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June 8, 2007
120 CREMONA DRIVE SUITED
RUGGERO J. ALDISERT
SANTA BARBARA, CA 93117-5511 SENIOR CIRCUIT JUDGE

Phone: 805-685-7 466
Dear Members of the Committee:

In response to the letter of the Chair inquiring about the absence of United Parcel Systems, Inc., please amend my report dated April 28, 2007, transmitting the annual Financial Disclosure Report for calendar year 2006, as follows:

1. Under the investment club category, one of the securities should have been listed as follows:
D

1. 2. 3.
---United Parcel Systems, Inc.

I trust that this will be satisfactory to the Committee. I enclose three copies of this letter, as requested.
The Committee on Financial Disclosure Judicial Conference of the United States One Columbus Circle, N.E.
Washington, D.C. 20544
FINANCIAL DISCLOSURE REPORT in Government Act of I978 Rev. 112007 FOR CALENDAR YEAR 2006 (5

U.S.C.
app. §§IOI-I II) I.Person Reporting (last name, first, middle initial) Aldisert, Ruggero J 2.Court or
Organization Third Circuit 3.Date of Report 04/28/2007 4.Title (Article IIIjudges indicate active or senior status;
magistrate judges indicate full-or part-time) Senior U.S. Circuit Judge Sa. Report Type (check appropriate type) D
Nomination, Date D Initial Annual D Final 6. Reporting Period 01101120-06 to 1213112006 Sb. D Amended Report
7.Chambers or Office Address 120 Cremona Drive Suite "D" Santa Barbara CA 93117-5511 8.On the basis of the
information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with
applicable laws and regulations. Reviewing Officer Date
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the
NONE box for each part where you have no reportable information. Sign on last page.

I.
POS ITI 0NS. (Reporting individual 011/y; see pp. 9-13of instructions.) D NONE (No reportable positions.)
OF

1.
Emeritus Trustee University of Pittsburgh

2. 3. Board of Visitors, Law School University of Pittsburgh 0 C") ---' rn

4. 5. (/)).> rti_ o:i.:> __,-D rrt I <;? rn < CJ

II.

AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.) (KJ NONE (No reportable agreements.)
PARTIES AND TERMS

1.

2.

3.

FINANCIAL DISCLOSURE REPORT Page 2 of 10 Name of Person Reporting Aldisert, Ruggero J Date of Report
04/28/2007

III.

NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A.

Filer's Non-Investment Income

D NONE (No reportable non-investment income.)

SOURCE AND TYPE

I. 2/8 Nat'l Institute of Trial Advocacy (Publications Royalties)

INCOME

(yours, not spouse's) \$ 6796.52

2.

8/8 Nat'l Institute of Trial Advocacy (Publications Royalties) \$ 5900.90

3.

11319 West Group (Publications Royalties) \$ 1595.20

4.

March-Dec. AuthorHouse and retail outlets (Publications Royalties) \$ 573.07

5.

617 YoaEnterprises (Publication (Royalties) \$ 594.50

B. Spouse's Non-Investment Income -If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

[KJ NONE (No reportable non-investment income.)

AND TYPE

I.

2.

3.

4.

5.

JV. REIMBURSEMENTS -transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[KJ NONE (No reportable reimbursements.)

5.

Aldisert, Ruggero J 04/28/2007 Page 3of10

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

[I] NONE (No reportable gifts.) DESCRIPTION

5.

VJ. LJABJLJTJES. (Includes those of spouse and dependent children. See pp. 32-33 of instructions.)

[I] NONE (No reportable liabilities.)

3.

4.

5.

Code3 Aldisert, Ruggero J 04/28/2007 Page 4of10

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children. See pp.34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

c.

Transactions during reporting period Description of Assets Gross value at end of reporting period Type (e.g. (including trust assets) Place "(X)" after each asset exempt from prior disclosure

(I)

(2)

(I) (2) <li I

t

(5)

Value Code 2

Value Method Type(e.g. Date Gain Identity of buy, sell, Month -Code 2 Code I buyer/sellerredemption) (if private Value div., rent, (A-H) i or int.) (J-P) Day (J-P) (A-H) transaction) I I

1. Blair Cty Hosp. Bond A Interest J T Santa Barbara Banlc & Trust Co A Interest L T

3. Morgan Stanley Dean Witter IRA A Dividend Sell 10/04 K

4. --Div. Growitb Mutual Fund

Sell 10/4 J

6. -Utilities Mutual Fund Sell 10/04 J -Global Dividend Fund Sell

10. 04 J

7.

Cantella & Co. IRA (New IRA broker) B Dividend K

8.

--Columbia Marsico 21st Fund

9.

--Davis New York Venture

10.

--Pimco FDS Pac Invt Mgmt Serv

11.

--Van Kampen Equity & Income

12.

