AO 10 Rev. 1/2011

### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app, §§ 101-111)

Title (Article III Judges indicate sective or section status; magistrate judges indicate fail- or part-time)   St. Report Type (check appropriate type)   G. Reporting Period   O1/01/2010   St.   O1/01/2010   O1/01/2010	1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date	e of Report	
Active District Judge    Nomination, Date	Ware, James	Northern District of California		05/23/2	011
Active District Judge    Dota	4. Title (Article III Judges indicate active or senior status;	5a. Report Type (check appropriate type)	6. Rep	orting Period	
Sb Amounted		Normination, Date		01/01/2	010
St Ancaded Roport  Chambers or Office Address  United States Courthouse 450 Golden Gate Avenue San Francisco, California 94102  IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.  POSITIONS, (Reporting individual only; see pp. 9-13 of filing instructions.)  NONE (No reportable positions.)  Position  NAME OF ORGANIZATION/ENTITY  President of the Board  Federal Practice Program of the Northern District  I, AGREEMENTS, (Reporting individual only; see pp. 14-16 of filing instructions.)  NONE (No reportable agreements.)  DATE  PARTIES AND TERMS	Active District Judge	Initial Annual Final			010
United States Courthouse 450 Golden Gate Avenue San Francisco, California 94102  Reviewing Officer		5b. Amended Report		12/51/2	.010
United States Courthouse \$\frac{300 Oldien Gate Avenue}{San Francisco, California 94102}    Note	7. Chambers or Office Address				
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DATE PARTIES AND TERMS	-mat	8	:7: }≥		
DATE PARTIES AND TERMS	Total (110 reportable agreements)		::: :::		
	<u>DATE</u>	DADTIEC AND TEDMO	1.1		
		FARTIES AND TERMS		<b>⇔</b>	
	<u> </u>	PARTIES AND TERMIS		ු	
	i.	PARTIES AND TERMS		<i>ූ</i> ා 	

	NCIAL DISCLOSURE REP	ORT	Name of Person Repo	rting		Date of Report
Page 2	age 2 of 6 Ware, James					05/23/2011
III. NO	N-INVESTMENT INCOM	E. (Reporting i	ndivldual and spouse; s	ee pp. 17-24 of filling instructions.)		
	s Non-Investment Income	6				
-	ONE (No reportable non-investmen	it income.)				
		,				
	DATE		SOURCE AND	TYPE	(уог	INCOME rs, not spouse's)
1. 2010	Lincol	n Law School o	f San Jose - net incor	me from lectures		\$6,000.00
2. 2010	Santa (	Clara University	/ Law School - net in	come from lectures		\$4,000.00
3.						
4.						
-						
(Dollar amou	e's Non-Investment Income - 15 you int not required except for honoraria.) ONE (No reportable non-investmen		uring any portion of the	reporting year, complete this sectio	n.	
	DATE		SOURCE AND	TYPE		
1.2010	County	of Santa Clara	- Salary			
2.						
3.						
4.					*	
	Ŧ					
	IMBURSEMENTS — transportati se to spouse and dependent children; see pp. 25-2			2)		
	ONE (No reportable reimbursemen					
·``		,	0.0.000		*****	- O
	SOURCE DATES	Ī	OCATION	<u>PURPOSE</u>	ITEMS PA	D OR PROVIDED
1.	**			· · · · · · · · · · · · · · · · · · ·		
2						
3.						
4.						

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FINANCIAL DISCLOSURE REPORT Page 3 of 6	Name of Person Reporting Ware, James	Date of Report 05/23/2011
V. GIFTS. (Includes those to spouse and dependent children; see p	pp. 28-31 of filing instructions.)	
NONE (No reportable gifts.)		
SOURCE	DESCRIPTION	VALUE
1,		
2.		
3.		
4.		
5.		÷
VI. LIABILITIES. (Includes those of spouse and dependen	nt children; see pp. 32-33 of filing instructions.)	
NONE (No reportable liabilities.)		
CREDITOR	DESCRIPTION	VALUE CODE
<u>l.</u>		
2.		
3.		
4.		

