

Report Required by the Ethics FINANCIAL DISCLOSURE REPORT AO 10 in Government Act of 1978 Rev. 112008 FOR CALENDAR YEAR 2007 (5 U.S.C. app. §§JOI-III)

1. Person Reporting (last name, first, middle initial) Aldisert, Ruggero J 2. Court or Organization Third Circuit 3. Date of Report 4/7/2008

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge Sa. Report Type (check appropriate type) Nomination, Date Initial Annual Sb. D Amended Report D Final 6. Reporting Period 01/01/2007 to 12/31/2007

7. Chambers or Office Address 120 Cremona Drive Suite "D" Santa Barbara CA 93117-5511 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer Date IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-12 of filing instructions.) NONE (No reportable positions.) POSITION NAME OF

1. Emeritus Trustee University of Pittsburgh

2.

Board of Visitors, Law School University of Pittsburgh

3.

4.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.) NONE (No reportable agreements.)

PARTIES AND TERMS !.

2.

3.

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III.

NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-U of filing instructions.)

A.

Filer's Non-Investment Income NONE (No reportable non-investment income.) SOURCE AND TYPE (yours, not spouse's)

1.

1/26 Nat'l Institute of Trial Advocacy (Publications Royalties) \$ 21,203.88

2.

7/24 Nat'l Institute of Trial Advocacy (Publications Royalties) \$ 5674.38

3.
315 Thompson West (Publications Royalties) \$ 877.24

4.
March-Dec. AuthorHouse and retail outlets (Publications Royalties) \$ 108.40

5.
3m Yoa Enterprises (Publication (Royalties) \$ 373.32

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)
[ZJ NONE (No reportable non-investment income.)

2.

3.

IV. REIMBURSEMENTS - transportation, lodging, family. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)
[ZJ NONE (No reportable reimbursements.)

ITEMS PAID OR PROVIDED

I.

2.

4.

Aldisert, Ruggero J

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)
[ZJ NONE (No reportable gifts.)

SOURCE

1.

2.

3.

4.

5.

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)
[] NONE (No reportable liabilities.) CREDITOR DESCRIPTION VALUE CODE

4.
FINANCIAL DISCLOSURE REPORT Aldisert, Ruggero J 417/2008
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VII.

INVESTMENTS and TRUSTS -mcume, vahle, transactwns (Includes those of spouse and dependent children; see
PP. 34-60 of filing instructions.);
0 NONE (No reportable income, assets, or transactions.)
-·

A.

Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure

I. Blair Cty Hosp. Bond -----13-----c.---i

D.

Income during Gross value at end of Transactions during reporting period reporting period reporting period 2I

(5)

��t Tie.g., V I Ie Ve --.! Identity of
div., rent, Code 2 Method buy, sell, Month-Code 2 Code I buyer/seller
(A-H)
orint.) (if private
(J-P) :
_:----: -(---transaction)
A Interest J T

2.

Santa Barbara Bank & Trust Co A Interest L T

3.

4.

5.

Cantella & Co. IRA B Dividend K T --Columbia Marsico 21st Fund
-Davis New York Venture

7.

--Pimco FDS Pac Invt Mgmt Scriv

8.

--Van Kampen Equity & Income

9.

-Alliance Berstein Balanced
IO. --Growth Fund Amer Inc.

II.

12.

MUTUAL FUNDS

13.

Gabelli Asset A Dividend K T Davis New York Venture A Dividend K T

15. Columbia Acom Fund Cl A A Dividend J T

16.

Growth Fund of America A Dividend L T

17.

American Funds Tax Exempt "F" B Interest L T

A \$ 1,000 or less F \$50,001 - \$100,000 J \$15,000 or less N \$250,001 - \$500,000 P3 \$25,000,001 - \$50,000,000

Q Appraisal U = Book Value I_ Income Gain Codes: (See Columns B1 and 04)

2.

3.

