AO 10 Rev. 1/2012	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) D'Agostino, Mae A.	**2. Court or Organization** U.S. District Court for the Northern District of New York	**3. Date of Report** 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court James T. Foley U.S. Courthouse 445 Broadway Albany, NY 12207		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	D'Agostino, Krackeler, Maguire & Cardona, PC
2.	Adjunct Professor	Albany Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 05/01/2008	American International Life Assurance Co., structured settlement for future payments of legal fees earned
2. 09/04/2002	American General Life Insurance Co., structured settlement for future payments of legal fees earned
3.	

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	United States Judges	$97,686.74
2. 2011	Maguire, Cardona & Ryan PC	$58,557.17
3. 2011	Albany Law School	$3,200.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market	A	Dividend	M	T					
2.									
3. Key Bank MM Checking Account	A	Interest	M	T					
4.									
5. Fidelity Brokerage Account									
6. - Cash	A	Int./Div.	J	T					
7. - Fidelity Cash Reserves	A	Dividend	J	T					
8. - Spartan 500 Index Fund Advantage Class	A	Dividend	J	T					
9. - Fidelity Floating Rate High Income	A	Dividend	J	T	Buy (add'l)	1/6/11	J		
10.					Buy (add'l)	5/26/11	J		
11.					Sold (part)	8/11/11	J		
12. - Fidelity Contrafund	A	Dividend	J	T					
13. - Fidelity GNMA Fund	A	Dividend	J	T					
14. - Fidelity Low Priced Stock	A	Dividend	J	T					
15. - Fidelity Strategic Income	A	Dividend	J	T					
16. - Fidelity Select Medical Equip & System	A	Dividend	J	T					
17. - Allianz AGIC Income & Growth CL D	A	Dividend	J	T	Buy (add'l)	2/14/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Artisan Mid Cap Value	A	Dividend	J	T					
19. - Columbia Value and Restructuring CL Z	A	Dividend	J	T					
20. - Oakmark International Small Cap	A	Dividend	J	T					
21. - Perkins Mid Cap Value Fund Class T	A	Dividend	J	T	Sold (part)	8/3/11	J	A	
22. - Janus Overseas Fund Class T	A	Dividend	J	T					
23. - PIMCO Investment Grade Corp Bond CL D	A	Dividend	J	T	Sold (part)	1/6/11	J	A	
24.					Sold (part)	2/14/11	J	A	
25.					Sold (part)	8/3/11	J	A	
26. - PIMCO Global Advt Strategy Bond CL D	A	Dividend	J	T	Buy (add'l)	1/6/11	J		
27.					Buy (add'l)	5/26/11	J		
28. - SSgA Emerging Markets	A	Dividend	J	T					
29. - PIMCO Low Duration Class D	A	Dividend	J	T	Sold (part)	5/26/11	J	A	
30. - Fidelity Strategic Dividend & Income	A	Dividend	J	T	Buy (add'l)	8/3/11	J		
31.					Buy (add'l)	10/12/11	J		
32.									
33. 401(k) Plan	A	Dividend	J	T	Distributed (part)	07/25/11	O		
34. - Thrnbrg Intl Val 1									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	- Prncpl Smcap Sp600indxinst									
36.	- Msif Mdcap Gr I									
37.	- Vngrd Sel Val Inv									
38.	- Dws Inst Eq 500 Indx Inst									
39.	- Vngrd Divd Gr Inv									
40.	- Vngrd Trgt Rtrmt 2010									
41.	- Jpm Mrtg Bckd Sel									
42.	- Rdgwrth Ttl Rtn Bd I									
43.	- Mrly Stbl Val Vi									
44.										
45.	Vanguard LifeStrategy Moderate Growth IRA	A	Dividend			Merged (with line 51)	08/10/11	J		
46.										
47.	Vanguard Growth & Income Fund Custodial Account	A	Dividend	J	T					
48.										
49.	Prudential Annuity (Inherited)	A	Interest	L	T	Distributed (part)	12/31/11	K		
50.										
