

AMENDED

FINANCIAL DISCLOSURE REPORT Report Required by the Ethia in Government Actof 1978, FOR CALENDAR YEAR 2003 (5 U.S.C. App., &sect;&sect;101-l J l) &middot;

1. Person Reporting (Last name, Jlr'St, middle initial) 2. Court or Organization

3.

Date of Report  
ANDERSEN, WAYNE R. U.S. DISTRICT COURT, NDIL  
08/05/2004

4.

Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time)  
ReportType (check appropriate type) 6. Reporting Period  
\_Nomination, Date \_\_\_\_ 01/01/2003 to 12/31/2003

U.S. DISTRICT COURT JUDGE  
-Initial 1L Annual -Final

7. Cbamben or Office Address 8. On the basis oftbe information contained in this Report and any modifications pertainin thereto, it is, in my opini on,219 So&middot;uth Dearborn Street, Suite 1486 , Chicago, in compliance witli applicab e laws and regulations. Illinois 60604

Reviewing Officer

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-&middot;--&middot;, .  
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. &middot;&middot;- . ... .  
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!:'v/PORTANT NOTES: The instructions accompanying thisform must befvlluu&middot;ed Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

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I. POSITIONS. (Reporting ,individual only; see pp. 9-13 of Instructions.)

POSITION NAME OF

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..... c::

D NONE (No reportable positions.) (I) ::XJ0

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Director Rehabilitation Institute of Chicago. o-

m:Z ,..z

-

2 Director Chicago Bar Association ::to c.n

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3 .....

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.) PARTIES AND TERMS NONE {No reportable agreements.} I have an agreement with James Publishing Company to co-author a book about federal civil practice before trial. The book is Co-Author but it yet published so no royalties have yet been paid.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 7-24 of Instructions.) SOURCE AND TYPE GROSS INCOME

D NONE {No reportable non-investment income.} (yours, not spouse's)

Salary as Justice of the Appellate Court of the State of Illinois

2 \$

3 \$

4 \$

5 Name of Person Reporting AMENDED Wayne R. Andersen FINANCIAL DISCLOSURE REPORT IV. REIMBURSEMENTS -transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.) SOURCE DESCRIPTION NONE (No such reportable reimbursements.) \$ Date of Report 08/05/2004

2 3

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions)

SOURCE DESCRIPTION VALUE

D NONE (No such reportable gifts.)

I am a member of this club. The privilege is available to

Union League Club, Chicago, Illinois \$1,716.00 any federal judge. I pay \$55.00 per month in and 2 pay for all purchases, such as meals. Monthly dues for regular members are \$198.00. I am a member of this club. The privilege is available to LaSalle Club, Chicago, Illinois \$1,860.00 any federal judge. Monthly dues are \$155.00. I pay for 4 all purchases, such as meals.

VI. LIABILITIES. (Includes those of spouse and dependent children See pp. 32-33 of Instructions.) CREDITOR DESCRIPTION VALUE CODE\* 0 NONE (No reportable liabilities.) 2 3 4 5 6 .I=I S, uvu or kss K=\$1 5.Ulll-\$:"iJ.OUU L=\$50.001-\$100,000 \!=\$1 Ull.flo1-\$2SO.!JOO (t=::u1)J.O!-S ;! )00 ..00u 1 !&middledot;S5..0(JfJfJ:J(J P:>S:' \.!Ou,'J(J 1-J:;!5.UV0.000 P 1:5 \_i &middledot;&middledot;1'1.(l( 'J&middledot;25.(1Jt!.1;Ui-'S.5U.OG11,' &middledot;".11.J l'.t=t ,1;;11!.<:&middledot;11 .xmore AMEND 11D Name of Person Reporting Date of Report Wayne R. Andersen 08/0512004 FINANCIAL DISCLOSURE REPORT

VII.

Page 1 INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A.

Description of...asset (including trust assets:)

(i) &middledot; 1 (2)

Value &middledot;Date:Code2 Code3 MonlhCode2 buyeriseller(A-H) int) (J-P) (Q-W) redemption) Day (J-P) (A-HJ' (if private transaction)

- &middledot;-... --. -. . --&middledot;- \_\_ ,. --&middledot; D

NONE (No reportable IRAs, assets,

T

1 Checking Acct Glenview :Bank 1 Interest TL Anheuser Busch common stock Dividend TL IL Judges Retirement Sys. Pension Vested Annuity KE TL IL Judges Retirement Sys. Pension Vested None TL 5 IL State Employees Retirement Vested 1 None TL U.S.

Savings Bond None T

U.S.

