

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.) D NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

1. Emeritus Trustee University of Pittsburgh
2. Board of Visitors, Law School University of Pittsburgh
- 4.
- 5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.) [] NONE (No reportable agreements.) PARTIES AND TERMS

- I.
- 2.
- 3.

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III.

NON-INVESTMENT INCOME. (Reporting individual only; see pp. 17-18 of filing instructions.)

A.

Filer's Non-Investment Income D NONE (No reportable non-investment income.)

SOURCE AND TYPE INCOME (yours, not spouse's) 1. 02/10/2011 Nat'l Institute of Trial Advocacy (Publications Royalties) \$5,048.48

2. 08/02/2011 Nat'l Institute of Trial Advocacy (Publications Royalties) \$453.73

3. 03/08/2011 AuthorHouse (Publications Royalties) \$399.79

4. 06/07/2011 AuthorHouse (Publications Royalties) \$344.90

5. 09/07/2011 AuthorHouse (Publications Royalties) \$453.73

6. 12/10/2011 AuthorHouse (Publications Royalties) \$520.17

7. 03/04/2011 Thompson Reuters (Publications Royalties) \$518.16

8.

06/21/2011 Yao Enterprises (Publications Royalties) \$104.02

9.

11/13/2011 Yao Enterprises (Publications Royalties) \$700.00

B. Spouse's Non-Investment Income - If you or your spouse were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

SOURCE AND TYPE

I.

2.

4.

IV.

REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

I.

2.

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3.

4.

5.

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

SOURCE DESCRIPTION VALUE

I.

2.

3.

4.

5.

VI.

LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

[] NONE (No reportable liabilities.)

CREDITOR DESCRIPTION VALUE CODE

I.

3.

4.

5.

Name of Person Reporting 10111/2012 Page 5of11

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see PP· 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

Description of Assets

B.

c D.

Income dunnng Gross value at end Transactions during reporting period

(including trust assets) reporting period of reporting period

(2)

(2)

(I) (2) (3) (4) (5) Value Type (e.g., Date Value Gain Identity of Place "(X)" after each asset exempt from prior disclosure Amowit Code I Type(e.g., Value div., rent, Code 2 Method buy, sell, mm/dd/yy Code2 Code I buyer/seller (A-H) or int.) (J-P) Code3 redemption) (J-P) {A-H) (if private (Q-W) transaction)

I. Santa Barbara Bank & Trust Co. A Interest K T

2.

Bank of America A Interest L T

3.

4.

Mid Atlantic Fin. Mgt. B Dividend 0 T

5.

---Davis New York Venture A Dividend J T

6.

---Alliance Bernstein Balanced A Dividend J T

7.

---Growth Fund America Inc. A Dividend J T

8.

---Pimco Global Multi-Asset
A Dividend J T

9.

---Columbia Acorn, class C A Dividend J T

10.

---Invesco VanKampen Comstock, Class C A Dividend

J T IL & middot; --PIMCO Commodity Real Return A Dividend J T

12.

13.

14.

15.

16.

I Income Gam Codes A =S 1.000 or less B=S1.001 -S2.500 c=\$2,501 & bull; \$5,000 D=S5,001 & bull; S 15,000 E =S15,001 & bull; \$50,000 (See Columns III and 04) F=S50,001 & bull; S100,000 G =S100,001 & bull; S1,000,000 HI =S1,000,001 & bull; SS,000,000 H2 =More than SS.000.000

2. Value Codes J =\$15,000 or less K =\$15,001 & bull; \$50,000 L =\$50,001 & bull; S100,000 M=\$100,001 -S250,000 (See Columns C1 and 03) N=S2S0,001 -S500,000 0 =S500,001 & bull; S1,000,000 P1 =S1,000,001 & bull; SS.000.000 P2 =S5,000.00 I & bull; \$25,000,000 rJ =Szs.000.001 & bull; sso.000.000 P4 =More than SS0.000,000

3.

Value Method Codes Q =Appraisal R =Cm1 (Real f°Atale Only) S =Assessment T=CashMarl<et (See Column C2) U=Book Value V -Other W =Est1mated

VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see

PP&middle; 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)

A.

B.
c. D.

Description of Assets Income during Gross value at end Transactions during reporting period
(including trust assets) reporting period of reporting period
(I) (2) (I) (2) (1) (2) (3) (4) (5)
Place "(X)" after each asset Amount Type(e.g., Value Value Type (e.g., Date Value Gain Identity of
exempt from prior disclosure Code I div., rent. Code2 Method buy, sell, mrrn/dd/yy Code2 Code I buyer/seller
(A-H) or int.) (J-P) Code3 redemption) (J-P) (A-H) (if private
(Q-W) transaction)

J Income Gam Codes: A=\$1,000 or less B=\$1,001 • \$2,500 C=\$2,501 - \$5,000 0 = \$5,001 - \$10,000 1 = \$10,001 - \$50,000 (See Columns B1 and 04) F = \$50,001 &middle; \$100,000 G = \$100,001 - \$1,000,000 HI = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 • \$100,000 M = \$100,001 - \$250,000 (See Columns C1 and 01) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 3 Value Method Codes Q Appraisal R = ('...', 1 (Real Estate Only) S = Assessment T = Cash Market (See Column C2) U = Book Value Ver w = Estimated
Name of Person Reporting 10/11/2012 Page 7 of 11

VII.
INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)

A.

