

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2011 to 12/31/2011
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-executor	Estate #1
2.	Trustee	Trust #1
3.	Partner	Wilmer Cutler Pickering Hale & Dorr
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2009	WilmerHale partnership agreement re interest earned on retained capital of former partners
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 1/1/11	Partnership Income (1/1/11 - 8/31/11)	\$1,329,837.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		1. IRA #1								
2. -ING Senior Income Cl A		A	Int./Div.	K	T	Buy (add'l)	04/18/11	J		
3. -MFS Emerging Markets Debt Fund Class I		A	Int./Div.	J	T					
4. -Ivy Global Natural Resources Fund Class I				J	T					
5. -Franklin Templeton Emerging Markets Bond Fund		D	Int./Div.	L	T	Buy	10/19/11	L		
6. IRA #2										
7. -MFS Emerging Markets Debt Fund Class I						Buy	4/25/11	J		
8. -Templeton Global Bond Fund Cl A						Sold	4/21/11	J	A	
9. IRA #3										
10. -ING Senior Income Cl A		A	Int./Div.	K	T	Buy (add'l)	04/21/11	J		
11. -MFS Emerging Markets Debt Fund Class I		A	Int./Div.	K	T					
12. -Ivy Global Natural Resources Fund Cl Y				J	T					
13. Trust #1										
14. -Acadian Emerging Markets		A	Dividend	J	T					
15. -Eaton Vance Structured Emerging Markets				J	T					
16. -GRT Value Fund Advisors Class				J	T					
17. -ING Senior Income Cl A		A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. -ING Senior Income Fund FI Q								
19. -iShares Tr MSCI EAFE Index Fd			J	T					
20. -iShares Tr S&P 400 BARRA Midcap Growth			J	T					
21. -Ivy Asset Strategy Fund Class A	A	Dividend	K	T					
22. -Loomis Sayles Strategic Income A	A	Int./Div.	J	T					
23. -PIMCO Funds Total Return Fund Instl #35	A	Int./Div.	J	T					
24. -T. Rowe Price Emerging Market Bond Fund	A	Int./Div.	J	T					
25. -Wisdomtree Large Cap Dividend	A	Int./Div.	K	T					
26. -Wisdomtree MidCap Dividend			J	T					
27. -Berkshire Hathaway Inc Del B			K	T	Buy (add'l)	6/21/11	J		
28. -Ivy Global Natural Resources Fund CI Y			J	T					
29. -Schwab NY Tax Ex Money Sweep Shares (Y)									
30. Trust #2									
31. -Acadian Emerging Markets	A	Dividend	J	T					
32. -Eaton Vance Structured Emerging Markets A			J	T					
33. -ING Senior Income CI A	A	Int./Div.	J	T					
34. -iShares Tr MSCI EAFE Index Fd	A	Int./Div.	J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
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	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	-iShares Tr S&P 400 BARRA Midcap Growth			J	T					
36.	-Ivy Asset Strategy Fund Class A			K	T					
37.	-Loomis Sayles Strategic Income A	A	Int./Div.	J	T					
38.	-PIMCO Funds Total Return Fund Instl #35	A	Int./Div.	J	T					
39.	-T. Rowe Price Emerging Markets Bond Fund	A	Int./Div.	J	T					
40.	-Wisdomtree Large Cap Dividend	A		K	T					
41.	-Wisdomtree MidCap Dividend			J	T					
42.	-Berkshire Hathaway Inc Del B			K	T	Buy (add'l)	6/21/11	J		
43.	-Ivy Global Natural Resources Fund CI Y			J	T					
44.	-Schwab Municipal Money Fund Sweep Shares (Y)									
45.	Brokerage #1									
46.	-Eaton Vance Structured Emerging Markets I	C	Int./Div.	N	T	Buy (add'l)	12/28/11	J		
47.	-ING European Bank Loan	D	Int./Div.	M	T					
48.	-ING Senior Income CI A									
49.	-ING Senior Income Fund CI Q									
50.	-iShares Tr S&P 600 BARRA Small Cap Growth					Sold	12/30/11	K	D	
51.	-MFS Emerging Markets Debt Fund Class I	C	Int./Div.	K	T					