51.	Fidelity IRA	E	Dividend	O	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. - Artisan Mid Cap Value					Buy	7/28/11	K		
53.					Buy (add'l)	8/3/11	J		
54.					Buy (add'l)	8/9/11	J		
55.					Buy (add'l)	8/11/11	J		
56.					Buy (add'l)	9/21/11	J		
57.					Buy (add'l)	9/27/11	J		
58.					Buy (add'l)	11/1/11	J		
59.					Buy (add'l)	12/5/11	J		
60.					Buy (add'l)	12/19/11	J		
61. - Columbia Value and Restructuring CL Z					Buy	7/28/11	K		
62.					Buy (add'l)	8/3/11	J		
63.					Buy (add'l)	10/12/11	K		
64. - Spartan 500 Index Fd Adv Class					Buy	7/28/11	K		
65.					Buy (add'l)	8/3/11	J		
66.					Buy (add'l)	8/9/11	J		
67.					Buy (add'l)	8/11/11	J		
68.					Buy (add'l)	8/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					Buy (add'l)	9/7/11	J		
70.					Buy (add'l)	9/21/11	J		
71.					Buy (add'l)	9/27/11	J		
72.					Buy (add'l)	11/1/11	J		
73.					Buy (add'l)	12/5/11	J		
74. - Fidelity Contrafund					Buy	7/28/11	K		
75.					Buy (add'l)	8/3/11	J		
76.					Buy (add'l)	8/9/11	J		
77.					Buy (add'l)	8/11/11	J		
78.					Buy (add'l)	8/16/11	J		
79.					Buy (add'l)	8/23/11	J		
80.					Buy (add'l)	9/7/11	J		
81.					Buy (add'l)	9/21/11	J		
82.					Buy (add'l)	9/27/11	J		
83.					Buy (add'l)	11/1/11	J		
84.					Buy (add'l)	12/5/11	J		
85. - Fidelity Strategic Dividend & Income					Buy	8/3/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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86.					Buy (add'l)	8/9/11	J		
87.					Buy (add'l)	8/11/11	J		
88.					Buy (add'l)	8/16/11	J		
89.					Buy (add'l)	8/23/11	J		
90.					Buy (add'l)	9/7/11	J		
91.					Buy (add'l)	9/21/11	J		
92.					Buy (add'l)	9/27/11	J		
93.					Buy (add'l)	10/12/11	J		
94. - Fidelity Low Priced Stock					Buy	7/28/11	K		
95.					Buy (add'l)	8/3/11	J		
96.					Buy (add'l)	8/9/11	J		
97.					Buy (add'l)	8/11/11	J		
98.					Buy (add'l)	9/7/11	J		
99.					Buy (add'l)	9/21/11	J		
100.					Buy (add'l)	9/27/11	J		
101.					Buy (add'l)	11/1/11	J		
102.					Buy (add'l)	12/5/11	J		

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103. - Fidelity Select Medical Equip & System					Buy	7/28/11	J		
104.					Buy (add'l)	8/9/11	J		
105.					Buy (add'l)	9/21/11	J		
106.					Buy (add'l)	9/27/11	J		
107.					Buy (add'l)	11/1/11	J		
108.					Buy (add'l)	12/5/11	J		
109. - Oakmark International Small Cap I					Buy	7/28/11	K		
110.					Buy (add'l)	8/3/11	J		
111.					Buy (add'l)	8/9/11	J		
112.					Buy (add'l)	8/11/11	J		
113.					Buy (add'l)	8/16/11	J		
114.					Buy (add'l)	9/7/11	J		
115.					Buy (add'l)	9/21/11	J		
116.					Buy (add'l)	9/27/11	J		
117.					Buy (add'l)	11/1/11	J		
118.					Buy (add'l)	12/19/11	J		
119. - Perkins Mid Cap Value Fund Class T					Buy	7/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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120.					Buy (add'l)	11/1/11	J		
121. - Janus Overseas Fund Class T					Buy	7/28/11	J		
122.					Buy (add'l)	8/3/11	J		
