| AO 10 Rev. 1/2008 |
|---|

# FINANCIAL DISCLOSURE REPORT
## FOR CALENDAR YEAR 2007

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| WILKEN, CLAUDIA | USDC FOR THE NO DIST OF CALIF | 05/15/2008 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) | 5a. Report Type (check appropriate type) | 6. Reporting Period |
| US DISTRICT JUDGE, ACTIVE | ☐ Nomination, Date<br>☐ Initial ☑ Annual ☐ Final<br>5b. ☐ Amended Report | 01/01/2007 to 12/31/2007 |
| 7. Chambers or Office Address | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. | |
| 1301 CLAY STREET<br>OAKLAND, CA 94612 | Reviewing Officer________________ Date__________ | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | TRUSTEE (position terminated 11-1-07) | TRUST #1 (terminated 11-1-07) |
| 2. | TRUSTEE (position terminated 7-1-07) | TRUST #2 (terminated 7-1-07) |
| 3. | TRUSTEE | TRUST #3 |
| 4. | | |
| 5. | | |

RECEIVED
2008 MAY 14 P 1:33
FINANCIAL DISCLOSURE OFFICE

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

| Name of Person Reporting | Date of Report |
|---|---|
| WILKEN, CLAUDIA | 05/15/2008 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|---|---|
| 1. 2007 | SALARY FROM GOVERNMENT EMPLOYMENT |
| 2. 2007 | PARTNERSHIP DISTRIBUTION FROM LAW PRACTICE |
| 3. | |
| 4. | |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☑ NONE *(No reportable reimbursements.)*

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| WILKEN, CLAUDIA | 05/15/2008 |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| WILKEN, CLAUDIA | 05/15/2008 |

## VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Wells Fargo Bank Account | A | Interest | K | T | None | | | | |
| 2. Trust #2:Schwab Cash Reserves (aka Schwab ONE Fund) | A | Dividend | | | terminated | 7-1 | | | |
| 3. Trust #2:Schwab Value Advantage Fund | A | Dividend | | | terminated | 7-1 | | | |
| 4. Trust #2: GMAC Auto Bank CD | A | Interest | | | Matured | 1-11 | J | | |
| 5. Schwab Cash Reserves Fund | A | Dividend | K | T | None | | | | |
| 6. Ishares Russell 2000 Index Fund | A | Dividend | K | T | Buy | 10-9 | K | | |
| 7. Ishares S&P Midcap Index Fund | A | Dividend | K | T | Buy | 10-9 | K | | |
| 8. Ishares MSCI Emerging Market Index Fund | A | Dividend | J | T | Buy | 10-9 | K | | |
| 9. Ishares Trust MSCI EAFE Index Fund | A | Dividend | K | T | Buy | 10-9 | K | | |
| 10. Vanguard CA Intermediate Term Fund | A | Interest | M | T | Buy | 10-9 | M | | |
| 11. S P D R Trust Unit Ser 1 | A | Dividend | L | T | Buy | 10-9 | L | | |
| 12. IRA #1: Wells Fargo Advantage Govt | A | Dividend | J | T | None | | | | |
| 13. IRA #1: American Century Ultra Fund | | None | | | Sold | 1-26 | J | B | |
| 14. IRA #1: Fidelity Equity Income Fund | B | Dividend | K | T | None | | | | |
| 15. IRA #1: Dreyfus International Stock Index Fund | A | Dividend | J | T | Buy | 1-30 | J | | |
| 16. IRA #1: Dreyfus Small Cap Stock Index Fund | A | Dividend | J | T | Buy | 1-31 | J | | |
| 17. IRA #2: Wells Fargo Advantage Govt | D | Dividend | M | T | None | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| WILKEN, CLAUDIA | 05/15/2008 |

## VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets)<br>Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date Month - Day | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 18. IRA #2: Fidelity Magellan Fund | B | Dividend | K | T | None | | | | |
| 19. IRA #2: Janus Fund | A | Dividend | J | T | None | | | | |
| 20. IRA #2: Janus Worldwide Fund | | None | | | Sold | 1-26 | L | E | |
| 21. IRA #2: Schwab Small Cap Index Fund | D | Dividend | K | T | None | | | | |
| 22. IRA #2: Vanguard 500 Index Fund | B | Dividend | L | T | None | | | | |
| 23. IRA #2: Schwab Cash Reserves Fund | A | Dividend | J | T | None | | | | |
| 24. IRA #2: Schwab Value Advantage Fund | C | Dividend | L | T | None | | | | |
| 25. IRA #2: Dreyfus International Stock Index Fund | B | Dividend | L | T | Buy | 1-29 | L | | |
| 26. IRA #2: Dodge & Cox Income Fund | A | Dividend | K | T | Buy | 8-9 | K | | |
| 27. IRA #2: Ishares S&P Midcap Index Fd | A | Dividend | K | T | Buy | 8-9 | K | | |
| 28. Trust #1: Schwab Cash Reserves Fund (Schwab One) | A | Int./Div. | | T | terminated | 11-1 | J | | |
| 29. Trust #1: Schwab Value Advantage Fund | C | Dividend | | T | terminated | 11-1 | L | | |
| 30. Trust #1: Countrywide Bank CD | A | Interest | | | Matured | 1-12 | J | | |
| 31. Trust #3: Charles Schwab Sch-Aggressive Portfolio | | None | J | T | | | | | |
| 32. Schwab Cash Reserves Fund | A | Int./Div. | J | T | opened | 11-1 | J | | |
| 33. Schwab Value Advantage Fund (Schwab One) | A | Dividend | L | T | opened | 11-1 | L | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less<br>F =$50,001 - $100,000 | B =$1,001 - $2,500<br>G =$100,001 - $1,000,000 | C =$2,501 - $5,000<br>H1 =$1,000,001 - $5,000,000 | D =$5,001 - $15,000<br>H2 =More than $5,000,000 | E =$15,001 - $50,000 |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less<br>N =$250,001 - $500,000<br>P3 =$25,000,001 - $50,000,000 | K =$15,001 - $50,000<br>O =$500,001 - $1,000,000 | L =$50,001 - $100,000<br>P1 =$1,000,001 - $5,000,000<br>P4 =More than $50,000,000 | M =$100,001 - $250,000<br>P2 =$5,000,001 - $25,000,000 | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal<br>U =Book Value | R =Cost (Real Estate Only)<br>V =Other | S =Assessment<br>W =Estimated | T =Cash Market | |

| Name of Person Reporting | Date of Report |
| --- | --- |
| WILKEN, CLAUDIA | 05/15/2008 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Trust #2 was terminated during 2007 (Assets #2 and 3).
Trust #1 was converted during 2007 to an individual account. Assets #28 and 29 moved to #32 and 33.

| Name of Person Reporting | Date of Report |
|---|---|
| WILKEN, CLAUDIA | 05/15/2008 |

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatur[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544