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
	52. -New York Port Authority								
53. -Prudential Jennison Natural Resources CI A									
54. -Schwab NY Tax Ex Money Sweep Shares			N	T					
55. -Aria Consumer Fund			N	T					
56. -Berkshire Hathaway Inc Del B			M	T	Buy (add'l)	06/21/11	K		
57. -Deutsche Bank AG London 307 US CMS			J	T					
58. -Ivy Global Natural Resources Fund CI Y			M	T					
59. -JLP Credit Opportunity Fund					Sold	03/31/11	M		
60. -Prudential Jennison Natural Resources Fund CI Z			M	T	Buy (add'l)	09/29/11	K		
61. -First Eagle Global	D	Int./Div.	M	T	Buy	4/18/11	M		
62. -First Eagle Global					Buy (add'l)	12/30/11	J		
63. -Aberdeen Emerging Markets	B	Int./Div.	L	T	Buy	9/29/11	K		
64. Brokerage #2									
65. -Schwab NY Tax Ex Money Sweep Shares									
66. Brokerage #3									
67. -Consumer Stap SPDR	B	Int./Div.	M	T	Sold (part)	01/18/11	J	A	
68. -Consumer Stap SPDR					Buy (add'l)	02/7/11	J		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I1=\$500,001 - \$1,000,000 I2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Consumer Stap SPDR					Sold (part)	2/14/11	J	A	
70. -Consumer Stap SPDR					Buy (add'l)	4/19/11	K		
71. -Consumer Stap SPDR					Buy (add'l)	8/8/11	J		
72. -Consumer Stap SPDR					Buy (add'l)	8/15/11	K		
73. -Consumer Stap SPDR					Buy (add'l)	9/30/11	K		
74. -Consumer Stap SPDR					Buy (add'l)	10/3/11	J		
75. -Consumer Stap SPDR					Sold (part)	10/24/11	J	A	
76. -Consumer Stap SPDR					Buy (add'l)	12/9/11	J		
77. -Consumer Stap SPDR					Sold (part)	12/12/11	J	A	
78. -Consumer Dis SS SPDR	A	Int./Div.	M	T	Sold (part)	1/18/11	J	A	
79. -Consumer Dis SS SPDR					Buy (add'l)	2/7/11	J		
80. -Consumer Dis SS SPDR					Sold (part)	2/14/11	J	A	
81. -Consumer Dis SS SPDR					Buy (add'l)	4/19/11	K		
82. -Consumer Dis SS SPDR					Buy (add'l)	8/8/11	J		
83. -Consumer Dis SS SPDR					Sold (part)	8/15/11	K	A	
84. -Consumer Dis SS SPDR					Buy (add'l)	12/12/11	M		
85. -Consumer Dis SS SPDR					Buy (add'l)	12/16/11	J		

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I12 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
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| 3. Value Method Codes
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		Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
86.	-Consumer Dis SS SPDR					Buy (add'l)	12/30/11	J			
87.	-Energy Select Sector SPDR	A	Int./Div.		T	Sold (part)	1/18/11	J	A		
88.	-Energy Select Sector SPDR					Buy (add'l)	2/7/11	J			
89.	-Energy Select Sector SPDR					Sold (part)	2/14/11	J	A		
90.	-Energy Select Sector SPDR					Buy (add'l)	4/19/11	K			
91.	-Energy Select Sector SPDR					Buy (add'l)	8/8/11	J			
92.	-Energy Select Sector SPDR					Buy (add'l)	8/15/11	K			
93.	-Energy Select Sector SPDR					Sold	8/29/11	L			
94.	-Healthcare SS SPDR	A	Int./Div.		T	Buy (add'l)	1/18/11	K			
95.	-Healthcare SS SPDR					Sold (part)	2/7/11	K	A		
96.	-Healthcare SS SPDR					Buy (add'l)	2/14/11	K			
97.	-Healthcare SS SPDR					Buy (add'l)	4/19/11	K			
98.	-Healthcare SS SPDR					Buy (add'l)	8/8/11	J			
99.	-Healthcare SS SPDR					Sold (part)	8/15/11	K			
100.	-Healthcare SS SPDR					Buy (add'l)	9/6/11	L			
101.	-Healthcare SS SPDR					Buy (add'l)	9/30/11	K			
102.	-Healthcare SS SPDR					Sold (part)	10/3/11	L			