5.

Page 4 of 6

Name of Person Reporting	Date of Report
Ware, James	05/23/2011

VII.	<b>INVESTMENTS</b> an	d TRUSTS =	income, value, tean	sactions (Includes those i	of snowse and dependent	children: see no	34-60 of filia	ng Instructions.

	NONE (No reportable income, ass	ets, or i	transaction	ıs.)						*
	Α.		В.	(	2.			D,		
	Description of Assets	Inco	me during		lue at end		Transactio			period
	(including trust assets)		ting period		ing period			_		•
	, , ,	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount	Type (e.g.,	Value	Value	Type (e.g.,	Date	Value	Gain	Identity of
	exempt from prior disclosure	Code I	div., rent,	Code 2	Method	buy, sell,	mm/dd/yy		Code I	buyer/seller
	exempt nom prior disclosure	(A-H)	or int.)	(J-P)	Code 3	redemption)	пписобу	(J-P)	(A-H)	(if private
		` ,	or mi.,	(3-1)	(Q-W)	reacmption)		(3-1)	(11-11)	transaction)
					(Q-11)					dansaonony
			1577	1			T		1	
1.	Morgan Stanley-Treasury Securities Fund	В	None	İ		Redeemed	12/31/10	J		i e
2.	Morgan Stanley-Dividend Growth Securities	В	None			Redeemed	12/31/10	J		
3.	USAA Aggressive Growth Fund	В	None			Redeemed	12/31/10	J		
	OD IN THE BROOM OF THE WAR		110110			1 TOBOUTHOU	12731710	•		10
4	LICAA Coonst Engl		Mana			Dadamad	12/21/10	-		
4.	USAA Growth Fund	В	None			Redeemed	12/31/10	J		
_		_			_			_		
5.	General Motors Common Stock (Y)	A	None	J	Т	Sold	12/31/10	J	A	unknown.
6.									i	
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7.									15	
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17.						6			1	
		-		1	•	1				

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B=\$1,001 - \$2,500 G = \$100,001 - \$1,000,000

K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C =\$2,501 - \$5,000 [[] =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S =Assessment W=Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Page 5 of 6

Name of Person Reporting	Date of Report
Ware, James	05/23/2011

### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report)

Net income from teaching is net of expenses and travel; I share of General Motors Common Stock owned was sold was sold

Page 6 of 6

Date of Report
05/23/2011

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544 AO 10 Rev. 1/2011

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ware, James	Northern District of California	05/23/2011
Title (Article III judges indicate active or senior status;     magistrate judges indicate full- or part-time)  Active District Judge	Sa. Report Type (check appropriate type)  Nomination, Date Initial Annual Final	6. Reporting Period 01/01/2010 to 12/31/2010
	Sb. Amended Report  8. On the basis of the information contained in this Report and modifications pertaining thereto, it is, in my opinion, in comwith applicable laws and regulations.  Reviewing Officer	any pliance Date
I. POSITIONS. (Reporting individual only; see pp. 9-13 of fili  NONE (No reportable positions.)	ng instructions.)	
POSITION	NAME OF ORGAN	IIZATION/ENTITY
1. President of the Board	Federal Practice Program of the Northern D	istrict
2.		<u> </u>
3.		
4.		
5.		
II. AGREEMENTS. (Reporting individual only; see pp. 14  NONE (No reportable agreements.)	4-16 of filing instructions.)	
DATE	PARTIES AND TERMS	
Is		
2.		
3.		