Value Codes (See Columns C1 and DJ)

Value Method Codes (See Column C2)

BSI,001-\$2,500 G \$100,001 - \$1,000,000 K \$15,001 - \$50,000 O \$500,001 - \$1,000,000 R ost (Real Estate Only) Vo-Other
c ""5;2,501 -\$5,000 m s1.000,001 -s5,000,000 L \$50,001 - \$100,000 P1 \$1,000,001 \$5,000,000 P4 More than

\$50,000,000 S =Assessment W =Estimated D ""5i5,001 - \$15,000 E \$15,001 - \$50,000 H2 More than \$5,000,000 M

""5;100,001 - \$250,000 P2 \$5,000,001 - \$25,000,000 TashMarlcet Page 5 of IO

VII. INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see
pp. H-60 of filing instr.,ctions.)

0 NONE (No reportable income, assets, or transactions.)

-

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Inco during(includingtrust asseIB) reporting period reporting period

"re (X)"•"fil""

v!::, V

buy, sell,

Code 1

(div., ren , Code 2 Method exempt from prior disclosure

(J-P)

A-H) or int.) Code 3 redempt10n)

(Q-W)

-

18. AMCAP Fund A Dividend L T (2) Date
Month Day

24.

Pimco FDS Pac Int. Mgmt

25.

Franklin Mutual Recovery FD A

26.

Alliance Bernstein Intl

27.

Alliance Bernstein Muni Income A

28.

Diamond Hill Long-Short Fund A

29.

Bear Steams Tempfund A

30.

Marsico 21st Century Columbia Funds Ser Tr A

31.

Pimco Funds Developing Local Mrkt Fd A

Sell 1/7 Dividend J T Sell' 2/07 Interest K T Interest J T Interest J T Dividend K T Buy I/JO Dividend K T Buy I/JO I
Income Gam Codes (See Columns BI and 04) 2 Value Codes (See Columns CI and D3) 3 Value Method Codes (See
Column C2) --A=\$1,000 or less F \$50,001 -\$100,000 J \$15,000 or less N \$250,001 -\$500,000 PJ \$25,000,001
\$50,000,000 Q Appraisal U=Book Value B 1,001 -\$2,500 G!00,001 -\$1,000,000 K \$15,001 -\$50,000
o ssoo.oo 1 -s 1.000,000 R ost (Real Estate Only) V =Other C 2,50 I -\$5,000 HI \$1,000,001 -\$5,000,000 L \$50,001
\$100,000 P1 \$1,000,001 \$5,000,000 P4 More than\$50,000,000 S =Assessment W =Esiimatc<l

D.

(3)

Value Code 2 Code 1 buyer/seller (J-P) (A-H) (ifpnvate j trnsact10n) -Royce Total Return A Dividend K T

20.

First Eagle Overseas Fund A Dividend K T

21.

Van Kampen High Yield Muni A Interest K T

22.

Van Kampen Comstock CI A A Dividend K T

Franklin Invs Sec. Tr. Fltng Sell 12/03 J J J K K

33. COMMON STOCKS Johnson & Johnson A Dividend K T

D \$5,001-\$15,000 E \$15,001 -S50,000 H2 More than \$5,000,000 M \$I 00,00 I -\$250,000 P2 \$5,000,001 -\$25,000,000

T ash Market Aldisert, Ruggero J

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VII.

INVESTMENTS and TRUSTS -inwme, vau. transactions r1nclut1es those of spouse and dependent children;
see PP· 34-60 offuinc instructwns.J

0 NONE (No reportable income, assets, or transactions.)

B.

i-

D.

C.

A.

Gross value at end of

In ome during

Transactions during repor ng period

Des ription of Assets

(includingtrust assets) reporting period Amount Type (e.g.,

Code div.,rent,

repor ng period Value

(2)

(2)

(!)

Value

Type (e.g.,

(2)

Place "(X)" after each asset exempt from prior disclosure -Date Value

Code2 Method buy, sell,
Month Code 3
redemption)
Day
(Q-W)

Code2

(A-H) or int.)

(J-P)

(J-P)
(A-H)
(if private

-3S. Bank of New York Mellon Corp (formerly Dividend K T
-A --Mellon Finl Corp).

36. Pfizer A Dividend J T

37. General Electric A Dividend K T

38. Citigroup A Dividend

Sell 10/18 J D

39. !Shares FTSE!Xinhua China 25 Fund A Dividend J T

40. Starbucks Corp

Sell 12/11 J

41. Schlumberger LTD Antilles A Dividend J T

42. Apple, Inc.

J Buy 1124 J T

43.

44. INVESTMENT CLUB

45.

Mission Impossible Partners A
Dividend M T

46. -Johnson & Johnson Sell 4120

J A

47. -Citigroup

Sell Sell Sell 4/26 J A 6126 J B 4/27 J B --Exxon Mobil Corp --Valero Energy Corp. 7/27 J A 9/28 1/26 4127 J J J B
A 4/27 11130 6.29 J J J A D \$5,001 -\$15,000 E 415,001 -\$50,000 H2 More than\$5,000,000 M \$100,00! -\$250,000 P2
5.000,001 -\$25,000,000

57.