- | | | | | | |
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Healthcare SS SPDR					Sold (part)	10/6/11	K		
104. -Healthcare SS SPDR					Buy (add'l)	10/24/11	M		
105. -Healthcare SS SPDR					Sold	12/5/11	M	B	
106. -Materials SS SPDR	A	Int./Div.		T	Sold (part)	1/18/11	J	A	
107. -Materials SS SPDR					Buy (add'l)	2/7/11	J		
108. -Materials SS SPDR					Sold (part)	2/14/11	J	A	
109. -Materials SS SPDR					Buy (add'l)	4/19/11	K		
110. -Materials SS SPDR					Buy (add'l)	8/8/11	J		
111. -Materials SS SPDR					Sold	8/15/11	K		
112. -Schwab NY Tax Ex Money Sweep Shares			J	T					
113. -Select Sector SPDR Amex Industrial	A	Int./Div.			Sold (part)	1/18/11	J	A	
114. -Select Sector SPDR Amex Industrial					Buy (add'l)	2/7/11	J		
115. -Select Sector SPDR Amex Industrial					Sold (part)	2/14/11	J	A	
116. -Select Sector SPDR Amex Industrial					Buy (add'l)	4/19/11	K		
117. -Select Sector SPDR Amex Industrial					Buy (add'l)	8/8/11	J		
118. -Select Sector SPDR Amex Industrial					Sold	8/15/11	K		
119. -Select Sector SPDR Financial					Sold (part)	1/18/11	J	A	

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 Q=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Select Sector SPDR Financial					Buy (add'l)	2/7/11	J		
121. -Select Sector SPDR Financial					Sold (part)	2/14/11	J	A	
122. -Select Sector SPDR Financial					Buy (add'l)	4/19/11	K		
123. -Select Sector SPDR Financial					Sold	8/8/11	K		
124. -Select Sector SPDR Technology	A	Int./Div.	M	T	Sold (part)	1/18/11	J	A	
125. -Select Sector SPDR Technology					Buy (add'l)	2/7/11	J		
126. -Select Sector SPDR Technology					Sold (part)	2/14/11	J	A	
127. -Select Sector SPDR Technology					Buy (add'l)	4/19/11	K		
128. -Select Sector SPDR Technology					Buy (add'l)	8/8/11	J		
129. -Select Sector SPDR Technology					Sold (part)	8/15/11	K		
130. -Select Sector SPDR Technology					Buy (add'l)	12/12/11	M		
131. -Select Sector SPDR Technology					Buy (add'l)	12/16/11	J		
132. -Select Sector SPDR Technology					Buy (add'l)	12/30/11	J		
133. -Utilities Sel SPDR	C	Int./Div.	M	T	Sold (part)	1/18/11	J	A	
134. -Utilities Sel SPDR					Buy (add'l)	2/7/11	J		
135. -Utilities Sel SPDR					Sold (part)	2/14/11	J	A	
136. -Utilities Sel SPDR					Buy (add'l)	4/19/11	K		

