

FINANCIAL DISCLOSURE REPORT AOIO in Government Act of 1978 Rev.112007 FOR CALENDAR YEAR 2006 (5 U.S.C. opp.&sect;&sect; 101-111)

1. Person Reporting Qast name, first, middle initial) Alarcon, Arthur L 2. Court or Organization U.S. Court of Appeals

3.  
Date of Report 04/20/2007

4.  
Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time) Circuit Judge Senior Status Sa. Report Type (check appropriate type) D Nomination, Date D Initial Annual D Final 6. Reporting Period 01/01/2006 to 12/3112006 Sb. D Amended Report

7. Chambers or Office Address U.S. Courthouse 312 No. Spring Street Los Angeles, CA 90012 8. On the basis of the Information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Oflu:er Date  
IMPORT ANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NOJVE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)  
D NONE (No reportable positions.) NAME OF ORGANIZATION/ENTITY

1.  
Director Las Familias de! Pueblo (a not-for-profit corporation)

2.

3.

5. CJ .....,= =  
II. AGREEMENTS. (Reporting individual only; see pp.14-16 of instructionsJ D NONE (No reportable agreements.)  
PARTIES AND TERMS CJ(\_) :.; c::r> ::c::J ::::: :--n &middot;:::--> ());-,-i 7:!: :i:: -< UI )> C) \_J ("") rn <' .....

- 1. 6/76 Employee's Retirement Benefit (Los Angeles County)
- 2. 6176 Employee's Retirement Benefit (State of California)
- 3. 6192 State Judge's Pension

Page 2 of9  
ID. NON-INVESTMENT INCOME. (Reporting indtvidual and spouse; see PP&middot; 17-u of instructions.)

A. Filer's Non-Investment Income D NONE (No reportable non-investment income.) SOURCE AND TYPE INCOME (yours, not spouse's)

- I. 2006 Retirement Benefits, State of California \$ 2,27 6
- 2.2006 Retirement Benefits, Los Angeles County \$ 10,944
- 3.2006 Retirement Benefits,

State of California Judges \$ 36,183

4. 2006 West Services Inc. \$ 4,539

B. Spouse's Non-Investment Income -I/you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.) D AND TYPE 1.2006 Retirement Plan of Citibank 2.2006 Retirement Plan of Honeywell

3.

4.

5.

JV. REIMBURSEMENTS -transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

D NONE (No reportable reimbursements.)

SOURCE DESCRIPTION

I. Montana Assoc. of Criminal Defense Lawyers Mar. 15-17 -ChicHotel) o Hot Springs, MT -Speaker at Seminar (Transportation, Food, and

2.

3.

4.

5.

Page 3 of 9

V. GIFTS. (Includes those to spouse and dependent children. See pp. 18-31 of instructions.)

[KJ NONE (No reportable gifts.) SOURCE

VALUE

1.

2.

3.

5.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 31-33 of instructions.)

[KJ NONE (No reportable liabilities.)

VALUE CODE

- 1.
- 2.
- 3.

5.  
Page 4 of 9

VII.  
INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children.  
See pp. 34-60 of filing instructions.)  
D NONE (No reportable income, assets, or transactions.)

D.

A.  
B. C. Description of Assets Income during Gross value at end of Transactions during reporting period (including trust assets) reporting period reporting period

(2)

(1)

(1)

(2)

(4)

(3)

(5)

Amount Type(e.g. Value Value Code 1 Place "(X)" after each asset div., rent, Code 2 Method (A-H) or int.) (J-P) Code 3 (Q-W) Type(e.g. buy, sell, redemption) Date Month Day Value Gain Identity of Code 2 Code I buyer/seller (J-P) (A-H) (if private transaction)

1.

Income Gain Codes: A =\$1,000 or less B\$1,0-01 -\$2,50-0 c\$2,501 -\$5,000 D 5,0-01 -\$15,000 E 15,0-01 \$50,000 (See Columns B1 and D4) F \$50,0-01 -\$10-0,0-00 G \$10-0,001-\$1,000,000 HI\$!,000,001 -\$5,000,000 H2 More than \$5,000,000

2.

Value Codes J=\$15,000 or less K \$15,001 - \$50,000 L \$50,001 - \$100,000 M \$100,001 - \$250,000 (See Columns CJ and D3) N \$250,001 - \$500,000 O \$500,001 - \$1,000,000 P1 \$1,000,001 - \$5,000,000 P2 \$5,000,001 - \$25,000,000

3.

Value Method Codes P3 \$25,000,001 - \$50,000,000 R Real Estate Only P4 More than \$50,000,000 T Trust (See Column C2) Q = Appraisal V = Other S = Assessment U = Book Value W Estimated

Page 5 of 9

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

B. r· c\_ D.

Description of Assets Income during I Gross value at end of Transactions during reporting period (including trust assets) reporting period reporting period

(1)

(1)

(2)

(2)

(2)

(4)

Place "(X)" after each asset Amount Type (e.g. exempt from prior disclosure Code I div., rent, (A·H) or int) Value Code 2 Value Method (J-P) Code3 (Q-W) Type (e.g. buy, sell, redemption) Date Month-Day Value Gain Identity of Code 2 Code 1 buyer/seller (J-P) (A-H) (if private transaction)

1.