-Alliance Berstein Balanced

13.

-Growth Fund Amer Inc.

14.

MUTUAL FUNDS

15.

Gabelli Asset A Dividend K

16.

Davis New York Venture A Dividend K

17.

Columbia Acom Fund Cl A A Dividend J

T Buy Buy Buy Buy Buy Buy Buy T T T 10/04 K 10/04 J 10/24 J 10/04 J 10/24 J 10/04 J

I. Income Gain Codes: A \$1,000 orless B \$1,001 -\$2,500 c\$2,501 -\$5,000 os5,001 -\$15,000 E\$15,001 -\$50,000 (See Columns BI and D4) F\$50,001 -\$100,000 GS100,001 -\$1,000,000 HIs1,000,001 -\$5,000,000 H2=More than \$5,000,000

2.

Value Codes J \$15,000 orless K\$15,001 -\$50,000 L\$50,001 -\$100,000 M \$100,001 -\$250,000 (See Columns Cl and 03) N\$250,001 -\$500,000 O\$500,001 -\$1,000,000 P1 \$1,000,001 -\$5,000,000 P2 \$5,000,001 \$25,000,000

3.

Value Method Codes P3 s25,000,001 -s50,000,000 R e;ost (Real Estate Only) P4 =More than \$50,000,000 T =Cash Market (See Column C2) Q Appraisal U=Book Value V=Other S =Assessment W ""Estimated Aldisert, Ruggero J 04/28/2007 Page 5of10

VII.

INVESTMENTS and TRUSTS -income, value, transactions rlncludes those of the spouse and dependent children. See PP·14-60 offuing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

B.

c. D. Description of Assets Income during Gross value at end of Transactions during reporting period (including trust assets) reporting period reporting period

(2)

(!)

(2)

(!)

(2)

(4)

(5)

Value Type (e.g. Amount Type(e.g. Value Place "(X)" after each asset Date Value Gain Identity of I

I Code I div., rent, Code2 Method buy, sell, Month-Code 2 Code I exempt from prior disclosure buyer/seller (A-H) or int.) (J-P) Code3 redemption) Day (if private (A-H) (Q-W) transaction) i I , I

I. Income Gain Codes: A =\$1,000 orless B =\$1,001 -\$2 ,500 c=\$2,501-\$5,000 0=\$5,001-\$15,000 E =\$15,001 \$50,000 (See Columns BI and D4) F=\$50,001 -\$100,000 G =\$100,001 -\$1,000,000 HI =\$1,000,001 -\$5, 000,000 H2 =More than \$5,000,000

2.

Value Codes J=\$15,000orless Koo\$15,001 -\$50,000 L=\$50,001 -\$100 ,000 M =\$100,001 -\$250,000 (See Columns Cl and D3) N=\$250,001 -\$500,000 o=\$500,001 -S 1,000,000 P1 =\$1,000,001 -\$5,000,000 P2 =\$5,000,001 -\$25, 000,000

3.

Value Method Codes (See Column C2) P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value R = Cost (Real Estate Only) V = Other P4 = More than \$50,000,000 S = Assessment W = Estimated T = Cash Market
FINANCIAL DISCLOSURE REPORT Aldisert, Ruggero J 04/28/2007 'Page 6 of 10

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

I B.

Description of Assets I Income during (including trust assets) I reporting period

(2)

Place "(X)" after each asset Amount Type (e.g. exempt from prior disclosure Code I div., rent, (A-H) or int)

c.

D.

Gross value at end of Transactions during reporting period reporting period

(2)

(I)

(2) (3) (4) Value Value Type (e.g. Date Value Gain Identity of Code 2 Method buy, sell, Month Code2 Code I buyer/seller (J-P) Code 3 redemption) Day (J-P) (A-H) (if private (Q-W) transaction)

I. Income Gain Codes: (See Columns B1 and 04) A = \$1,000 or less F9; 50,001 - \$100,000 B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 c = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 D = \$5,001 - \$15,000 H2 = More than \$5,000,000 E 9; 15,001 - \$50,000

2.

Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M 9; 100,001 - \$250,000 (See Columns C1 and 03) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000

3.

Value Method Codes (See Column C2) P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value R = Cost (Real Estate Only) V = Other P4 = More than \$50,000,000 S = Assessment W = Estimated T = Cash Market
Aldisert, Ruggero J 04/28/2007 'Page 7 of 10

VII.

INVESTMENTS and TRUSTS -income, value, transactions r Includes those of the spouse and dependent children. & PP & middot; 34-60 of filing instructions. J D NONE (No reportable income, assets, or transactions.)

A.

B.

C. D. Description of Assets Income during Gross value at end of Transactions during reporting period (including trust assets) reporting period reporting period

(2)

IAmount I 1(1)1 I Value
Value Date Value Gain Identity of

(2)

I (!)