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
137. -Utilities Sel SPDR					Buy (add'l)	8/8/11	J		
138. -Utilities Sel SPDR					Buy (add'l)	8/15/11	K		
139. -Utilities Sel SPDR					Sold (part)	8/29/11	J	A	
140. -Utilities Sel SPDR					Buy (add'l)	9/30/11	K		
141. -Utilities Sel SPDR					Sold (part)	10/3/11	J	A	
142. -Utilities Sel SPDR					Buy (add'l)	12/9/11	J		
143. -Utilities Sel SPDR					Sold (part)	12/12/11	J	A	
144. Brokerage #4									
145. -Acadian Emerging Markets	B	Int./Div.	L	T	Buy (add'l)	12/29/11	J		
146. -Causeway International Value Fund Invest	B	Int./Div.			Sold	12/30/11	K		
147. -Elmira County NY School (Y)									
148. -GRT Value Fund Advisor Class	C	Int./Div.			Buy (add'l)	4/18/11	L		
149. -GRT Value Fund Advisor Class					Sold	12/30/11	M		
150. -iShares Tr MSCI EAFE Index Fd	D	Int./Div.			Sold	12/30/11	M	E	
151. -iShares Tr S&P 400 BARRA Midcap Value	B				Sold	12/30/11	M	E	
152. -iShares Tr S&P 600 BARRA Small Cap Growth	A	Int./Div.			Sold	12/30/11	L	E	
153. -MFS Emerging Markets Debt Fund Class I	C	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 I2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
	154. -PIMCO Funds Total Return Fund Instl #35	B	Int./Div.			Sold	8/11/11	L	
155. -Prudential Jennison Natural Resources CI A									
156. -Schwab NY Tax Ex Money Sweep Shares			O	T					
157. -Wisdomtree Large Cap Dividend	E	Int./Div.	O	T					
158. -Berkshire Hathaway Inc Del B			M	T	Sold (part)	12/6/11	L	F	
159. -Berkshire Hathaway Inc Del CI A			P1	T					
160. -Ivy Global Natural Resources Fund CI Y			M	T					
161. -Microsoft Corp Com (Y)									
162. -Pfizer Inc (Y)									
163. -Landmark Value Strategies QP			J		Redeemed	12/31/10			
164. -Prudential Jennison Natural Resources Fund CI Z			M	T					
165. -First Eagle Global Instl CI 1	D	Int./Div.	M	T	Buy	4/18/11	M		
166. -First Eagle Global Instl CI 1					Buy (add'l)	12/30/11	J		
167. -PIMCO Unconstrained Bond Instl CI			M	T	Buy	12/30/11	M		
168. 401(k) #1									
169. -Acadian Emerging Markets					Buy (add'l)	1/31/11	J		
170. -Acadian Emerging Markets					Buy (add'l)	2/28/11	J		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -Acadian Emerging Markets					Buy (add'l)	3/29/11	J		
172. -Acadian Emerging Markets					Buy (add'l)	4/18/11	K		
173. -Acadian Emerging Markets					Buy (add'l)	4/27/11	J		
174. -Acadian Emerging Markets					Buy (add'l)	5/9/11	K		
175. -Acadian Emerging Markets					Buy (add'l)	5/31/11	J		
176. -Acadian Emerging Markets					Buy (add'l)	6/29/11	J		
177. -Acadian Emerging Markets					Buy (add'l)	7/26/11	J		
178. -Acadian Emerging Markets					Buy (add'l)	8/29/11	J		
179. -Acadian Emerging Markets					Sold	10/17/11	M	E	
180. -Brandes Inst Intl Equity Fund					Sold (part)	4/18/11	J	B	
181. -Brandes Inst Intl Equity Fund					Buy	5/9/11	J		
182. -Brandes Inst Intl Equity Fund					Sold	8/11/11	J		
183. -ING Senior Income Fund Cl W	D	Int./Div.			Sold (part)	5/13/11	M	D	
184. -ING Senior Income Fund Cl W					Sold (part)	8/12/11	M		
185. -ING Senior Income Fund Cl W					Sold	10/14/11	M	A	
186. -Franklin Templeton Emerging Markets Debt Opp					Buy	5/18/11	M		
187. -Franklin Templeton Emerging Markets Debt Opp					Sold (part)	8/11/11	L		

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -Franklin Templeton Emerging Markets Debt Opp					Sold	10/17/11	L		
189. -Ivy Global Natural Resources					Buy	8/18/11	K		
190. -Ivy Global Natural Resources					Sold	10/17/11	K		
191. -Aberdeen Emerging Markets Instl					Buy	8/18/11	L		
192. -Aberdeen Emerging Markets Instl					Sold	10/17/11	K		
193. UTMA #1									
194. -Eaton Vance Structured Emerging Markets A			J	T					
195. -ING Senior Income CI A			J	T					
196. -iShares Tr MSCI EAFE Index Fd	A	Int./Div.	K	T					
197. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
198. -Wisdomtree Large Cap Dividend			J	T					
199. -Wisdom Tree MidCap Dividend			J	T					
200. -Ivy Global Natural Resources Fund CI Y			J	T					
201. UTMA #2									
202. -Eaton Vance Structured Emerging Markets A			J	T					
203. -ING Senior Income Fund CI A	A	Int./Div.	J	T					
204. -iShares Tr MSCI EAFE Index Fd			J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	205. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T				
206. -WisdomTree Large Cap Dividend			J	T					
207. -WisdomTree MidCap Dividnd			J	T					
208. -Berkshire Hathaway Inc Del B			K	T					
209. -Ivy Global Natural Resources Fund CI Y			J	T					
210. UTMA #3									
211. -Eaton Vance Structured Emerging Markets A			J	T					
212. -ING Senior Income CI Q (Y)									
213. -ING Senior Income CI A			J	T					
214. -iShares Tr MSCI EAFE Index Fd	A	Int./Div.	K	T					
215. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
216. -WisdomTree Large Cap Dividend			J	T					
217. -WisdomTree MidCap Dividend			J	T					
218. -Ivy Global Natural Resources Fund CI Y			J	T					
219. -UTMA #4									
220. -Eaton Vance Structured Emerging Markets A			J	T					
221. -ING Senior Income CI A	A	Int./Div.	J	T					

