AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	**2. Court or Organization**	**3. Date of Report**
Covello, Alfred V.	U.S. District Court, CT	05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 **to** 12/31/2012
7. Chambers or Office Address		
450 Main STreet Hartford, CT 06103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/31/2012	State of Connecticut Pension	$111,597.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market	A	Dividend	K	T					
2. Bk of New England CH 7 Bankruptcy (8/23/2004)		None	J	U					
3. UBS IRA MMF	B	Dividend	J	T					
4. UBS Bank Deposit IRA	A	Int./Div.	J	T					
5. Real Estate, Bidderford, MW (1/2 Interest)	C	Rent	M	W					
6. Real Estate, Naples, FL (1/2 Interest)	D	Rent	O	W					
7. Real Estate, Farmington, CT	C	Rent	P1	W					
8. Schwab Brokerage #1									
9. A	A	Dividend	J	T					
10. HP	A	Dividend	J	T					
11. SWK	A	Dividend	J	T					
12. MCD	A	Dividend	J	T					
13. PEP	A	Dividend	J	T					
14. SCI		None	J	T					
15. T	A	Dividend	J	T					
16. AAPL	A	Dividend	K	T					
17. SCHWAB Money Market	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CATO	A	Dividend	K	T					
19. DTE	A	Dividend	K	T					
20. ETN	A	Dividend	K	T					
21. GOOG		None	J	T					
22. HPQ	A	Dividend			Sold	12/11/12	J	A	
23. IBM	A	Dividend			Sold	03/08/12	K	E	
24. KFT	A	Dividend	J	T					
25. MRK	A	Dividend	K	T					
26. NVT	A	Dividend	K	T					
27. PG	A	Dividend			Sold	03/08/12	J	B	
28. PM	A	Dividend	J	T					
29. PTV	A	Dividend	J	T					
30. SCHW	A	Dividend	J	T					
31. TNC	A	Dividend			Sold	05/09/12	K	D	
32. VZ	A	Dividend	J	T					
33. YUM	A	Dividend			Sold	08/10/12	K	D	
34. MA 5.5% PT AUTH REV	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. VFH	A	Dividend	K	T					
36. YUM	A	Dividend			Sold	08/10/12	K	D	
37. TRV	A	Dividend	K	T					
38. NKE	A	Dividend	J	T					
39. CT State Gen Rev 4%	C	Interest	L	T					
40. Stamford CT GO 4%	C	Interest	L	T					
41. VTI	A	Dividend	L	T					
42. VHT	A	Dividend	K	T					
43. CVY	A	Dividend	L	T					
44. XLE	A	Dividend	K	T					
45. EFG	A	Dividend			Sold	08/10/12	K	D	
46. IJK	A	Dividend	K	T					
47. IJJ	A	Dividend	K	T	Sold (part)	03/08/12	K	D	
48. IJT	A	Dividend	K	T					
49. IJS	A	Dividend	J	T					
50. IWB	A	Dividend	K	T					
51. HYG	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. EMB	C	Dividend	L	T					
53. FXI	A	Dividend	K	T					
54. DBC	A	Dividend	K	T					
55. PID	B	Dividend	K	T					
56. SCHV	B	Dividend	K	T					
57. XLY	A	Dividend	K	T					
58. XLB	A	Dividend	K	T					
59. XLK	A	Dividend	K	T					
60. SDY	B	Dividend	K	T					
61. VWO	A	Dividend	K	T					
62. VNQ	A	Dividend	K	T					
63. BWX	A	Dividend	K	T					
64. CT GO 3.5%	B	Interest	K	T					
65. Puma County AZ 5%	C	Interest	M	T					
66. NY City GO 5.25%	D	Interest	L	T					
67. Louisiana St Coorec 5%	B	Interest	K	T					
68. Midland TX 5% GO	B	Interest	K	T					

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69. Vernon CT 4% GO	C	Interest	M	T					
70. Citizens PPTY Fl Rev 5%	C	Interest	M	T					
71. Reagan City Tex 4% GO	C	Interest	M	T					
72. Utah Wtr Auth Rev 5%	C	Interest	M	T					
73. Magnolia TX 5% GO	C	Interest	M	T					
74. NY State Dorm 5%	C	Interest	M	T					
75. Northside TX 3.5% GO	C	Interest	M	T					
76. Mass. State Health 5%	C	Interest	M	T					
77. CA State Econ 5%	B	Interest	L	T					
78. San Fran CA Rev 4%	B	Interest			Matured	04/01/12	K	A	
79. Tarrant County TX 5.75%	D	Interest	M	T					
80. UCONN GO 5%	B	Interest	K	T					
81. Glastonbury 4.5%	C	Interest	L	T					
82. Schwab Brokerage #2 (combined w/ Schwab Brokerage #1 11/12)									
83. Schwab MMF COMBINED WITH LINE 17	A	Dividend			Merged (with line 17)	11/12/12	J		
84. ACN	A	Dividend	J	T					
85. AKZOY	A	Dividend			Sold	11/12/12	J	A	

