AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Waddoups, Clark	U.S. District Court, Utah	5/15/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

110 Frank E. Moss Federal U.S. Courthouse
350 South Manin Street
Salt Lake City, Utah 841011

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT Page 2 of 7	Name of Person Reporting Waddoups, Clark	Date of Report 5/15/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Waddoups, Clark	5/15/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Waddoups, Clark	5/15/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Key Bank Checking	A	Interest	J	T					
2. Mass Mutual-universal policy		None	J	T					
3. Mass Mutual-whole life		None	J	T					
4. Mass Mutual-whole life		None	L	T					
5. 1365 Roosevelt LLC		None	K	W					
6. 1876 Wyoming LLC		None	K	W					
7. Batteries&Bands-common stock		None	K	U					
8. ZARS-common stock		None			Closed	12/31/11	J		Written off as tax loss
9. MassMutual RetireEase Annuity	F	Distribution	O	T					
10. MassMutual Odyssey	E	Distribution	N	T					
11. Parr Brown Profit Sharing Plan (401k)		None	J	T					
12. Consumer Staples Select Sector SPDR (XLP)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
13. Health Care Select Sector SPDR (XLV)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
14. Market Vectors Etf Tr Em LC Curr Dbt (EMLC)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
15. SPDR Barclays Capital High Yield Bond (JNK)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
16. Utilities Select Sector SPDR (XLU)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
17. Vanguard Total Bond Market ETF (BND)	E	Distribution	K	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA

1. Income Gain Codes. (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Waddoups, Clark	5/15/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	iShares Barclays 1-3 Year Treasury Bond (SHY)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
19.	iShares 20+ Year Treasury Bond (TLT)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
20.	iShares Barclays 7-10 Year Treasury (IEF)	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
21.	MML Investors Services Managed Account--Cash	E	Distribution	J	T	Distributed (part)	09/14/11	J		Roll over to PremiereIRA
22.	Chase National Bank	A	Interest	J	T					
23.	Ishares Barclays 7-10 Yr Treasury Bond		None	J	T					
24.	Ishares Barclays 1-3 Yr Treasury BD FD		None	J	T					
25.	Ishares Barclays Intermediate Cr DB FD		None	J	T					
26.	Ishares Barclays 1-3 Yr DC BD FD		None	J	T					
27.	Ishares Trust 10+ Year Credit Bond Fund		None	J	T					
28.	Market Vectors ETF TR Emerging Mkts`		None	J	T					
29.	Prime Fund Capital Reservs Class		None	J	T					
30.	SPDR Ser TR Barclays Cap High Yield BD		None	J	T					
31.	Vanguard BD Index FD Inc Total BD Mkt		None	J	T					
32.	Vanguard Long Term Corp. Bond ETF		None	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII Investments, items 12 through 21 were all held in an MML Investors Servies Account, NES/FMTC Rollover IRA, previously listed as "MMLISI Brokerage (Fidelity Mnagement Trust Co.)" The distribution amounts are listed as Income Code F ($50,000 - 100,000), which is the amount of the combined distributions for all items. The Account manager did not distinquish between line items in making the distribution and that information is not available from the reports to me. The distributions from each of the separate items was less than that amount, but the total was within Code F. The NES/FMTC Rollover IRA was rolled over to the Premier Select Rollover IRA and the assets held in that accound are listed in items 23 through 30. Again the value of each account is listed as Code J, but the combined value is Code K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Clark Waddoups

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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