- | | | | | | |
|--|---|--|---|--|---|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A=\$1,000 or less
F=\$50,001 - \$100,000 | B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000 | C=\$2,501 - \$5,000
H=\$5,001 - \$15,000 | D=\$5,001 - \$15,000
I=\$15,001 - \$50,000 | E=\$15,001 - \$50,000
J=\$50,001 - \$100,000 |
| 2. Value Codes
(See Columns C1 and D3) | J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000 | K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000 | L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000 | M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q=Appraisal
U=Book Value | R=Cost (Real Estate Only)
V=Other | S=Assessment
W=Estimated | T=Cash Market | |

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	222. -iShares Tr MSCI EAFE Index Fd			J	T				
223. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
224. -WisdomTree Large Cap Dividend			J	T					
225. -WisdomTree MidCap Dividend			J	T					
226. -Berkshire Hathaway Inc Dcl B			K	T					
227. -Ivy Global Natural Resources Fund CI Y			J	T					
228. 529 Plan #1									
229. -New York Saves Bond Market Index Portfolio					Sold	12/20/11	K		
230. -New York Saves Bond Market Index Portfolio					Buy (add'l)	1/11/11	J		
231. -New York Saves Growth Stock Index Portfolio			K	T	Buy (add'l)	1/11/11	J		
232. -New York Saves Mid Cap Index Portfolio					Buy (add'l)	1/11/11	J		
233. -New York Saves Mid Cap Index Portfolio					Sold	12/20/11	J		
234. -New York Saves Small Cap Stock Index Portfolio					Buy (add'l)	1/11/11	J		
235. -New York Saves Small Cap Stock Index Portfolio					Sold	12/20/11	J		
236. -New York Saves Value Stock Index Portfolio			K	T	Buy (add'l)	1/11/11	J		
237. -New York Saves Interest Accumulation Index Portfolio			K	T	Buy	12/20/11	K		
238. 529 Plan #2									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I1=\$5,000,001 - \$10,000,000 I2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
239. -New York Saves Developed Markets Income Portfolio			K	T						
240. -New York Saves Interest Accumulation Portfolio			J	T	Sold (part)	1/6/11	K			
241. -New York Saves Mid Cap Index Portfolio			K	T	Buy (add'l)	1/6/11	K			
242. -New York Saves Growth Stock Index Portfolio			J	T	Sold	1/6/11	K			
243. -New York Saves Growth Stock Index Portfolio			L	T	Buy	1/12/11	J			
244. -New York Saves Inflation Protected Portfolio			L	T	Buy (add'l)	1/12/11	J			
245. -New York Saves Value Stock Index Portfolio			K	T	Buy (add'l)	1/6/11	J			
246. -New York Saves Income Portfolio (X)					Sold	1/6/11	K			
247. -New York Saves Small Cap Stock Index Portfolio (X)					Sold	1/6/11	J			
248. 529 Plan #3										
249. -New York Saves Developed Markets Income Portfolio			K	T						
250. -New York Saves Mid Cap Stock Index Portfolio			J	T						
251. -New York Saves Inflation Protected Portfolio			L	T	Buy (add'l)	1/3/11	L			
252. -New York Saves Small Cap Stock Index Portfolio			J	T						
253. -New York Saves Income Portfolio (X)					Sold	1/3/11	L			
254. 529 Plan #4										
255. -New York Saves Bond Market Index Portfolio					Sold	12/21/11	J			