FINANCIAL DISCLO	OSURE REPORT	Name of Person Repor	ring		Date of Report	
Page 2 of 6		Ware, James	Ware, James			
II. NON-INVESTME	NT INCOME. (Reporting	individual and spouse; see	pp. 17-24 of filing instruction	s.)		
A. Filer's Non-Investment	Income					
NONE (No reportable	e non-investment income.)					
DATE		SOURCE AND	<u>гүре</u>		INCOME rs, not spouse's)	
. 2010	Lincoln Law School	of San Jose - net incom	e from lectures	_	\$6,000.00	
2. 2010	Santa Clara Universi	ty Law School - net inc	ome from lectures		\$4,000.00	
3.						
1.						
NONE (No reportable  DATE  . 2010	e non-investment income.)  County of Santa Cla	SOURCE AND	TYPE			
	octanily of canal of a	o Carasy				
·						
i.						
IV. REIMBURSEMEN Includes those to spouse and dependent  NONE (No reportable	children, see pp. 25-27 of filing instru					
SOURCE	DATES	LOCATION	<u>PURPOSE</u>	ITEMS PAI	D OR PROVIDED	

4.

5.

FINANCIAL DISCLOSURE REPORT Page 3 of 6	Name of Person Reporting  Ware, James	Date of Report 05/23/2011
V. GIFTS. (Includes those to Spouse and dependent children; see p	o. 28-31 of filing instructions.)	
NONE (No reportable gifts.)		
SOURCE	DESCRIPTION	VALUE
I.		
2.		
3,		
4.		
5		
VI. LIABILITIES. (Includes those of spouse and dependent	children; see pp. 32-33 of filing instructions.)	
NONE (No reportable liabilities.)		×
CREDITOR	DESCRIPTION	VALUE CODE
I		
2.		
3.		
4.		

5.

Description of Assets

Page 4 of 6

Name of Person Reporting	Date of Report
Ware, James	05/23/2011

Transactions during reporting period

# VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

Income during

Ç.

Gross value at end

**√** NO

NONE (No reportable income, assets, or transactions.)

Place "(X)" after each asset exampt from prior disclosure   (1)	(including trust assets)		reporting period		ing period	, land the string reporting period				
2. 3. 4. 5. 6. 7. 8. 9. 9. 110. 111. 112. 113. 114. 115. 115. 116.	Place "(X)" after each asset	(1) Amount Code 1	(2) Type (e.g., div., rent,	(1) Value Code 2	(2) Value Method Code 3	Type (e.g., buy, sell,	Date	Value Code 2	Gain Code I	ldentity of buyer/seller (if private
3. 4. 5. 5. 6. 7. 8. 9. 9. 10. 11. 11. 12. 13. 14. 15. 15. 16. 16. 1	1.									
4. 5. 6. 7. 8. 9. 110. 111. 122. 133.	2.									
5.         6.         7.         8.         9.         10.         11.         12.         13.         14.         15.         16.	3.									
6. 7. 7. 8. 9. 9. 11. 11. 12. 13. 14. 15. 16.	4.									N.
7.  8.  9.  11.  12.  13.  14.	S.									
8. 9. 110. 111. 112. 113. 114. 115.	6.									
9. 10. 11. 12. 13. 14. 15.	7.									
11.	8.									
11.	9.									
13. 14. 15. 16.	10.									
13. 14. 15. 16.	n.		X							110
14. 15. 16.	12.									
15.	13.									
16.	14.5									
	15.									
17.	16.									
	17.									

1, Income Gain Codes: (See Columns B1 and D4)

2, Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2) A = \$1,000 or less

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B =\$1,001 - \$2,500

G =\$15,001 - \$1,000,000 K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

R =Cost (Real Estate Only) V =Other C =\$2,501 - \$5,000

H1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000

P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000

S =Assessment W =Estimated D =\$5,001 - \$15,000

H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000

T=Cash Market

E =\$15,001 - \$50,000

Page 5 of 6

Date of Report
05/23/2011

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Net income from teaching is net of expenses and travel

Page 6 of 6

Name of Person Reporting	Date of Report
Ware, James	05/23/2011

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James Ware

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544