

UNITED STATES DISTRICT COURT MIDDLE DISTRICT OF ALABAMA FRANK M. JOHNSON, JR.  
UNITED STATES COURTHOUSE COMPLEX ONE CHURCH STREET  
P.O.

BOX 629 MONTGOMERY, ALABAMA 36101-0629

W.

HAROLD ALBRITTON TELEPHONE: SENIOR JUDGE 334-954-3710 June 8, 2009

Committee on Financial Disclosure  
Administrative Office of the U.S. Courts Suite 3-201  
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One Columbus Circle, NE

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Washington, DC 20544

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Re: Calendar Year 2008 Filing (/)

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To Whom It May Concern:

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This is in response to the letter of June 5, 2009 to me from Judge Baldca. The issues raised are addressed as follows:

1.

Part VII, page 5, line 21, and page 6, line 43 -I am advised that the asset listed as ML&Co SRN was a MerriII Lynch structured investment product, based on the S&P 500.

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2.

Part VII, page 6, line 42 -I am advised that the asset listed as ML&Co Str Acct Redemp Sec was also a MerriII Lynch structured investment product, this one based on the European market. Column B(2) should show "none." Column C(1) should show "K", and C(2) should show "T".

Plese let \_!!!\_kr!Q\_w jf\_thj\_s may be worth, both of these products were sold earlier this year at a loss, as wiII be reflected on my next year's report.

3.

Part VII, page 4, line 2 -TV Cable Co. of Andalusia. This is a closely-held corporation, not publicly traded, of which I am a small shareholder. My valuation is based on the last sale of stock of which I am aware, which was several years ago and was a repurchase by the corporation of the stock of a shareholder who had died. I am not involved in any way in the business operations of the corporation. As far as I know, no efforts have been made to sell any of the stock, and no such efforts are expected. I know of no other method by which the value of the stock can be easily obtained. This is the reason that I showed the value method code as "W", but Albritton\_William\_H

Commission on Financial Disclosure June 8, 2009 Page 2  
if, under these facts, "T" would be more appropriate, please consider my report to be amended accordingly, and let me know for future reference.

Please advise if this response is in any way insufficient to answer your inquiries.

Senior United States District Judge

WHA:eb

Report Required by the Ethics FINANCIAL DISCLOSURE REPORT AOIQ in Government Act of 1978 Re-. 112008 FOR CALENDAR YEAR 2008 (5 u.s.c. app. &sect;&sect; 101-111)

1. Person Reporting (last name, first, middle initial) 2. Court or Organization 3. Date of Report Albritton, William H. District Court -MD Alabama 51712009

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time) Sa. Report Type (check appropriate type) 6. Reporting Period District Judge -Senior D Nomination, Initial Date Annual D Final 01/01/2008 to 12/31/2008 Sb. D Amended Report

7. Chambers or Office Address 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance

P. 0.Box 629 with applicable laws and regulations. Montgomery, AL36101 Reviewing Officer Date IMPORT ANT

NOTES: The instructions accompanying this form must be followed. Complete all parts, , checking the NONE box for each part where you have no reportable information. Sign on last page. .

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

[Z] NONE (No reportable positions.) POSITION NAME OF ORGANIZATION/ENTITY .1.

2.

3.

4.

'&bull;... J , ...\_\_, = II. AGREEMENTS. (Reporting individual only; see pp. u-16of filing instructions.) ,... , -Q :

&#65533;IJ

[Z]

NONE (No reportable agreements.) -... ,

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PARTIES AND TERMS r-r1&pound;?

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I.

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2.

3.

Name of Person Reporting Date of Report FINANCIAL DISCLOSURE REPORT Page 2 of 8 Albritton, William H. m.

NON-INVESTMENT INCOME. (Reporting individual and spouse; see PP&#160; 17-24 of filing instructions.)

A.

Filer's Non-Investment Income D NONE (No reportable non-investment income.) SOURCE AND TYPE INCOME (yours, not spouse's)

I.

2008 Merrill Lynch IRA Distributions \$10,720.91

2.

3.

4.

B. Spouse's Non-Investment Income -If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.) [ ] NONE (No reportable non-investment income.) AND TYPE

I.

2.

3.

4.

IV.

REIMBURSEMENTS -transportation, lodging, food, entertainment (Includes those to spouse and dependent children; see pp. 25-27 of filing Instructions.) [ ] NONE (No reportable reimbursements.) &#160;SOURCE ITEMS PAID OR

I.

4.

- 1.
- 2.
- 3.
- 4.
- 5.

VI. LIABILITIES. {Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.) [ ] NONE (No reportable liabilities.)

- 4.
- 5.

Name of Person Reporting Date of Report FINANCIAL DISCLOSURE REPORT Page 4 of 8 Albritton, Willfam H. 517/2009

VII. INVESTMENTS and TRUSTS -income, value, transactions r1nc1udes those of spouse and dependent children; see PP&middot; 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

Ac c. :b.