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|--|--|--|--|--|-----------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A=\$1,000 or less
F=\$50,001 - \$100,000
J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000 | B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000 | C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000 | D=\$5,001 - \$15,000
H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000 | E=\$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q=Appraisal
U=Book Value | R=Cost (Real Estate Only)
V=Other | S=Assessment
W=Estimated | T=Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
256.	-New York Saves Developed Markets Income Portfolio					Sold	12/21/11	J			
257.	-New York Saves Growth Stock Index Portfolio			K	T	Sold (part)	12/21/11	J			
258.	-New York Saves Mid Cap Stock Index Portfolio					Sold	12/21/11	K			
259.	-New York Saves Inflation Protected Portfolio			J	T	Sold (part)	12/21/11	J			
260.	-New York Saves Small Cap Stock Index Portfolio					Sold	12/21/11	K			
261.	-New York Saves Value Stock Index Portfolio			K	T	Sold (part)	12/21/11	J			
262.	-New York Saves Interest Accumulation Portfolio			L	T	Buy	12/21/11	L			
263.	Formerly Brokerage #5 (since added to Brokerage #1)										
264.	-BHP Billiton Limited (BHP)					Sold	5/16/11	J	C		
265.	-Fidelity Select Energy Fund					Sold	4/18/11	J			
266.	-Fidelity Select Energy Services					Sold	4/19/11	J			
267.	-General Electric (GE)					Sold	5/16/11	J			
268.	-Travelers Cos Inc Com (TRV)					Sold	5/16/11	J	A		
269.	-United Parcel SVC Inc Cl B (UPS)					Sold	5/16/11	J	B		
270.	IRA #4										
271.	-Vanguard Value Index Fund Admiral Shares					Sold	4/18/11	K			
272.	-Vanguard Extended Market Index Fund Admiral Shares					Sold	4/18/11	K	A		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	273. -Vanguard Wellington Fund Admiral	B	Int./Div.			Sold	4/18/11	M	
274. -Ivy Global Natural Resources			K	T	Buy	4/18/11	K		
275. -ING Senior Income Cl A	D	Int./Div.	M	T	Buy	4/19/11	M		
276. IRA #5									
277. -Fidelity Cash Reserves									
278. -Fidelity Contrafund					Sold	4/18/11	L		
279. -Fidelity Growth & Income					Sold	4/18/11	J		
280. -Fidelity Select Defense & Aerospace					Sold	4/18/11	J		
281. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T	Buy	4/18/11	K		
282. -ING Senior Income Cl A	B	Int./Div.	K	T	Buy	4/19/11	K		
283. IRA #6									
284. -Aberdeen Emerging Markets	B	Int./Div.	L	T	Buy	10/19/11	L		
285. -Acadian Emerging Markets	C	Int./Div.	M	T	Buy	10/19/11	M		
286. -Definitive Guardian Fund Ltd			O	W	Buy	12/1/11	O		
287. -Franklin Templeton EM Debt Op	C	Int./Div.	L	T	Buy	10/19/11	L		
288. -Ivy Global Natural Resources Fund Cl Y			L	T	Buy	10/19/11	K		
289. -Schwab Advanced Cash Reserves			K	T	Buy				

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	290. WCPHD Defined Benefits Plan					Sold	10/25/11	N	
291. Teachers Retirement System of NY 403(b) #1									
292. -Diversified Equity			M	T	Sold (part)	3/1/11	J		
293. -Diversified Equity					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
294. -Stable Value			L	T	Sold (part)	3/1/11	J		
295. -Stable Value					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
296. -International Equity			L	T	Sold (part)	3/1/11	J		
297. -International Equity					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
298. -Inflation Protection			L	T	Sold (part)	3/1/11	J		
299. -Inflation Protection					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
300. Citigroup Checking Account	A	Interest	N	T					
301. Chase Checking Account			K	T					
302. Westchester County Real Estate Parcel #1			L	W					
303. Westchester County Real Estate Parcel #2			L	W					
304. Estate #1 (X)									
305. HSBC Checking Account #1 (X)									
306. -Cash	A	Interest	P1	T					

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|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Brokcrage #5 (X)									
308. -Vanguard REIT Index Fund	A	Int./Div.	J	T					
309. New York City Real Estate Parcel #1 (X)					Sold	12/15/11	P1		Proceeds to Estate #1

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I12 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | P4 = More than \$50,000,000
S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting	Date of Report
Engelmayer, Paul A.	05/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting	Date of Report
Engelmayer, Paul A.	05/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544