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Report Required by the Ethics
FINANCIAL DISCLOSURE REPORT
in Government Act of 1978 FOR CALENDAR YEAR 2010 (5 U.S.C. app. §§ lOJ-111)

I. POSJTJ ONS. (Reporting individual only; see pp. 9-13 of filing instructions.) D NONE (No reportable positions.)
POSITION NAME OF ORGANIZATION/ENTITY
I. Trustee Thomas L. Ambro Revocable Trust
2.

Member (Emeritus) Georgetown University Alumni Admissions Program Board of Advisors

3.

President Richard S. Rodney Inn of Court

4.

Member Georgetown University Northern Delaware Alumni Admissions Program

5.

Member Board of Editors, Delaware Lawyer

6.

Member Board of Editors, Delaware Law Review

7.

Member Board of Trustees of the American Inns of Court Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.) [{] NONE (No reportable agreements.)
PARTIES AND TERMS
I.
2.

3.

Ambro, Thomas L.
Page 2 of21 Ill. N 0N-INVESTMENT IN CO ME. (Reporting individual and spouse; see pp. 17-14 of filing instructions.) A. Filer's Non-Investment Income [{] NONE (No reportable non-investment income.)

I. SOURCE AND TYPE INCOME (yours, not spouse's)
2.

3.

4.

B. Spouse 1S Non-Investment Income If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.) D NONE (No reportable non-investment income.)
SOURCE AND TYPE

I. 2010 State of Delaware -salary

2.

3.

4.

IV.
REIMBURSEMENTS -transportation, lodging.food, entertainment (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) D NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED

I.

American Bar Association May 8-9, 2010 Washington, DC Standing Committee on Fed Judicial Improvements Transportation
American Bar Association May II, 2010 New York City, NY Working Group on Legal Transportation Opinions
3. American Bar Association Oct 18-20, 2010 New York City, NY Working Group on Legal Transportation Opinions
Bringham Yound University Nov 4-6, 2010 Provo, UT Moot Court Competition Transportation, Lodging & Meals
5.
American Bar Association Nov 14, 2010 Philadelphia, PA Standing Committee on Fed Transportation Judicial Improvements
Ambro, Thomas L. 05/10/2011
6.
Harvard Law School & Dec 7-8, 2010 Cambridge, MA Financial Restructuring Transportation, Lodging & Meals Kauffinan Foundation Roundtable

V. GIFTS. (Includes those to spouse and dependent children; see pp. 18-31 of filing instructions.)
[{] NONE (No reportable gifts.)
SOURCE DESCRIPTION VALUE

I.

2.

3.

VI.

LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.) D NONE (No reportable liabilities.) CREDITOR DESCRIPTION VALUE CODE
l.
Commerce Bank, N.A., now known as TD Bank Partial guarantee of commercial loan to Springside, LLC (Part VII, Line 224) Pl
2.

Commerce Bank, N.A., now known as Partial guarantee of commercial loan to Springside, LLC (Part VII, Line Pl TD Bank 224)

3.

Commerce Bank, N.A., now known as Partial guarantee of commercial loan to Springside, LLC (Part VII, Line Pl TD Bank 224)

4.

5.

Ambro, Thomas L. 05/ 10/201 1
VII.

INVESTMENTS and TRUSTS -income, vatue, transaL'lions r1nc1udes those of spouse and dependent chitdren;
see PP· 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets (including trust assets)
FINANCIAL DISCLOSURE REPORT Page 6 of21 Ambro, Thomas L. 05/ 10/2011
VII.

INVESTMENTS and TRUSTS -income, value, transactions r1nc1udes those of spouse and dependent children;
see PP· 14-60 of filing instructions.;
0 NONE (No reportable income, assets, or transactions.)

A.

Description of Assets Income during Gross value at (including trust assets) .. reporting period of reporting period
(1) (2) (1)
Place "(X)" after each asset Amollrit Type (e.g., Value ..Value

exempt from prior disclosure .
·
Code2 Method
: :\l)
(AcH)
or int.) (J-P) <;:ode 3
(Q-W)

18. -Artio Global INVT FDS Intl Equity FD II Sold 02/26/10 J (part)

19. Sold 06/16/ 10 J (part)

1.

lncome Gain Codes: A =$ l ,000 or less B =Sl,001 -$2,500 c =$2,501 -$5,000 D=$5,00l -Sl5,000 E=$l5,00l S50,000 (See Columns B 1 and D4) F =$50,001 -$100,000 G 4100,001 -$1 ,000,000 HI =$1 ,000,00l -$5,000,000 H2 =More than $5,000,000

2.

