AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Duffey, Jr., William S.	Northern District of Georgia	5/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2010 to 12/31/2010
7. Chambers or Office Address 1721 United States Courthouse 75 Spring Street, S.W. Atlanta, GA 30303-3309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer________ Date________	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Duffey, Jr., William S.	5/10/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

[✓] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2010	Mary Hall Freedom House, Inc. - Salary
2.	2010	Holtzbrink Publishing Holdings, LP - royalties from copyrights
3.	2010	Penguin Group (USA) Inc. - royalties from copyrights
4.	2010	Random House, Inc. - royalties from copyrights
5.	2010	Self-employed writer

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[ ] NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Chemonics International, Inc.	10/23/2010-10/28/2010	Kyiv, Ukraine	Seminar w/ Gov.	Travel
2.	FJC Educational Terrorism Seminar	03/03/2010-03/05/2010	Durham, NC	Seminar	Travel
3.	Texas Tech	04/15/2010-04/16/2010	Lubbock, TX	Seminar	Travel
4.	Chemonics International, Inc.	04/18/2010-04/23/2010	Kyiv, Ukraine	Seminar w/ Gov.	Travel
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Duffey, Jr., William S.	5/10/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Brokerage Account #2									
2.	-MS Bank Deposit Program	A	Interest	J	T					
3.	-Franklin Mutual Recovery C	B	Dividend	K	T					
4.	-Atlanta WTR-A BE	B	Interest			Sold	10/05/10	K	B	
5.	-Investco VK Emerging Markets C (name change)		None	K	T	Buy (add'l)	10/05/10	J		
6.						Sold (part)	02/10/10	J	C	
7.	-Blackrock Global Allocation C	A	Dividend	L	T	Buy (add'l)	10/05/10	K		
8.						Sold (part)	04/28/10	J	B	
9.	-Investco VK Capital Growth C (name change)		None	K	T	Sold (part)	02/10/10	K	D	
10.	-Investco VK Muni Income C (name change)	A	Dividend	K	T					
11.		B	Interest							
12.	IRA #1	D	Dividend	N	T					
13.		B	Interest							
14.	-MS Bank Deposit Program									
15.	-FHR 2920 WG 5.25%					Sold	07/15/10	J	A	
16.	-Federal National Mtg Assn					Sold (part)	12/25/10	J	A	
17.	-Franklin Income C									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -AIPABSRT									
19. -Investco Equal Wghtd S&P 500 C (name change)					Buy (add'l)	06/30/10	J		
20. -Wells Fargo Company									
21. -Duke Energy Corp									
22. -Wellpoint Inc									
23. -Caterpillar FINL									
24. -Dell Inc									
25. -Rio Tinto Finance USA Limited									
26. -General Electric Capital Corp									
27. -Morgan Stanley									
28. -Comcast Corp New									
29. -Goldman Sachs Group Inc									
30. -Marathon Oil Corp									
31. -Verizon Communications									
32. -Glaxosmithkline Cap Inc									
33. -Kraft Foods Inc									
34. -Tyco International Finan									

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35. -AT&T Inc									
36. -Metlife Inc					Sold	03/29/10	J	A	
37. -Boeing Co									
38. -CVS Caremark Corp									
39. -Indiana Michigan Power									
40. -Altria Group Inc									
41. IRA #2	A	Interest	M	T					
42. -MS Bank Deposit Program									
43. -AIPABSRT									
44. -Keyworth Bank									
45. Roth IRA #1	A	Dividend	J	T					
46. -Investco VK Corporate Bond C (name change)									
47. Roth IRA #2	A	Dividend	J	T					
48. -Investco VK Growth & Income C (name change)									
49. NW Mutual Estate CompLife		None	L	T					
50. NW Mutual 65 Life		None	L	T					
51. K & S Investments	B	Interest	J	U					

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52.	A	Dividend							
53.	B	Distribution							
54. C & B Capital I, L.P. *	A	Interest	K	U					
55.	B	Distribution							
56. C & B Capital II, L.P. **	A	Interest	L	U					
57.	A	Distribution							
58.	A	Dividend							
59. Betsy Byars, Inc.		None	M	W					
60. Gold coins		None	K	T					
61. Brokerage Account #1									
62. -MS Bank Deposit Program	A	Interest	J	T					
63. -One GA Bk		None	J	T	Buy	02/11/10	J		
64. -Blackrock National Muni C	B	Interest			Sold	12/17/10	K	D	
65. -Van Eck Intl Inv Gold FD A	C	Dividend	K	T	Buy	02/19/10	K		
66. -Dreyfus Intermediate Muni BD	A	Interest			Buy	02/22/10	K		
67.					Sold	12/17/10	K	A	
68. -American WA Mutual A	A	Dividend			Buy	02/19/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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69.					Sold	12/17/10	J	C	
70. -Evergreen NC Muni BD A	C	Int./Div.			Buy	02/19/10	M		
71.					Sold	12/17/10	M	D	
72. Brokerage Account #3									
73. -MS Bank Deposit Program	A	Interest	J	T					
74. -Ishares Barclays Tips BD FD	A	Dividend	J	T					
75. -Ishares S&P 500 GRWTH Index	A	Dividend	K	T	Sold (part)	02/10/10	J	B	
76. -Ishares S&P 500 Value Index	A	Dividend	K	T	Sold (part)	02/10/10	J	B	
77. -Ishares S&P Midcap 400 Index	A	Dividend	J	T					
78. -Nuveen Municipal Value FD INC	A	Dividend	K	T	Sold (part)	11/10/10	K	B	
79.	B	Interest							
80.	A	Distribution							
81. -Vanguard EMRG MKTS ETF	A	Dividend	J	T	Sold (part)	02/10/10	J	A	
82. -Vanguard European ETF	A	Dividend	J	T	Sold (part)	02/10/10	J	B	
83. -Vanguard Pacific ETF	A	Dividend	J	T					
84. -Vanauard REIT ETF	A	Dividend	J	T					
85. -Blackrock Apex Mun FD Inc	A	Dividend	J	T	Buy	11/10/10	J		

