

Report required by the Ethics in Government Act of 1978, as amended

and

(5 U.S.C. App. Sec. 1103(j))

1. Name

Calendar Year 2003

and

Perron Reporting (Last Name, first, middle initial)

Aldisert, Ruggero.

4. Title (Article Judges indicate active or senior status, magistrate judges indicate full- or part-time)
Senior U.S. Circuit Judge

7. Chambers or Office Address 120 Cremona Drive TIDU

Suite 200 Barbara

2, Court of Organization

Third Circuit

5. Report Type (check type) 6. Reporting Period

Reporting Period, Date 1/1/2003 to

Final 12/31/2003

8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.

Date

CA 931175511, Reviewing Officer

NOTE: The instructions accompanying this form must be followed, complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

POSITION NAME OF ORGANIZATION ENTITY NONE (No reportable positions.)

Emeritus Trustee University of Pittsburgh

Board of Visitors, Law School University of Pittsburgh

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE PARTIES AND TERMS

x
NONE (No reportable agreements.)

1. , ()

1

2

3

ID. NON-TRUSTED INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE SOURCE AND TYPE GROSS INCOME (yours, not spouse's)

NONE (No reportable non-investment income-)

1/18/ Nat'l Institute of Trial Advocacy (Publications royalties) 15448.56

2 7/16 Nat'l Institute of Trial Advocacy {Publications Royalties }

3 2/27 West Group (Publications Royalties) 632.33

4 1-13 state of Michigan Judicial Center, Teach. (A. v.) 5,000

Date of Report FINANCIAL DISCLOSURE REPORT Aldisert, Ruggero J, 04/11/2004
rv. REIMBURSEMENTS transportation, lodging, food, entertainment. (includes those to spouse and dependent children. See pp. 15-27 of Instructions.)

1 :N"ONE SOURCE {No such reportable reimbursements.) DESCRIPTION 2

• 5 7

V. GIFTS (Includes those to spouse and dependent children. See pp. 183 l of Instruct>lfS.)
SOURCE DESCRIPTION VALUE (No such reportable gifts.)

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp 3133 a/ Instruct>Wns.)

CREDITOR DESCRIPTION VALUE CODE* NONE (No reportable liabilities.)

or less

L=\$50,001 to \$500,000 M=\$100,001 &middle;\$250,000

0=\$500,001-\$1,000,000 GO P : ""\$1 ,OCQ,OCI-\$5,000,COO P2,,,,S5,000,001-\$25,000,000

P3,,,,S25,000,001-\$50,000,000 F4=\$50,000,001 or more

: "a.roe of Person Reporting Date of :<_;;-:-: Ruggero J, 04/11/2104

DISCLOSURE

VII.

Page I INVESTMENTS and TRUSTS-income, value, transactions dependent children, See pp. 34-57 of Instructions)

A.

B.

le 1D.

Iss value

Income during reporting period Description of Assets reporting period at end of j(including trust assets) . reporting 1 period I

(2)

&middle;If not exempt from

(1)

Type Amount Place "(X)" after each asset

(3)

I (5)

C<1de

(e.g.,

I (2)

exempt from prior disclosure.

Value, Gain Identity of

dividend,

, Date:

{Mi)

&middle; Month Code :cooe buyer/seller
rent or
l
Day (J-P) :(A&middle;H) (ifprivate
interest)
DNONE

i
transaction) (No repocta!)le income,a:ssets or

transactions.)
Blair Cty Hosp, Boru! A l 2: Santa Barbara Bar.k & Trust c! A !
Interest

L T

[

10 Davis New York Ventur" A Dividand K T I B :Z:nterest
I ! Inc/Gab Cooes: A=lil,000 orless 8=\$1,00l-\$2,500 °"\$2,501-\$5,000 D45,00l-\$i5,000I (Cot 31, D4)
F=\$50,00l\$100,000 G=SI00,00\-\$1,000,000 Hl=\$1,000,00l-\$5,000,000 H2=\$5,000,00l or more 2 Val Codes:
!=\$15,000or less K=\$15,00l-\$50,000 L=\$50,00 '-\$!00,000 M=\$:OO,OOl-\$250,000 N\$250,0Cl\$500,000Cl, D3)
04500,00l-\$1,000,0CO P1=\$1,000.00l-\$5,000,000 P2=\$5,000,00'-\$25,000.000 P3=\$25,000,00l\$5(),00U,000
P4=\$50,000,00l or more Jth Codes: Q=Appraisal R=Cost (:real estate or.ly) S=Assessm::nt T=Cash: !vlarke: iJ=Book
Value \'"Other WwEsthnated 'Name otP::-rsun Re-orting .. --&middle;--&middle;--&middle;&middle;--
&middle;&middle;--&middle;&middle;-D2le cf Report 04/ll/200>'.+

VII. Page 2 INVESTMENTS and TRUSTS-income, value, transactfons dependent cltfldre11. See pp. 34-57 of
Instru.ctlons) :B. I Inco:le dUTing G;'OSS value TransactiOf.l.S during reporting period Description of At>se<:s
reporting period at cd of (inc!u<lr.g t"ust assets) I repor-ing I period .Amount