Value Codes J =$15,000 or less K =$15,001 -$50,000 L =$50,001 -$1 00,000 M =$1 00,001 -$250,000 (Sec Columns Cl and DJ) N =$250,001 -$500,000 0=$500,00 1 -$1 ,000,000 Pl =$1 ,000,001 -$5,000,000 P2 =$5,000,001 -. $25 ,000,000 PJ =$25,000,001 -$50,000,000 P4 =More than $50,000,000

3.

Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Markel (Sec Column C2) U =Book Value V =Other W =Estimated
FINANCIAL DISCLOSURE REPORT Page 7 of 21 Name of Person Reporting Ambro, Thomas L. Date of Report 05/10/2011
VII. INVESTMENTS and TRusTS -income, value, transaL'tions (Includes those of spouse and dependent children; see PP· 34-60 instruL'tions.) D NONE (No reportable income, assets, or transactions.) Description of Assets (including trust assets) Place "(X)" after each asset exemptfrom prior disclosure
Buy 03124110 J (add'!)
36. Buy 04/28/10 J (add'!)
FINANCIAL DISCLOSURE REPORT Page 8 of21 05/10/2011 Ambro, Thomas L.

VII.
INVESTMENTS and TRUSTS -income, vatue, transactions (Includes those of spouse and dependent children; see PP· 34-60 of filing instructions.)
0 NONE (No reportable income, assets, or transactions.)

VII.

FINANCIAL DISCLOSURE REPORT Page 9 of21 05/1 0/2011 Ambro, Thomas L.
INVESTMENTS and TRUSTS -income, vatue, transactions r1nc1udes those of spouse and dependent children; see PP· 34-60 of filing instructions.)
0 NONE (No reportable income, assets, or transactions.)

c.
Description ofAssets Income during Gross.value_ at end (including trust iissets) ,,... .-•. reporting period ofreporting period
(2) (2) (I) (2)
Amount Type (e.g., Value Value . Type (e.g., Date

. Code I iv., reijt, Code2 Method buy, sell, . min/dd/yy · <··or int.) (J-P) Code 3 redemption) (Q-W)

69. Buy 06/01/10 J ..) · lntQme Gil in Codes: A =$1,_ooO or less B =$1,001-$2,500 C =$2,50I -$5,000 0=$5,001-$15,000 E =$15,001 -$50,000 (See Columns B 1 and 04) F=$50,001 -$100,000 G =$100,001 -$1,000,000 HI =$1,000,001 $5,000,000 H2 =More than $5,000,000
2.

Value Codes J =$15,000 or less K =$15,001 -$50,000 L =$50,001 -$100,000 M =$100,001 -$250,000 (See Columns C 1 and 03) N =$250,00I -$500,000 0 =$500,001 -$1,000,000 PI =$1,000,00 I -$5,000,000 P2 =$5,000,00 I $25,000,000 P3 =$25,000,001 -$50.000,000 P4 =More than $50,000.000

3.

Value Method Codes Q =Appraisal R =Co;t (Real Estate Only) S =Assessment T =Cash Market (See Column C2) V =Other
U =Book Value W =Estimated Date of Report FINANCIAL DISCLOSURE REPORT Page 10 of21 Ambro, Thomas L. 05/10/2011
VII.

INVESTMENTS and TRUSTS --income, vatue, transactions r1nc1udes those of spouse and dependent children; see PP· 14-60 of filing instructions.J
D NONE (No reportable income, assets, or transactions.)

A.

D.

Description of Assets Income during Gross value at end ..Jr:ans:icti,ons during reporting period
(including trust assets) reponing period of reporting period

(2) (!)
Place "(X)" after each asset Amount Type (e.g., Value
exempt from prior disclosure Code I div., rent, Code2 Met
(A-H) or int.) (J-P) .·(if private
(Q-W) .. transaction)

86.