123.					Buy (add'l)	8/9/11	J		
124.					Buy (add'l)	8/11/11	J		
125.					Buy (add'l)	8/16/11	J		
126.					Buy (add'l)	9/27/11	J		
127.					Buy (add'l)	11/1/11	J		
128. - SSGA Emerging Markets					Buy	7/28/11	J		
129.					Buy (add'l)	8/3/11	J		
130.					Buy (add'l)	8/9/11	J		
131.					Buy (add'l)	8/11/11	J		
132.					Buy (add'l)	8/16/11	J		
133.					Buy (add'l)	9/21/11	J		
134.					Buy (add'l)	9/27/11	J		
135.					Buy (add'l)	12/5/11	J		
136.					Buy (add'l)	12/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Fidelity Floating Rate High Income					Buy	7/28/11	K		
138.					Buy (add'l)	8/3/11	J		
139. - Fidelity GNMA Fund					Buy	7/28/11	K		
140.					Buy (add'l)	8/3/11	J		
141.					Buy (add'l)	8/9/11	J		
142.					Buy (add'l)	8/11/11	J		
143.					Buy (add'l)	8/16/11	J		
144.					Buy (add'l)	8/23/11	J		
145.					Buy (add'l)	9/7/11	J		
146. - Fidelity Strategic Income					Buy	7/28/11	K		
147.					Buy (add'l)	8/3/11	J		
148.					Buy (add'l)	8/9/11	J		
149.					Buy (add'l)	8/11/11	J		
150.					Buy (add'l)	8/16/11	J		
151.					Buy (add'l)	8/23/11	J		
152.					Buy (add'l)	9/7/11	J		
153.					Buy (add'l)	9/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	9/27/11	J		
155.					Buy (add'l)	11/1/11	J		
156.					Buy (add'l)	12/5/11	J		
157. - PIMCO Low Duration Class D					Buy	7/28/11	K		
158.					Buy (add'l)	8/3/11	J		
159.					Buy (add'l)	8/9/11	J		
160.					Buy (add'l)	8/11/11	J		
161.					Buy (add'l)	8/16/11	J		
162.					Buy (add'l)	8/23/11	J		
163.					Buy (add'l)	9/7/11	J		
164.					Buy (add'l)	9/21/11	J		
165.					Buy (add'l)	11/1/11	J		
166. - PIMCO Investment Grade Corp Bond CL D					Buy	7/28/11	J		
167.					Buy (add'l)	8/3/11	J		
168.					Buy (add'l)	8/23/11	J		
169.					Buy (add'l)	9/7/11	J		
170.					Buy (add'l)	9/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	11/1/11	J		
172. - PIMCO Global Advt Strategy Bond CL D					Buy	7/28/11	K		
173.					Buy (add'l)	8/3/11	J		
174.					Buy (add'l)	8/9/11	J		
175.					Buy (add'l)	8/11/11	J		
176.					Buy (add'l)	8/16/11	J		
177.					Buy (add'l)	8/23/11	J		
178.					Buy (add'l)	9/7/11	J		
179.					Buy (add'l)	9/21/11	J		
180.					Buy (add'l)	9/27/11	J		
181.					Buy (add'l)	11/1/11	J		
182.					Buy (add'l)	12/5/11	J		
183.					Buy (add'l)	12/19/11	J		
184. - Allianz AGIC Income & Grtowth CL D					Buy	7/28/11	K		
185.					Buy (add'l)	8/3/11	J		
186.					Buy (add'l)	8/9/11	J		
187.					Buy (add'l)	9/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	9/27/11	J		
189.					Buy (add'l)	10/12/11	J		
190. -Vanguard LifeStrategy Moderate Growth	A	Dividend			Sold	8/23/11	J		
191. - Fidelity Cash Reserves									
192.									
193.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
D'Agostino, Mae A.	05/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature s/Mae A. D'Agostino

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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