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86. BUD	A	Dividend			Sold	11/12/12	J	A	
87. ALU	A	Dividend			Sold	11/12/12	J	A	
88. BTE	A	Dividend			Sold	11/12/12	J	A	
89. BASFY	A	Dividend			Sold	11/12/12	J	A	
90. BSBR	A	Dividend			Sold	11/12/12	J	A	
91. BBL	A	Dividend			Sold	11/12/12	J	A	
92. BZLFY	A	Dividend			Sold	11/12/12	J	A	
93. BRDCY	A	Dividend			Sold	11/12/12	J	A	
94. CAJ	A	Dividend			Sold	11/12/12	J	A	
95. CVE	A	Dividend			Sold	11/12/12	J	A	
96. CMXHY	A	Dividend			Sold	11/12/12	J	A	
97. CJREF	A	Dividend			Sold	11/12/12	J	A	
98. CHL	A	Dividend			Sold	11/12/12	J	A	
99. CS	A	Dividend			Sold	11/12/12	J	A	
100. DBOEY	A	Dividend			Sold	11/12/12	J	A	
101. DEO	A	Dividend			Sold	11/12/12	J	A	
102. ERIC	A	Dividend			Sold	11/12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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103. EXPGY	A	Dividend			Sold	11/12/12	J	A	
104. FMS	A	Dividend			Sold	11/12/12	J	A	
105. GFA	A	Dividend			Sold	11/12/12	J	A	
106. GG	A	Dividend			Sold	11/12/12	J	A	
107. GVDNY	A	Dividend			Sold	11/12/12	J	A	
108. IFPJY	A	Dividend			Sold	11/12/12	J	A	
109. JUPIY	A	Dividend			Sold	11/12/12	J	A	
110. KDDIY	A	Dividend			Sold	11/12/12	J	A	
111. KCRPY	A	Dividend			Sold	11/12/12	J	A	
112. AHONY	A	Dividend			Sold	11/12/12	J	A	
113. LRLCY	A	Dividend			Sold	11/12/12	J	A	
114. LNEGY	A	Dividend			Sold	11/12/12	J	A	
115. LVMUY	A	Dividend			Sold	11/12/12	J	A	
116. MTNOY	A	Dividend			Sold	11/12/12	J	A	
117. NSRGY	A	Dividend			Sold	11/12/12	J	A	
118. NGD	A	Dividend			Sold	11/12/12	J	A	
119. NVS	A	Dividend			Sold	11/12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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120. NVO	A	Dividend			Sold	11/12/12	J	A	
121. NXPI	A	Dividend			Sold	11/12/12	J	A	
122. DCM	A	Dividend			Sold	11/12/12	J	A	
123. OXGPY	A	Dividend			Sold	11/12/12	J	A	
124. PDS	A	Dividend			Sold	11/12/12	J	A	
125. PWE	A	Dividend			Sold	11/12/12	J	A	
126. RUK	A	Dividend			Sold	11/12/12	J	A	
127. RIO	A	Dividend			Sold	11/12/12	J	A	
128. RHHBY	A	Dividend			Sold	11/12/12	J	A	
129. SAP	A	Dividend			Sold	11/12/12	J	A	
130. SGPYY	A	Dividend			Sold	11/12/12	J	A	
131. SBGSY	A	Dividend			Sold	11/12/12	J	A	
132. SGSOY	A	Dividend			Sold	11/12/12	J	A	
133. SQM	A	Dividend			Sold	11/12/12	J	A	
134. SDXAY	A	Dividend			Sold	11/12/12	J	A	
135. SUHJY	A	Dividend			Sold	11/12/12	J	A	
136. TSCDY	A	Dividend			Sold	11/12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TKGBY	A	Dividend			Sold	11/12/12	J	A	
138. UN	A	Dividend			Sold	11/12/12	J	A	
139. VOD	A	Dividend			Sold	11/12/12	J	A	
140. WSH	A	Dividend			Sold	11/12/12	J	A	
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D=$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Covello, Alfred V.	05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alfred V. Covello

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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