Savings Bond None 1 TL Defe111Cd compensation, State of Illinois None -a T Motorola Inc. common stock , RA) 1 Dividend A T 10 Service Master Corp. common stock J DividendA II MEINA Ccop. common stock T L DividendA T 12 American Century 20lh Mutual! Funds L Dividend&middledot;A I &middledot; 13 US Bancorp (IRA) T K Dividend A Pharmacia K 14 Pharmacia Corp. common sto : (IRA) D T 6125

K Dividend A merged into&bull; Jom.s Lang LaSalle common s1;oi k(IRA) T K Dividend A! 15 &middledot;-----&middledot;

B. c.

o.&middledot;

Transactions during reporting period

Income Gross value during at end of j reporting pmod reporting period !

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&middledot;

If not e.xc:mpt from disclosure

"(2) ..

Value

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Type(.:g.&bull;

Type

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Code!

Place "1;)" ajtel" each ars1t

i:.xemplfromprior disc/oirtm:.

(e.g.,

buy, sell,

(3)

Value

(5)

Amt. div., CodeI rent or

Idc:ntity of merger, ' 1!1c,1m.: 'Gam l <&bull;J...; .-\=\$1.UO(; l>r l.:>S B=\$i.001-\$2 50U C=-\$25!11-S.'ttOU D=\$5.UO 1-S 1 5.0(JU , 1s.: c.,1. !31. u. &middledot; r=\$5nJwl-SluO.<Jul' i=S I 00.UO 1-\$1.0UO.OOO H 1=\$1.0110.UOI-S5.I !IJJ.UOO 112=\foic than \$5.000,IJU2 \alu.: C<>ck>. J\$15.1ioo or ks> i.:si 5,ou t\$50.0C&bull;O L-;.,\$50.001&middledot; SIOOJJOU \!=\$ lot1.0Cl 1 :s2ti.(i(10! '>ct: l'ol CI. I )31 !\=\$250.&bull;)11 1-S'Pli.(Hlu OS50l.1.11i.J J -\$1 .0(1(1.00(1 PI=\$ 1.000.00 J -\$5.uOO.OUO 1'2=\$5.f1U11.11u 1 -S:".(!U0.1 &bull;0PP.l=\$25Jt110.0; &bull; l-S5fi.f11 1t1.000 l'l,=\lore rhan S5&bull;J.u1\_iuJ10(! 1. I:i Vafu,: \flcth1,J l'c>,i.:s (). \pprnisai R&middledot;=c,&raquo;l !. real est;itc. 11n I\ I S=.,\,;essmem &middledot;1 =c'ash 'vfarkc1 &middledot; r 'lc ( <! ,\_-&middledot;2 U=Uc&bull;n 'ah;.: \='t nh! \\\ =hiimated I of Person Reporting Date of Report AMENDED FINANCIAL'DISCLOSURE REPORT Wayne R. Andersen 08/05/2004

VII. Page 2 INVESTdENTS and TRUSTS --income, valueI transactions (Includes those of

spouse and dependent childnm. See pp. 34-57 of Instructions.)

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---&middledot; .. ----&middledot; -----&middledot;

A.

B. C. \_ De...ription of A.s.:ts D. Income Gross value (including trust assets J during at end of Transa.:tkms during  
n:poning period reponing Jieriod reportingperiod .----" -(i

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If not exempt from disclosure \_ -.. iH t2f&middledot;----tiT \_\_\_\_\_

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Type Type (e.g., Value (e.g., . (2) P/ae "!X)" after each as:re/e.wmpt}rom prior Jisc11re. Method Value Amt. Codel

(3)  
Value  
(4)

(5)  
Gain Identity of Codd (J-P) IA&middledot;Hl bu\ er/seller div., buy,sell Date: rent or Code2 Code merger, MonthCode2  
int.) (J-P) I (Q-W} redemption) fl (ifi&bull;&bull;1ot""&bull;ion) -&middledot;-(A-H) Doy - . -- . ... &middledot;.  
&middledot;-.

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'3S25.(l(J(1,00 I-:,5o.flOO.O!Ju of Person Reporting Date ofR1on AMENDED Wayne R. Andersen 08/05/2004  
FINANCIAL DISCLOSURE REPORT  
VII.

Page 3 INVESTMENTS and TRUSTS --income, value, transactions (Includesthoseof  
Sepp. 34-57 of /nstructfons.)  
spciuse and dependent childrjin.  
Description of Assets (includmg trust assets)