Sold 06/17/10 J A
(part)

87.

88.

-Artio Global INVT FDS Intl Equity FD II

89.

90.

91.

-Kinetics Mutual Funds Inc Paradigm FD

92.

Sold (part) 12/22/10 Sold (part) 02/26/10 Sold (part) 06/17/10 Sold 12/13/10 Sold (part) 02/26/10 Sold (part) 06/17/10 K c J J J J J Sold 08/03/10 J
94.

-Hanis Assoc JNVT TR Oakrnark Global Select FD Sold (part) 02/26/10 J c

95.

Sold (part) 06117110 J B

96.

Sold 12/13/10 K D

-Pirnco Unconstrained BD Fund CL 03/03/10 J Buy Institutional (add'!)
98.

Sold (part) 10/27/10 K A

99.

Buy (add'!) 12/31/10 K

100.

-TCW FDS Inc Total Return BD FD Sold 01/13/10 K A IOI. -Templeton Global Total Return FD Sold 04/30/10 K B

102. -JP Morgan TR Strategic Income Oppty SELCL Buy 01/14/10 K

VII.

INVESTMENTS and TRusTS -income, value, transactions (Inc/rides those of Spouse and dependent children; see PP· 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets Income during Gross value (including trust assets) reporting.period of reporting
(2)

(1
)
Place "(X)" after each asset Amount ·Type (e.g., Value ::Value Type (e.g.; exempt from prior disclosure Code I div., rent, .Code 2 Method buy, sell, (A-H) or int.) (J-P) Code 3 redemption)

l.

Income Gain Codes: A =$1,000 or less B =Sl,001 -$2,500 C =$2,501 -$5,000 D =$5,001 -$15,000 E =$15,001 $50,000
(See Columns Bl and 04) F=$50,001 -$100,000 G =$100,001 -$1,000,000 HI =$1,000,001-$5,000,000 H2 =More than $5,000,000

2.

Value Codes J =$15,000 or less K =$15,001 -$50,000 L =$50,001 -$100,000 M =$100,001 -$250,000
(Sec Column> Cl and D3) N =$250,001 -$500,000 0=$500,001 -$1,000,000 Pl =$1,000,001 • $5,000,000
P2 =$5,000,001 -$25,000,000
P3 =$25,000,001 -$50,000,000 P4 =More than $50,000,000

3.

Value Method Codes Q =Appraisal R =Cost {Real Estate Only) S =Assessment T =Cash Market
(Sec Column C2) U =Book Value V =Other W =Estimated

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VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see PP· 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets Income during (including·trust assets) reporting period
Place "(X)" after
(2)

Amount Type (e.g.,
· -: ·'·Code I
div., rent,
(A-H)
or int.)

B =$1,001 -$2,500 C =$2,501 -$5,0QO D =$5,001 -$15,000 E =$15,00 I -$50,000 (See Columns BI and 04)
F=$50,001 -$100,000 G =$100,001 -$1,000,000 HI =$!,000,001-$5,000,000 HZ More than $5,000,000

2.

Value Codes J =$15,000 or less K =$15,001 -$50,000 L =$50,001 -$100,000 M =$100,001 -$250,000 (Sec Columns CI and 03) N =$250,001 -$500,000 0 =$500,001 -$1,000,000 Pl =Sl,000,001 -$5,000,000 P2 =$5,000,00 I

$25,000,000 P3 =$25,000,00 I -$50,000,000 P4 =More than $50,000,000

3.

Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market (Sec Column C2) V =Other U =Book Value W =Estimated

VII.

INVESTMENTS and TRUSTS -income, value, transactions r1nc1udes those of spouse and dependent children; see PP· 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets Income during
(including trust assets) reporting period ·

(1) (2)
Place ... (X)" after each asset· Amount , TYpe (e.g .• exempt from
prior disclosure Code I div., rent,
(A-H) or int.)
D

137. Sold 06/17/JO K

VII.

INVESTMENTS and TRUSTS -income, vatue, transactions r1nc111des those of spouse and dependent children; see PP· 34-60of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

D.

Description ofAssets ' Transac:tio1ns during reporting period (including trut as5ets), ·
(4) (5) Value Gain Identity of Code2 Code I buyer/seller (J-P) (A-H) (ifprivate transaction)
154.