B.
c. D.

Description of Assets Income during Gross value at end Transactions during reporting period
(including trust assets) reporting period of reporting period
(2)

(I) (2) (I) (2) (3) (4) (5) Place "(X)" after each asset Amount Type(e.g., Value Value Type(e.g., Date Value Gain Identity of exempt from prior disclosure div., rent, Code2 Method buy, sell, mrrn/dd/yy Code2 Code I buyer/seller Code I or int.) (J-P) Code3 redemption) (J&middle;P) (if private (Q-W) transaction) Name of Person Reporting

I Income: Gain Codes&middle; A-\$1,000 or less B = \$1,001 - \$2,500 C \$2,501 - \$5,000 D = \$5,001 - \$10,000 E = \$10,001 - \$50,000 (See Columns B1 and D4) F = \$50,001 • \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 • \$50,000 L = \$50,001 • \$100,000 M = \$100,001 • \$250,000 (See Columns C1 and 03) N = \$250,001 • \$500,000 O = \$500,001 • \$1,000,000 P1

=SI.000.001 S5.000.000 P2 DS5.000,001 -\$25,000,000 PJ =\$25.000.001 -\$50,000,000 P4 =More then \$50.000,000
3.

Vah.: Method Codes Q =Appraisal R =Cost (Real Estate Only) S""Assest.mcmt T -(;uh Market (See Column C2) U
=Book Value V=Other W=F..st1meted

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VII.

INVESTMENTS and TRUSTS -income, value, transactions ant:ludes those of spouse ant1 dependenuhildren; see
PP&middledot; 34-60 of filing inS1ruc1wns.;

D NONE (No reportable income, assets, or transactions.)

A.

B.

c. D.

Description of Assets Income during Groos value at end Transactions during reponing period
(including trust assets) reporting period of reponmg period

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(1)

(2)

(I) (2) (3) (4) (5) Place "{X}" after each asset Amount Type{e.g., Value Value Type{e.g., Date Value Gain Identity of
exempt from prior disclosure Code I div., rent, Code2 Method buy, sell, nun/ddlyy Code2 Code! buyer/seller (MI) or
int.) (J-P) Code3 redemption) (J-P) (A-H) (if private (Q-W) tranSAction)

I.

Income Gam Codes: A=\$I .000 <>r less B =SI .00 I -\$2,500 C =S2.50I -\$5,000 D =SS,001 -\$15.000

E=SIS.001\$50.000 (SeeColumnsBI andD-1) F =SS0.001 -\$100.000 G =\$100,001 -\$1.000.000 III =SI.000.001 -
SS.000.000 H2 =Mon: than SS.000,000

2.

Value Codes J =SI5,000 or less K =\$15.001 -\$50.000 L \$50,00I • SI 00,000 M =SI00.001 • \$250.000
(SttCohunns Cl end DJ) N -\$250.001 -SS00.000 O =SS00.001 -\$1.000.000 P1 =SI.000.001 -\$SS.000.000 P2

=SS.000.00I -\$25.000,000

PJ -s2s.000.001 -sso.000.000 P4 =More lban sso.000.000

3.

Value Method COOc. Q=Appraisal R ..Cost (Real Estate Only) S =Assessment T ..Cash M..t.ct
(See Column C2) U =Book Value V <>Other W=t;sumated

VII.

INVESTMENTS and TRUSTS -income, vature, tr1msacmms r1nct1lides those of spouse and dependtnt children;
see PP&middledot; 34&middledot;60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

B.
c. D.
Description of Assets Income during Gross value at end Transactions during reporting period
(including trust assets) reporting period of reporting period

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(I)

{I} (2) (3) (4) (5)

Place "{X}" after each asset exempt from prior disclosure Amount Code1 (M) Type(e.g., div., rent. or int.) Value Code2 (J-P) Value Method Code3 (Q-W) Type(e.g., buy. sell. redemption) Date mm/dd/yy Value Code2 (J-P) Gain Code I (A-H) Identity of buyer/seller (if private transaction)

69.

/ 10.

I. Income Gain Codes: A=\$1.000.001-less B =\$1.001 • \$2,500 C =\$2,501 -\$5,000 D =\$5,001 • \$15,000 E=\$15,001 • \$50,000 (See Columns B1 and 04) F =\$50,001 -\$100,000 G =\$100,001 • \$1,000,000 H1 =1,000,001-\$5,000,000 H2 =More than \$5,000,000

2.

Value Codes J =m,000 or less K =\$15,001 • \$50,000 L =50,001 • \$100,000 M=\$100,001 -mo,000 (See Columns C1 and D3) N =\$250,001 -\$500,000 O =\$500,001 -\$1,000,000 P1 =\$1,000,001 • \$5,000,000 P2 =\$5,000,001 -\$25,000,000 P3 =\$25,000,001 • \$100,000,000 P4 =More than \$100,000,000

3.

Value Method Code C<Jdes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Sale (See Column C2) U =Book Value V =Other W =Liquidation

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report) 10/11/2012 Page 11 of 11

IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations. NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104) Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544