AO 10 Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kozinski, Alex	Ninth Circuit Court of Appeals	07/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012
7. Chambers or Office Address		
125 S. Grand Avenue Suite 200 Pasadena, CA 91105		

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	All Limited Partnerships	Reported in Section VII
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2/29/12	Florida Coastal School of Law - Lecture Fee	$3,000.00
2. 3/27/12	Northwestern Law School - Lecture Fee	$10,000.00
3. 4/9/12	University of Miami - Lecture Fee	$5,000.00
4. 5/2/12	Connecticut Bar Assoc. - Lecture Fee	$1,000.00
5. 6/30/12	Colorado Bar Assoc. - Lecture Fee	$3,000.00
6. 10/31/12	Georigia State Univ. College of Law - Lecture Fee	$2,500.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 12/31/12	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Association of Business Trial Lawyers	Jan. 17	Los Angeles	Speaking Engagement	Lunch
2.	ACLU of Alaska	Jan. 21-22	Anchorage Alaska	Speaking Engagement	Travel & Lodging
3.	American Intellectual Propery Law Assoc.	Jan. 25-27	Las Vegas, NV.	Speaking Engagement	Travel & Lodging for Self and Spouse
4.	Stanford Law Review Symposium	Feb. 3-4	Palo Alto, CA	Speaking Engagement	Travel & Lodging

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5. American Bar Association	Feb. 17-19	Carlsbad, CA	Speaking Engagement	Travel & Lodging
6. Hispanic Bar Assoc. of Arizona	Feb. 21	Tucson, AZ	Speaking Engagement	Travel
7. Florida Coastal School of Law	Feb. 29-Mar.2	Jacksonvill, FL	Speaking Engagement	Travel & Lodging
8. Federal Bar Association	March 21	San Francisco	Speaking Engagement	Lunch
9. Fordham Law School	March 24-25	New York, NY	Moot Court	Travel & Lodging
10. Columbia Law School	March 26-27	New York, NY	Moot Court	Travel & Lodging
11. Northwestern Law School	March 27-30	Chicago, IL	Speaking Engagement	Travel & Lodging
12. Univ. Of Tennessee	March 30-31	Knoxville, TN	Speaking Engagement	Travel & Lodging
13. Federal Bar Assoc.	April 5	Los Angeles, CA	Speaking Engagement	Lunch
14. University of Miami	April 9-11	Miami, FL	Speaking Engagement	Travel & Lodging
15. Univ. of Oregon School of Law	April 12-13	Eugene, OR	Speaking Engagement	Travel & Lodging
16. Fund for American Studies	April 20-21	Sonoma, CA	Speaking Engagement	Travel & Lodging
17. Connecticut Bar Assoc.	May 2-4	Hartford, CT	Speaking Engagement	Travel & Lodging
18. Anonymity & Identity in the Information Age Conference	May 4-5	New York, NY	Speaking Engement	Travel & Lodging
19. Colorado Bar Assoc.	May 30-31	Denver, CO	Speaking Engagement	Travel & Lodging
20. Electronic Privacy Information Center	June 10-12	Washington, D.C.	Speaking Engagement	Travel & Lodging
21. Legal Studies Institute	June 13	Washington, D.C.	Speaking Engagement	Lodging
22. American Constitution Society	June 14-16	Washington, D.C.	Speaking Engagement	Travel & Lodging
23. ACLU	July 13	Los Angeles, CA	Speaking Engagement	Lunch
24. Lochinvar Luncheon	July 26	Los Angeles, CA	Speaking Engagement	Lunch
25. Univerisy of Michigan School of Law	Sept. 12-13	Ann Arbor, MI	Speaking Engagement	Travel & Lodging
26. Federalist Society Lawyer's Chapter	Sept. 13	Dallas, TX	Speaking Engagement	Travel
27. Berkeley Law School	Oct. 23	Berkeley, CA	Speaking Engagement	Local Travel & Dinner
28. University of Minnesota Law School	Oct. 25-26	Minneapolis, MN	Speaking Engagement	Travel & Lodging
29. Georgia State Univ. College of Law	Oct. 31-Nov. 1	Atlanta, GA	Speaking Engagement	Travel & Lodging
30. AJC Los Angeles	Nov. 7	Beverly Hills, CA	Speaking Engagement	Dinner

31. SMU Dedman School of Law	Nov. 15-16	New Orleans, LA	Speaking Engagement	Travel & Lodging
32. Federalist Society	Nov. 16-17	Washington, D.C.	Speaking Engagement	Travel & Lodging
33. Univ. of Pennsylvania	Nov. 26-27	Philadelphia, PA	Speaking Engagement	Travel & Lodging
34. Harvard Federalist Society	Nov. 27-29	Cambridge, MA	Speaking Engagement	Travel & Lodging
35. Yale Federalist Society	Nov. 29-30	New Haven, CT	Speaking Engagement	Travel & Lodging
36.				
37.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	[redacted] SANMINA-SCI	A	Dividend	J	T					
2.	[redacted] STANCORP	A	Interest	J	T					
3.	DREYFUS MUNI MONEY MARKET FUND	B	Interest	M	T					
4.	SCHWAB MONEY MARKET FUND	A	Interest	J	T					
5.	["SCHWAB MONEY MARKET FUND(SWMXX)	A	Interest	J	T					
6.	Fidelity Cash Reserves(FDRXX)	A	Interest	K	T					
7.	ARNA	A	Dividend	J	T	Buy	06/28/12	J		
8.	TIP	A	Dividend	K	T					
9.	HYG	A	Dividend	K	T					
10.	GDX	A	Dividend	J	T	Sold (part)	6/28/12	J	A	
11.						Sold (part)	07/12/12	K	A	
12.	BND	A	Dividend	J	T					
13.	VWO	A	Dividend	K	T					
14.	VGK	A	Dividend	K	T					
15.	VPL	A	Dividend	K	T					
16.	VNQ	A	Dividend	J	T					
17.	VB	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VTI	A	Dividend	K	T					
19. (PTR) HISTORIC PRE. PROP.	A	Interest	J	U					
20. (PTR) WINGATE HSG. II	A	Interest	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alex Kozinski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544