Description of Assets Income during Gross value at end of Transactions during reporting period

reporting period (including trust assets) reporting P. eriod

(2)

(1)

(1)

Place "(X)" after each asset Value Type (e.g., Identity of Code2 Method buy, sell, Month&middot;-Code2 CodeI buyer/seller(if private Value Date Value Gain Amount TYP (e.g., &middot; &bull; CodeI diir:, rent, exe!Ilp from prionfiscfosure (A-H) (A-HJ ot int) Codd redemption) Day (Q-W) teirisaction)

1. Regions Financial Corp. -common stock Dividend J T

2.

TV Cable Co of Andalusia -common stock c Dividend L w '&bull;,,\_

3.

Legg Mason Partners Inv. Value FD 0 A Dividend J T

4.

Exxon -common stock D Dividend N T

General Electric -common tock c Dividend L T

6.

Southern Company -common stock B Dividend K T

7.

8.  
IBM -common stock Pfizer common stock & middot;:: c A B Dividend Dividend J K T T Donated (part) 12/23 J

9.

Eaton Vance Nat -municipal fund A Dividend J T

10.

Daphne, AL Wts & Impt bonds A Interest J T

11.

Merrill Lynch Cash Money Accounts A Interest J T

12.

Merrill-Lynch IRA (assets: lines 13-24) D Div/Int M T

13.

-Merrill Lynch Retirement Reserves

14.

-Alpine Global Premier Prpty Fd .

-CD National City Bank

16.

-Colonial Properties REIT

17.

-Merrill Lynch Fundamental Growth D

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Name of Person Reporting Date of Report  
FINANCIAL DISCLOSURE REPORT  
Page 5 of 8 Albritton, William H. 51712009

VII. INVESTMENTS and TRUSTS -income,&middot;value, transactions (Includes those of spouse and dependent children; see PP&middot; 34-60 of filing instructions.) D NONE (No reportable income, assets, or transactions.)  
&bull;A .R Description .of Assets (including trust sets) Place "(X)" a!ler each asset exeinptfroni prior  
di&#65533;cl.ostire Income diiringrorting period (I) Amount '!type (.g.;criae r div;, rerit,(A-H) or int) Gross value at  
end of reporting period Value Value Code2 Method Code3 (Q-WJ (1)Type(.gc,buy, sell, redemption) Transactions  
during reporting\_ period&middot; Date Value Gain l>ifonth &bull; Codd . C()d\_eI Day . (J.P) . (A&bull;H) (S)Identity  
of buyer/seBer(ifprivate . fuinsa:ction)

18. -Merrill Lynch B&T RASP

19.

-Cohen & Steers REIT&PFD Income FD

20.

-Blackrock Real Asset Equity Trust Sold 8/19 J

21. -ML&Co SRN Buy 9/26 J

22.

-Blackrock Intl Growth & Income Tr -Dow 30 Enhanced Premium Incomd Fd .....

24.

-DN Midfirst Bank Calla ble CD Redeemed 12/15

25.

Merrill Lynch-IRA (assets: lines 26-30) A Intei:est J T

26.

-Southwest Bk of St. Louis -callable CD Buy

27.

-Templeton Growth Fd Cl C

J

28.

-Countrywide Bank NA Redeemed 912 J

30.

-CD First St Bank Redeemed 3/14 -DN M & I Callable CD

31.

Colonial Properties REJT B Dividend J T . . Alabama State Public Schools and Colleges -bonds A Interest J T  
Huntsville Madison Cnty Al Arpt Auth ds A Interest K T Decatur, AL Wts Ser E Ambac A Interest J T

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VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

c.

D:

Description of Assets Income during Gross value at end of Transactions during reporting period  
{including trust assets) reporting period reporting \_period

(2)

(S)

Identity of

(l)

. Amount & Code 1 Place "(X)" after each asset exempt from prior disclosure & Type(e.g.,  
<div>., .rent, Gross Value value Type(e.g., Date Value Method buy, sell, Month Code 2 Code. I Code 2 buy,er/selfor  
(ACH) Mint)

\_ Code 3 redemption) Day (J-P). (A&H)(Q-W) transaction) Dow 30 Prem & Div Income Fund B Dividend Sold  
6/24 K

36.

DWS Gold & Precious Metals Fd Cl A Dividend Sold 3/19 J B

37.

Mobile, AL Pub. Edl Bldg Bond A Interest J T

38.

Blackrock Real Asset Equity Trust A Dividend Sold 8/19 J

American Capital Wld Grow & Inc. CEC A Dividend J T

40.

ServisFirst Bank -Savings Accounts A Interest K T

41.

Alabama Drinking Water Fin. Auth -bonds A Interest J T Buy 3/19 J

42.

ML& Co Str Acct Redemp Sec Buy 6/125 K

43.



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Name of Person Reporting Date of Report FINANCIAL DISCLOSURE REPORT  
Page 7of8 Albritton, William H. ' 517/2009

VIII.  
ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

... . Name of Person Reporting Date of Report FINANCIAL DISCLOSURE REPORT Page 8 of8 Albritton, William

H.  
. 51712009

IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I

further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. &sect; 501 et. seq., 5 U.S.C. &sect; 7353, and Judicial Conference regulations. NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE TIDS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. &sect; 104) FILING INSTRUCTIONS Mail signed original and 3 additional copies to: Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544