-Vanguard Inflation Protected Securities FD Buy 12/31/10 L (add'!)

155.

-Intel Corp Intc Buy 08/09/ 10 K

156.

-Fairholme Funds Inc Focused Income Buy 04/08/10 K
Fund

157.

Buy 12/3 1/10 L (add'!)

158.

-JP Morgan TR Strategic Income Oppty Buy 01/14/ 10 M SEL CL

159.

Buy 03/03/10 L (add'!)

160.

-Loomis Sayles FDS I Bond Fund Retail Buy 12/30/10 M Class

161.

-Matthews Intl FD Asian Growth & Income Buy 12/21/ 10 L FD

162.

Buy 12/3 1/10 K

163.
-Nuveen Tradewinds Global All Cap FD Buy 121171 10 L

Inst! Sh

164.

Buy 12/3 1/10 L
(add'!)

165.

-Pimco FDS Emerging Local BD FD
Buy 12/31/10 M

166.

-Pimco Global Multi Asset FD
Buy
03/03/10 L

167.

Buy 06/28/10 M (add'!)

168.

Buy 10/27/10 M (add'!)
169.
-Templeton Income TR Global Total Return Buy 05/03/ 10 N FD
170.
Sold 12/31/10 M D (part)
(add'!)
I. lncoe Gain .COdes.:-: A.=S1,ooo_o_r le.ss B =$1,001 -$2,500 C =$2,501 -$5,000 D =$5,001 -$15.000 E =$15,00 I $50,000 , (See Columns B 1 and 04) F=$50,00J -$100,000 G =SJ00,001 -$1,000,000 HL =$1,000,00J -$5,000,000 H2 =More than $5,000,000
2.

Value Codes J =$15,000 or less K =$ 15,001 -$50,000 L =$50,001 -$100,000 M =$ 100,00 1 -$250,000 (Sec Columns CJ and 03) N =$250,001 -$500,000 0 =$500,001 -$1,000,000 Pl =$1,000,001 -$5,000,000 P2 =$5,000,00 I $25 ,000,000 P3 =$25,000,001 -$50,000,000 P4 =More than $50,000,000

3.

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05/10/2011
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VII. INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see PP· 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)
A;
Description ofAssets Income'during Gross value at end

(includintt!rust assets) reporting period of reporting period
(!) {I)
(1)

(2)

Amount Type (e.g., Value Value Type (e.g.,· ·· Date Value Code 1 div., r.Ilt, Code 2 Method buy, sell, · . mnildd/yy ·Cb.de 2 (A-H). . . or int) (J-P) Code 3 redemption) (J-P)
171.
-Gabelli Gold Natural Resources Buy 06/18/10 K

172.

-Vanguard Whitehall FDS Intl Explorer FD Buy 04/28/10 K
In vs

· l..)nctjilie 9 in :c.Ods: A =$1,000 or less B =$1.00 I -$2.500 c =$2,501 -$5,000 D =$5,00 I -$15,000 E

=$15,001 $50,000 (See Columns BI and 04) F =$50,00 I -$I 00,000 G =$100,001 -$1,000,000 HI =$1,000,001 $5,000,000 H2 ,.,More than $5,000,000
2.

Value Codes J =$15,000 or less K =$15,001 "$50,000 L =$50,001 -$ J00,000 M =$100,00 I -$250,000 (See Columns CI and 03) N =$250,001 -$500,000 0 =$500,001 -$1,000,000 Pl =$1,000,001 -$5,000,000 P2 =$5,000,00 I $25,000,000 P3 =$25,000,001 -$50.000,000 P4 =More than $50,000.000

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05/10/2011 Ambro, Thomas L. Page 16 of21
VII.
INVESTMENTS and TRUSTS --income, vatue, transactions r1nc1udes those of spouse and dependent children; see PP· 34-60 of filing instructions.)
D NONE (No reportable income, assets, or transactions.)
05/10/201 I Ambro, Thomas L. Page 17 of21

VII.

