stock		None			Sold	3/30/09	J	A	
5. --Chevron Corp. com stock	A	Dividend	J	T	Sold (part)	3/30/09	J	A	
6. --Coca Cola com stock	A	Dividend	J	T	Sold (part)	3/30/09	J	A	
7. --Ebay com stock		None			Sold	3/30/09	J	A	
8. --Exxon Mobile com stock	A	Dividend	K	T	Sold (part)	3/30/09	J	A	
9. --Ford Motor Co. com stock		None	J	T					
10. --Freeport McMoran Inc. com stock		None	J	T					
11. --General Electric com stock		None			Sold	3/30/09	J	A	
12. --Intel com stock		None			Sold	3/30/09	J	A	
13. --Kinross Gold Corp com stock		None	J	T	Buy	3/30/09	J		
14. --Microsoft com stock		None			Sold	3/30/09	J	A	
15. --Peabody Ener. com. stock	A	Dividend	J	T					
16. --Patriot Coal Corp. com. stock		None			Sold	3/30/09	J	A	
17. --Pfizer Corp. com stock	A	Dividend	J	T	Sold (part)	3/30/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Johnson, William P.	08/13/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Proctor & Gamble c.stock	A	Dividend	J	T					
19. --Proshares R Shrt Russell 2000		None	J	T	Buy	4/20/09	J		
20. --Proshares Tr Short Dow 30 Proshares		None	J	T	Buy	4/20/09	J		
21. --Proshares Tr Short S&P 500 Proshares			J	T	Buy	4/20/09	J		
22. US Bancorp com stock	A	Dividend	J	T	Sold (part)	3/30/09	J	A	
23. United Parcel Service com stock		None			Sold	3/30/09	J	A	
24. --Fidelity Cash Reserves (FDRXX)		None			Sold	3/17/09	J	A	
25. --IShares TR MSC EAFE Index Fd. (EFA)		None			Sold	3/30/09	J	A	
26. --Streettracks Gold TR SHS (GLD)		None	J	T					
27. --Proshares TR Ultra S&P 500 (SSO)		None			Sold	3/30/09	J	A	
28. Custodial Account #1									
29. --American Cent. Select Fund	A	Dividend	J	T					
30. Custodial Account #2									
31. --American Cent. Select Fund	A	Dividend			Sold	5/22/09	J	A	
32. Estate #1 (Conservatorship)									
33. --Bank of America (various accounts)	F	Interest	J	T					
34. --Bank of America, certificates of deposit	F	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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35. --Rental Property #1, Albuquerque, NM	D	Rent	M	W					
36. --Real Estate Contract	B	Distribution	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part I, Line 1: Court appointed Conservator for [redacted] No compensation.

Part VII. Investments and Trusts:

Line 2: The IRA custodian, Pershing, LLC, is a subsidiary of The Bank of New York Mellon Corp. Pershing, LLC became IRA custodian in early 2009 and replaced F&S Asset Management Group, Inc., the IRA custodian during 2008. During the beginning of 2009 while F&S Asset Mgmt. Corp was IRA custodian, no reportable transactions occurred. All reportable transactions for the IRA account in 2009 occurred after Pershing became IRA custodian.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544