Income Gain Codes: A =\$1,000 or less B \$1,001 - \$2,500 c \$2,501 - \$5,000 D 5,001 - \$15,000 E \$15,001 - \$50,000 (See Columns B1 and D4) F \$50,001 - \$100,000 G \$100,001 - \$1,000,000 H \$1,000,001 - \$5,000,000 H2 More than \$5,000,000

2.

Value Codes J 415,000 or less K\$15,001 -\$50,000 L\$50,001 -\$100,000 M 4100,001 -\$250,000 (See Columns C 1 and D3) N \$250,001 -\$500,000 04500,001-\$1,000,000 PI \$1,000,001 -\$5,000,000 P2 \$5,000,001 -\$25,000,000

3.

Value Method Codes P3 \$25,000,001 -\$50,000,000 R 9:ost (Real Estate Only) P4 More than \$50,000,000 T 9:ash Market (See Column C2) QAppraisal V"Othcr S =Assessment U =Book Value W=Estimated

Page 6 of9

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

D.

---1 R c\_ Description of Assets Income during Gross value at end of Transactions during reporting period (including trust assets) reporting period reporting period

(I)

(I)

(2)

(2)

(1)

(2)

(3)

(5)

Type(e.g. Amount Value Value Place'lIdentity of (X)" after each asset Date Type(e.g. Value Gain Code I exempt from prior disclosure div., rent, Code 2 Method buy, sell, Month Code 2 Code I buyer/seller (A-H) or int) (J-P) Code 3 redemption) Day (J-P) (A-H) (if private (Q-W) transaction)

1.

Income Gain Codes: A 9;1,000 or less B \$1,001 -\$2,500 c\$2,501 -\$5,000 D 9;5,001 -\$15,000 E \$15,001 \$50,000 (Sec Columns B 1 and D4) F \$50,001 -\$100,000 G\$100,001 -\$1,000,000 HI \$1,000,001 -\$5,000,000 H2 More than \$5,000,000

2.

Value Codes J 15,000 or less K\$15,001 -\$50,000 L\$50,001 -\$100,000 M \$100,001 -\$250,000 (See Columns C1 and D3) N \$250,001 -\$500,000 O 500,001 -\$1,000,000 P1 \$1,000,001-\$5,000,000 P2 \$5,000,001 \$25,000,000

3.

Value Method Codes P3 s25,000,001 -S50,000,000 R ost (Real Estate Only) P4 =More than \$50,000,000 T =Cash Market (See Column C2) Q =Appraisal V=Olher S =Assessment U=Book Value W =Estimated

Page 7 of9

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

D.

A.

B.

c.

r--&#65533;&#65533;&#65533;&#65533;&#65533;

Description of Assets i Income during Gross value at end of (including trust assets) I reporting period reporting period &#65533;

(2)

(1)

Place "(X)" after each asset Type (e.g. Value exempt from prior disclosure div., rent, Code 2

:i;

or int.) (J.P)

(I)

(2)

Value  
Type (e.g.  
Method  
buy, sell,

Code 3  
redemption)  
(Q-W)  
Transactions during reporting period

(2)

Date Month-Day

(3)

Value Code 2  
(J-P)  
Gain Code I  
(A&middledot;H)  
Identity of buyer/seller (if private transaction)

52.

-CALIF INFRASTRUCTURE & ECONOMIC DEV BK REV BOND A Interest J T Purchase 05/19 J

53.

--PITTSBURGH CALIF REDEV AGY TAX ALLOC SUB BOND B Interest L T Purchase 05123 L

54.

--SAN JOSE CALIF REDEV TAX ALLOC MRGD AREA RDV PRJ BOND A Interest K T Purchase 05/18  
J

55.

Purchase 05/19 K

56.

&middledot;-CALIF ST VAR PURP GEN OBLIGATION BOND A Interest J T Purchase 05/19 J

57.

--LOS ANGELES CNTY CALIF PUB WKS FING AUTH REV SER A BOND A Interest J T Purchase 05/18  
J

I. Income Gain Codes: A s1,000 or less B \$1,001 &middledot; \$2,500 c \$2,501 -\$5,000 D 5,001 -\$15,000 E \$15,001  
\$50,000  
(See Columns B1 and D4) F s50,001 -s100,000 G \$100,001-\$1,000,000 HJ \$1,000,001 -\$5,000,000 112 More than  
\$5,000,000

2.

Value Codes J \$15,000 or less K\$15,001-\$50,000 L\$50,001-\$100,000 M \$100,001 -\$250,000 (See Columns CI

and 03) N \$250,001 -\$500,000 0 \$500,001 -\$1,000,000 P1 \$1,000,001 -\$5,000,000 P2 \$5,000,001 \$25,000,000

3.

Value Method Codes P3 \$25,000,001 -\$50,000,000 R -Cost (Real Estate Only) P4 =More than \$50,000,000  
TashMarl<et (See Column C2) Q Appraisal V=Other S =Assessment UBookValue W =Estimated

Page 8 of 9

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report)

VII. INVESTMENTS AND TRUSTS

1.

Last year's item #4 was for two brokerage sweep accounts. The accounts have been appropriately divided up as items #11 and #29.

2.

Last year's item #34, Description of Assets, changed from the brokerage name to "Sweep Account."

3.

Deleted "Common" from all references to "Common Stock," as shown in the FDR examples.

Page 9 of 9

IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. &sect; 501 et seq., 5 U.S.C. &sect; 7353, and Judicial Conference regulations. FILING INSTRUCTIONS Mail signed original and 3 additional copies to: Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544