INVESTMENTS and TR US TS -income, vatue, transactions r1nc1udes those of spouse and dependent children; see PP· 34-60 of filing instructions.J
D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets Income during Gross value at
(including trust assets) reporting perii>d of reporting period

.(2) (2)
Place "(X)" after each asset ;rype. (e.g., .·· Value Value exempt from prior disclosure : div., rent, . CCide 2 _Method

· or-int) (J-P) COde :>
205.
Sold 06/1 6/10 J A
(part)
206.
-Hanis Assoc INVT TR Oakmark Equity & Sold 02/26/10 K D
Income FD (part)
207. Sold 06/16/10 J c
I. Income Gain Codes: A =$ 1,000 or less B =$1,001 -$2,500 C =$2,50 I -$5,000 D =$5,001 -$1 5,000 E =$I 5,00 I -$50,000 (See Columns Bl and D4) F =$50,001 -$100,000 G =$100,00 I -$I ,000,000 HI =$1,000,001 -$5,000,000 H2 =More than $5,000,000
2.

Value Codes J =$15,000 or less K =$15,001 -$50,000 L =$50,001 -$100,000 M =$I 00,00 I -$250,000 (Sec Columns CI and 03) N =$250,001 -$500,000 0 =$500,001 -$1,000,000 Pl =$1,000,001 -$5,000,000 P2 =$5,000;00J -$25,000,000 P3 =$25,000,00 I -$50,000.000 P4 =More than $50,000,000

3.

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Ambro, Thomas L. 05/10/201 1 Page 18 of21 instructions.J
VII. INVESTMENTS and TRUSTS -income, value, transactions r1nc1udes those of spouse and dependent children; see PP· 34-60 D NONE (No reportable income, assets, or transactions.) Descripti<m Income.during Gross value .at•end (includin tiust assets) reporting peri<id
Place"(X)" after each asset. .·•· Amount · . Type (e.g.,
exempt froJJ\· prior disclosure · iv., ret&#65533;

· .· Code I
(A-H)
or int.)

222.

223.

-Nuveen Tradewinds Global All-Cap FD Buy 12/22/10 K Inst! Sh
-Vanguard Municipal Bond Fund High Buy 12/3 1110 K
Yield Port
224.

Springside, LLC (2000 -$300,000) None Pl w

225.

Legg Mason Opportunity Trust None Sold 03/03/10 K
I. Jncome-Gain Codes: A =$1,000 or Jess · B=SJ.001 -$2,500 C =$2,50I -$5,000 D =$5,001 -$1 5,000 E =$15,001 -$50,000 (See ColullU\S Bl and D4) F =$50,001 -$100,000 G =$100,001 -$1,000,000 Hl =$1,000,001 -$5,000,000 H2 =More than $5,000,000
2.
Value Codes J =$15,000 or less K =$15,001 -$50,000 L =$50,001 -$100.000 M =sroo;oo1 -$250,ooo (Sec Columns Cl and 03) N =$250,001 -$500,000 0 =$500,00 I -$1 ,000,000 Pl =$1,000,001 -$5,000,000 P2 =$5,00o,001 $25,000,000

P3 =$25,000,00 I -$50,000,000 P4 =More than.$50,000,000
3.
Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Markel
(See Column C2) V =Other U =Book Value W =Estimated FINANCIAL DISCLOSURE REPORT 05/1 0/2011
Ambro, Thomas L. Page 19 of21
VII. INVESTMENTS and TRUSTS -income, vatue, transactions r1nctudes those of spouse and dependent children; see PP· 14-60 of filing instructions.)
0 NONE (No reportable income, assets, or transactions.)

Name of Person Reporting Date of Report FINANCIAL DISCLOSURE REPORT Ambro, Thomas L. 0511012011 Page 20 of21
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate parl ofrep1Jrt.) Asset listed in Part VII, Line 226, has rental income from property located in Middletown, DE. This asset was purchased in 2004 for $80,925. Asset

listed in Part VII, Line 71 of prior year report, should not have been listed as a part sale. The asset was completely sold in 2010. Asset listed in Part VII, Line 126 -changed from XTO Energy Inc to Exxon Mobil Corp Page 21 of21 Ambro, Thomas L. 05/ 10/201 l

IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations. Signature: s/ Thomas L. Ambro NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104) Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-30 1 One Columbus Circle, N .E. Washington, D.C. 20544