(2)

(4)

(5)

Place "(X)" after each asset Type (e.g. Type (e.g.
I

CodeI (A-H) orint) Code3 (J-P) div., rent, Code2 Method buy, sell, Month Code2 Code I exempt from prior disclosure
buyer/seller (if private redemption) Day (J-P) (A-H) (Q-W) transaction) I I I I

52. --Berkshire Hathaway --J.M. Smucker

54.

-General Electric Sell 01102 J A

55.

56.

57.

58.

-Proctor & Gamble

--Costco Wshl Corp

--3M Co

-Pfizer, Inc.

--First Data Corp.

60.

61.

--Valero Energy Corp.

62.

--Lowe's Companies Inc

63.

--Schlumberger Ltd.

64.

--Apple Computer Co

65.

--Goldman Sachs Groups Inc.

66.

--Intel

67.

-NEC Corp

68.

--Nucor Corp

--Exxon Mobil Corp buy 4/28 J buy 4/28 J buy 8/02 J buy 8/02 J

I. Income Gain Codes: (See Columns B 1 and D4) A \$1,000 or less F sso.001 -s100,000 B 41,001 -\$2,500 G \$100,001 -S1,000,000 c\$2,501 -\$5,000 ms1,000,001 -S5,000,000 D\$5,001 -S15,000 H2 More than \$5,000,000 E \$15,001 \$50,000

2.

Value Codes (See Columns CI and DJ) J \$15,000 or less N\$250,001 -\$500,000 Ks15,001 -S50,000 04500,00 \$1,000,000 Ls50,001 -s100,000 Pl s1,000,001 -S5,000,000 M 100,001 -\$250,000 P2 \$5,000,001 -\$25,000,000

3.

Value Method Codes P3 \$25,000,001 -\$50,000,000 R ost (Real Estate Only) P4 =More than \$50,000,000 TashMarket (See Column C2) Q Appraisal U=Book Value V=Other S =Assessment W=Estimated
Date of Report Aldisert, Ruggero J 04/28/2007 Page 8of10
VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children.
See pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

B.

c.

D. Description of Assets

A.

Income during Gross value at end of Transactions during reporting period reporting period reporting period (including trust assets)

(I) (2) (I) (2) (I) (2) (3) (4) (5) I I I I I I Amount Type (e.g. I Value I Value Type (e.g. Code I Place "(X)" after each asset div., rent, Code 2

Method buy, sell, exempt from prior disclosure I (A-H) or int) (J-P) Code 3 redemption)I I (Q-W)I I 69.

-Western Union
Spino ff

70.

--!Shares FTSE/XINHUA China 25
buy

71.

--Simpson Mgf Co A Dividend J
buy

72.

MONEY MARKET FUNDS

Date Value Gain Identity of Month Code2 Code 1 buyer/seller Day (J-P) (A-H) (if private transaction) I 1211 J 8/02 J 8/02 J UBS Financial Services A Interest J T

74.

Bear Steans Securities Corp A Interest J
T

75.

LIFE INSURANCE POLICIES

78.

Phoenix Whole Life A Dividend J

T Transamerica Life Companies Whole Life A Dividend K T Penn Mutual Whole Life A Dividend J T

I. Income Gain Codes: A=\$1,000 orless B =il,001 -\$2,500 c\$2,501 -\$5,000 D \$5,001 -\$15,000 E \$15,001 -\$50,000 (See Columns BI and D4) F\$50,001 -\$100,000 G 4100,001. S1,000,000 HI\$1,000,001 •\$5,000,000 1-12=More than \$5,000,000

2.

Value Codes J \$15,000 orless K\$15,001 -\$50,000 LS50,00I -\$100,000 M \$100,001 ·\$250,000 (See Columns Cl and D3) N\$250,001 -\$500,000 O\$500,001 -\$1,000,000 Pl s1,ooo,001 -\$5,ooo,ooo P2 \$5,000,001 \$25,000,000

3.

Value Method Codes P3 \$25,000,001 -\$50,000,000 R =Cost (Real Estate Only) P4 More than \$50,000,000 T=Cash Market (See Column C2) Ver Q Appr aisal S =Assessment U=Book Value W =Estimated

Aldisert, Ruggero J 04/28/2007 Page 9of10 VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report) Section VII Lines 3 and 7.Changed Brokers for IRA accounts 8/10. Securities under Margan Stanley Dean Witter sold 8/10 and account closed .. Open new IRA account 8/10 with Cantellas & Co. on 8/10 and puirchsed new securities. Line 69 On December I Western Union was a spin off company from First Data Line 59. Aldisert, Ruggero J 04/28/2007 Page 10 of10

IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations. FILING INSTRUCTIONS Mail signed original and 3 additional copies to: Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544