(2)

Ifnot exempt from cltsclosure Type Va:ue, Value Im : Pldce "(X)" after each asset exempt from prior disclosure. (2)

(4)

(5)

Value Gain I

Code

(e.g. Code Metl>ed (e.g., buy, :(H) Code(A-H) Date: 'dividend, selll par.ial Month-Code Cooe (Q-W) sale, Day
interest) merger, i ' Identity of buyer/seller rent or I (J-P) (A&middle;!l), (ifprivate I transaction) redemption) ' NONE
(No reportable income,a:ssets, or transactions.) Interest Rowe Price High Yield T Buy 11-5 &middle; "A'1 T A
:interest 19 Pimco Short Duration Muni K A Divide:id 20 Terr.pleton Foreign Kone K T I 7-28 K f--22 Royce T? ta.1
Return T 7-24 None I I 7-2\$ K ' 23 First Eagle overseaa Fund jNone K I T Buy i i I Buy T 24 Van Kampen High
Yield Muni A Interest I 25 van Kampe Constock Cl A A Dividend K':. Buy 11-29 ,--&middle; 26
COMMON.STOCKS 27 28 29 Intel Jo._son & Johnson Mell.on Finl Corp A A A DividP.r.d :Dividend 'Dividend !(
K K I T T T Ptl Sale i 617 ' 30 Cisc systems 'None I Sold ; 6'-20 Pfizer Dividend T 32 33 General Electric
Mic:rc:oft A A 'Dividend IPivdend !None J I T T Sell 2/13 I __ , ' &middle; c A.,,\$1,000 -Or less Bl, D4) F""S0,00
I-\$1G1J.000 CM1OC,00l-\$1,000,000 Hl =SI ,000,00l-\$5,000,000 \$51000,COl or more J==SIS,OOtJ or less

K=\$!5,001-S5C,000 L=\$50,001-\$!00,000 M=\$100,001-\$250,000 N=\$250,001 &middle;\$500,000 (Col. Cl, 03)
O=\$500,001-S1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001&middle;\$50,000,000
P4=\$5C,000,001) 3 Val lvHt'. :=:odes: Q=Appraisal R:=Cost (:cal estate only) S=Assess:ment T=Cashl}.io.rke! j (Cot
C2) U=Book Vah:e V=O;.b_e:r W=Estimnted
VII. Page 3 INVESTMENTS and TRUSTS-income, value, transactions dependent children. See pp. J4-S7
ojInstruc:ions.;I B , Income dui.ng Gross value ID.T::ansaclions duri:".g reporting period of Assets reporting ;-mod
atood cf (Including trust assets) reporting , period

(1)

If not exefn?t fromdiS<:!csure Value Value

(2)

(1)

I (2) I (1) Type ; Type !Amount Place "(X)" after each asset 1(3)

Code Method' (e.g., huy, (2) (1)

'C-0de

(e.g.,

exempt from prier disclosure.

dividend,

Co<le

scll, partial Date:

&middle;

; Ident:ty of

buyer/seller

'(J-P) (A&middle;H)I (if private transacion)

1,,

'(A-H)

(1-P)

II

. -&middle;

redemption) f<Aonth

Code Code :

rent or

Day

interest)

j

:

35 Nokia

(No reportable ir.came,assets, or
transactions.)

None

T

None

2/13 ,,:r

Sell 39 Q'.lalccmm Dividend J T

o Aflac Inc Federal Eome Ln Mtge Com

Dividend J Liberty Media Corp None 42 ' Kimberly Clark-Corp '

43

Sell 1 2 J J

46 Computer Motion

j Sell 4 7 Home 'None

Depo t ! J Inetrest I

4.8 Mission Impossible Part. 1 T ! I Invest. Club 49 Investoent Club !

I

-Cisco Systems :Sold 4-20 J t 1 i t D=\$5,001-\$15,000 (Col. B1, D4) F=\$50,001-\$100,000 <FS100,001-SJ ,000,000

H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more Val Codes: J:,,,,\$15,00:1 or le'SS K=\$15,001-\$50,000

L=\$50,001\$100,000 M=-\$100,001-\$250,000 N=\$250,00 l\$500,000 {Cot. Ci, D3) 0=\$500,00 1-\$1,000,000 P1

=\$1,000,001\$5,000,000 P2=\$1,000,001-\$25,000,000 P3=S:\5,00D.001 &middledot;\$50,000,000 P4=\$50,000,001 or

mere 3 Val Mtl

Codes; (FAppratsal R=Cost (real estate only) 5=Assessrnen: T""Cash!Market (Col C2) U""Book Value V=Other

\V=Estimat:d >la"".' of Person Reporting_ Date of Report Ald:i.ser::, Ruggero ... 04/11/2004 FI'.iAt'fClAL

DISCLOSURE REPORT (Includes ti:ose of spouse and

VII.

Page 4 L'iVESTIYIENTS and TRUSTS-income, value, !ransactions dependent children. See pp. 34-SJ of instructions.;

;C. D.

! Gross value lransactions Curing reporf.ng period

A.