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86.	A	Interest							
87. -Invesco Muni Inc Opp TR III	A	Interest	J	T	Buy	11/10/10	J		
88. -Ishares Barclays 1-3 Year Cred	A	Dividend	L	T	Buy	12/27/10	L		
89. -SPDR Trust Series 1		None	L	T	Buy	12/27/10	L		
90. IRA #3	E	Dividend	P1	T					
91.	A	Interest							
92.	A	Distribution							
93. -Morgan Stanley Bank Deposit Program									
94. -GNMA Pool					Sold (part)	12/20/10	J	A	
95. -Ishares IBOXX Invest GR					Buy (add'l)	07/26/10	J		
96. -Ishares Barclays Tips BD FD					Sold (part)	06/07/10	J	A	
97.					Buy (add'l)	07/26/10	J		
98. -Ishares Barclays 1-3 YR TSY BD					Sold (part)	06/07/10	K	A	
99.					Buy (add'l)	07/26/10	J		
100. -Ishares IBOXX H/Y Corp BND					Sold (part)	07/26/10	J	A	
101.					Buy (add'l)	06/07/10	J		
102. -Ishares JP Morgan EM Bond FD					Sold (part)	07/26/10	J	A	

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103. -Ishares MSCI Canada Index FD					Buy (add'l)	07/26/10	J		
104. -Ishares MSCI Japan Index Fund					Buy (add'l)	07/26/10	J		
105. -Ishares MSCI Pac EX-JPN IDX					Sold (part)	07/26/10	J	A	
106. -Ishares S&P 500 Value Index					Sold (part)	07/26/10	J	B	
107.					Buy (add'l)	06/07/10	J		
108. -Ishares S&P 500 GRWTH Index					Buy (add'l)	07/26/10	J		
109. -Ishares S&P Midcap 400 Index					Sold (part)	06/07/10	K	C	
110. -Ishares SP Smallcap 600 Index					Sold (part)	06/07/10	K	B	
111. -Ishares TR MSCI Small Cap					Sold (part)	07/26/10	J	A	
112. -Powershares DB Comm TRK INC					Sold (part)	07/26/10	J	A	
113.					Buy (add'l)	06/07/10	J		
114. -Vangaurd EMRG MKTS ETF					Sold (part)	07/26/10	J	B	
115.					Buy (add'l)	06/07/10	J		
116. -Vangaurd European ETF					Sold (part)	07/26/10	J	A	
117. -Vangaurd Intermediate Term BND					Sold (part)	06/07/10	J	A	
118.					Buy (add'l)	07/26/10	J		
119. -Vangaurd REIT ETF					Sold (part)	07/26/10	J	B	

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -JP Morgan HIBRG Stat Mkt Neu A					Buy	06/07/10	L		
121. -RYDEX/SGI Managed Fut Str A					Buy	06/07/10	K		
122. One Georgia Bank		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Duffey, Jr., William S.	5/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*Ownership interest percentage is 0.208971%. During the reporting period, C&B Capital I, L.P. held interests in the following companies:
- Central Security Group, Inc.
- VCG, LLC
- Global Care, Inc.
- NuBridges, Inc.
- Alimera Sciences, Inc.
- Focus Receivables Management, Inc.
- Healthmark Partners, Inc.
- Healthcare Solutions, Inc.

**Ownership interest percentage is 0.743396%. During the reporting period, C&B Capital II, L.P. held interests in the following companies:
- SnagAJob.com, L.P.
- CBG Holdings, Inc.
- Alimera Sciences, Inc.
- All Web Leads, Inc.
- Brightree, LLC
- Healthcare Solutions, Inc.
- Prommis Solutions, Inc.
- REACH Health, Inc.
- PMW Technologies, Inc. d/b/a PeopleMatter
- SG-BGP, LLC/BPG Holdings, LLC
- Vesdia, Inc.
- Controlscan, Inc.
- Navitas Lease Finance Corp.

Name of Person Reporting	Date of Report
Duffey, Jr., William S.	5/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **William S. Duffey, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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