58.

59.

60.

--Goldman Sachs Groups Inc.

61.

--Intel

62.

-NEC Corp

63.

--Nucor Corp

64.

--Western Union

65.

-!Shares FTSFJXINHUA China 25

66.

-Simpson Mgf Co

67.

-Aetna

68.

--Best Buy Co Inc

--Lowe's Companies Inc

--Schlumberger Ltd.

--Apple, Inc. (formerly reported as Apple Computer Co) Income Gam Codes A =\$1,000 or less B 1,001 -\$2,500 (See
Columns BI and D4) F \$50,001 -\$100,000 G \$100,001 \$1,000,000 Value Codes J \$15,000 or less K \$15,00! -\$50,000
(See Columns CI and D3) N \$250,001 -\$500,000 O 500,001 -\$1,000,000 Sell Sell Sell Sell Sell Buy Buy c2,501 -
\$5,000 m s1,000,001 -\$5,000,000 L \$50,001 -\$100,000 PI \$1,000,001 \$5,000,000 P3 \$25,000,001 -\$50,000,000 P4
More than \$50,000,000 QAppraisal R Ost (Real Estate Only) U "-Book Value V-Other Value Method Codes (See

VII. INVESTMENTS and TRUSTS -income, value. transactions r1ndudes those of spouse and dependent children; see PP&#middot; 34-60 of fume instructions.)

NONE (No reportable income, assets, or transactions.)

D --&#middot;--) -&#middot;-&#middot;-----&#middot;-----
B.

c. 1(3 -D.
r--A. Transactions during reporting period <4>-T (5)

(1)
"" -V&#bull;&#bull;
Gain I Identity of Type (e.g., 1 -Code 2
Gross value at end of
Income during Description of Assets reporting period (including trust assets)

(1)

(2)

(1)

Amount Type (e.g,
Value
Place "(X)" after each asset
exempt from prior disclosure Code 1 -div , rent,

reporting period -I
Code2
Method buy, sell, Month

(2) Code3 redemption) y -Code
buyer/seller
J --(J-P) (A-H) -or mt)
(J-P)
(if private transaction) --(Q-W) --

-
-Boeing Co. 11/30 J
Buy

70.

--Cameco Corp Canada

71.

--Cisco Systems Inc

72.

-Citigroup

Buy Buy Buy 10/26 J 4/27 J 11/30 J --Gcnentech Inc. --Pacific Capital Bancorp -Taubman Centers Inc. Buy Buy Buy
8/24 J 7/27 J 11/30 J

76.

--!Shares MSC! Emerging Mkts. Buy 6129 J

77.

MONEY MARKET FUNDS

78.

UBS Financial Services A Interest J T

Bear Steans Securities Corp A Interest J T

80. Cantella & Co. Privileged Access Gold A Dividend K T Buy 219 K Account

81.

82.

LIFE INSURANCE POLICIES

83.

Phoenix Whole Life A Dividend J T

84.

Transamerica Life Companies Whole Life A Dividend K T

85.

Penn Mutual Whole Life A Dividend J T

1. Income Gain Codes: A ""li 1.000 orless B \$1,001 -\$2,500 c ""li2,501 -\$5,000 o s5,001 -s1s.000 E \$15.001 -\$50.00-0
(See Colwnns B1 and 04) F s50,001 -s100,000 G \$100,001 -S1,000,000 H1 \$1,000,001 -\$5,000,000 H2 :=:More than
\$5,000/00

2.

Value Codes J \$15,000 or less K \$15,001 -\$50,000 L \$50,001 •\$ 1 00,000 M 4100,00 I -\$250,000 (See Columns
CI and D3) N \$250,00 I -\$500,000 O \$500,001 -S1,000.000 P1 \$1, 000,001 -\$5,000,000 P2 s5,000,001 s25,000.000 P3
\$25,000,001 -\$50,000,000 P4 More than \$50,000.000

3.

Value Method Codes Q Appraisal R "(;ost (Real Estate Only) S =Assessment T "(;ashMarket (&"e Column C2) U
=Book Value v "Other W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (IndkaJepartofReport.)

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IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations. NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104) FILING INSTRUCTIONS Mail signed original and 3 additional copies to: Committee on Financial Disclosure

Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544