Description of Assets re;orting period at end of {including trulit assets) :

reporting ..._J period -&middledot; Place "(JO" after each asset exempt from pr-for disclosure. i<J) . Amount I 1 Cede i (A-H)

(I)

'(2)

Ifnot exempt from disclosure

(2)

Type Value IV alue Type I

(2)

i (3)

(5)

, Code i Method (e.g. buy) 1 : dividend, Date: \Value Gain (J-P) i Code sell, partial sale, ICentify of Month-Code Code
: tuyer/se::er : TC!lt OT' (Q-W) NONE (No reportable inoome,assets, or transactions.) -Intel 53 -Citgroup -a:ome
Depot 55 -Microsoft 56 -Nokia corp 57 -Berkshire Hathaway
merger,redemption) Sold ' Day (J-P) (A-H) (ifprlvate ! transaction)' . &middledot; 7-29 J -. -I I -&middledot; -! i interest) ' '
58 -State Street Corp. 59 -Electoic Arts ' 60 -Ter.:et. Healthcare Sell 2/2a 61 -Men1s Wearhouse Sell ,2/28 -1 62 -.1
M Smucker Co 63 General Electric 64 -Proctor & Gamble 65 -Sara :Wee Corp Sold 7&middledot;29 J 66 ---Costco
Wahl Corp Buy 67 ---!ntl Business Ma.ch ,B;:, y 7-30 68 ---Sysco Corp Buy 10-30 ' Codes: A"\$t .ODO or less
(Co!. s.:D4) F=\$5C,&middledot;Jl -\$100,000 , ' 2 \ ru Codes. (Cu&middlebullet; Cl, D3) -' J\$1.5,uOO or! 0=\$500,001-
!,00(),000 B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,101-\$15,0CO \$15,001-\$50,000 G=s100,001-s; memo HI =\$i
,000,00 l*\$5,000,000 H'2=\$5>00:J,001 or more ----&middledot; -" ' -' K-Slo,001-\$,0,000 L\$)0,001SIOiJ,000 M-
SIOO,O!h-\$250,uOO N=\$250,001-\$500,0u0 PI=\$1,000,00!-\$5,000,000 P2=\$5,000,001\$25,00G,000
P3&middlebullet;\$25,000,001-\$5D,000,000 P4\$50,000,001 or more , 3 Val Mth Codes: Appraisali (CoL C.2) t=Book Value
R=Cost (real estate only) V=Ot.:er Name of Person Reporting Date cf Report A:disert, Rugge"o J &middledot; 04/1/2004
FINANCL4.L DISCLOSL'RE REPORT (Includes those of spO!lSe and
VII. Page 5 INVESTMENTS and TRUSTS --income, value, transactions dependent children. See pp. 34-57
of!nstrnctial1s.)
1 Inc/Gain Codes: A=\$1,000 or less I (Col. Bl, D4) F=\$50,00!-\$!00,000 B=\$1 ,00!-\$2,500 G=\$100,001-\$1,000.000
C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$1 5,001-\$50.000 H1=\$1,000000!-\$5,000,000 H2=\$5,000,001 or more 2 Val
Codes: ' (Col. Cl, D3) J=.\$15,000 or less 0=\$500,001-\$ i,000,000 K=\$15,001-\$50,000 L450,001-\$1 00,000
M=\$100,001-\$250,000 N=\$250,Q01-\$500,000 PI =\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,0000000
P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more :: Va! Mth Codes: Q=.A._ppraisal (Col. C2) U=Book Value
R=Cosi: (real estate oniy) V=Other \$=Assessment Vil= Estimated T==Cash!Ma:-ket
Date or' Rsport i Aldisere, Ruggero J.
04/11/2004

DJSCLOSURE REPORT

VIII. ADDITIONAL INFOR.1\L.<\TION OR EXPLA.�ATIONS. (Indicate part of roporl.)
.Sect ion VII: Line 64 "Proctor & Gamble" In 2002 report line 96 reflected one purchase:Line 99 another. Both are
combined in this report.

Name of Persen Reporing J;ue ofReprerc
kldisert, bg.,-ero J. 01!/11/20C4

DISCLOSt:RE REPORT

SECTIO HEADING. (In<lica:e part of:eport.J Infurmaion continued from Parts : through VII ir.clusive
PART 3. NON-IN"VES'fMEI'.IT INCOME (con 'd.) Line Dat::e Scl...rce ar:d T:{pe Gross Incorr,e
SPOUSE 1 S IJON INVESTMEN'T INCOME: None

Name of Person Reporting hldisert, Ruggero J.

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and miP..or or dependent
children, if any) ia accurate, true, and complete to the best of my knowledge and belief, and that any information net
repo:cted was withheld because it met applicable stat;itory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have
been reported are in compliance with the provisio1.s of 5 U.S.C. app. 4, section 5-0:t et. seq., 5 IJ.S.C. 7353 and
Jdicial Conferece regulations.

Signature Date f-0 '-(

A."ly itdividual who k...""towing:y a:i:!! wilfully falsifies or fails to file this report may be sU:Oject to civi:
ar£:. criminal sancions {S U.S.C. Ap. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts One Columbus Circle, N.E.
Suite 2-301 Washington, D.C. 20544