B.  
C.  
D.

Income Gross valm: 1 ..nd of Transactions during reponing p.!nod during reporting period&middot;liJ (21 &middot; &middot; &middot; reporting period flff &middot; r-&middot; -&middot; ;(i) .-&middot; &middot; &middot; ;---; &middot; ; - Ifnot exempt from disclosure --,-----Villue Gam IdentitY or Type div., Date: ' -Type buy. sell, --I -----&middot;--&middot;Amt. Code I ent or(A-H) iilt.) I Dividend Dividend Value Method I Place after each asse.t prior disclosuni. I I ' I Code2 Code Codel buvcr/s-eller r<ifpri&bull;olo mml merger. /l.fonth- &middot; Code2 I assets, or transactiio11JS) Mo111santo, common stock (IRA) {spin-offof Phannacia) Sold AA 6120 J .SoldRitll1 Medical common stock {JIRA) AA 6120 J 36 53 Nml'cen Prefemd Income Fund (IRA) NOT!thwestem Mutual Life Policies A A Dividend Dividend J K T T 54 55 56 Astn1zcnec PLC (IRA) Chi<:os Fas Inc. (IRA) Marvel Enterprises, Inc. (IRA) A A A Dividend Dividend Dividend K K K T T T - Buy Buy Buy 8125 6120 3/31 K J J 57 A Dividend K T Buy 6/20 6127 J J 58 Stericyc:le Inc. (IRA) A Dividend K T Buy 6/20 6127 J J 59 Weight Watchers, Intl. Inc. (IRA:l A=Sf&bull;l(f\ Pf ks> B=Sl,110l-S2.:!iU C=S2511 J-S.'OOll D=S5JJ(IJ -'ii I .000 E=SJ 5.Uo!550.00t&bull;F=S(!.110 l&middot; S IOO.t1u(J G=\$J 011.0111-Sl,OOl1.00(l 11 l =\$l.!il!UJ10J -\$5. llto.Ouv H2=!forc than 55.00!UOO J=g l ,11110 ,,r k,;, j,;.=&#65533;sl 5,00 i-\$50.000 L=So.iioi. !.100.ouu 1St"C1d. 81. D-IJ \ itlue C oJ,, 1\t:t: C.d l'l.1>31 .\i=\$ i liU.iJi!l -\$250.11i10 '!2=S5.IJ00.LJ0l-S:!5.000.000 Pl =S. 1. OtJO.t11.1 1- S5.(l(!J.1 ilJ(J P-l=l\ lor tha11 , -1,(1(1) ,(11.&bull;0 '-:=S.2l'.1101-i>5P0.fJ11i1 0=551J0.11\Jl&middot;\$l.Oov.1111u . l.'0&bull;\$25 .IJl.'P.&bull;.&bull;(&bull; !\$5h.n0(1\_t)(l0 \ aluc '-lelk>u L&middot;&middot; &bull;de r)=\p.prnis:!li &middot; ',..c"t: <. "! C:li 1 --1lt&bull;i&bull;k ',iue T=Ca,h \iarkct j S=.\ssessmemW=Est1t11Jted l<&middot;=t'c)>t (real c:tate 1mh &middot; \&middot;")h;.-r -----&middot; -----&middot; &middot; = -&middot; &middot; -----&middot;&middot;&middot;---AMENDED Wayne R. Andersen FINANCIAL DISCLOSURE REPORT VII.

Page 4 INVESTjMENTS and TRUSTS -income, value, transactions (Includesthoseof &middot; spouse and dependent childnm. See pp. 34-5 7 of /n.structions.) &middot;-----&middot;--"" -&middot; l :\.

B.

c. Decriplion uf Ass.:t; D. Incllme Gross value (im:ludmg trust assets) Transactions during r.:porting period during at end of reporting period reporting period .. --&middot;:-(1j -&middot; &middot; &middot; &middot; ur-&middot; w&middot; -.. th&middot; &middot; &middot; -----(2; Ifnot exempt from disclosure IType Type ----&middot;T&middot;----&middot; ;---. -----&middot;-&middot;&middot;---&middot;&middot; (e.g., Value (e.g., . (2) . (3) Place ),' X;" after each m;set Amt. div., Value Method buy, sell, . (5) Date: Vhlu Identity t>f exempt rom prior disclosure. merger, bucrjssel'er -(A-HI in-t.) (J-P) (Q-W) redemption) o., (J-PJ Code I rnt or Code2 Code -Month-Code2 Code! --.. &middot;-&middot;&middot; .. -&middot;&middot; -D NONE (No reportable: iassets, or transactJcncomc, ins) 68 69

h1corn( {1<1111 ( <&bull;Jes A=\$ 1.1111u or less H=S LOOI-S2.5110 cS:!.:0 I -5,UiJO D=S:".00!-S 15.00! &pound;=\$I 5.UP I-\$50Jllti 1 '>.: Ccil. BL D-11 I =\$50.U(! 1-\$ ! 00.011(1 (i=\$! 00.0(J 1-S.1 .O{JU.000 HI =\$! ,fl!JU.i!(I I-\$5.000.000 112=\!tJn:'. than 'ii:'.000.LJOO \ alu.: C ,1Je J. I .OOo or k:." K=S I 5.rm11-\$50.0)(1 L=S50.(iOI-\$Jt1(1.oob \&middot;1=\$1 PU.Ol! IS25U.Obu{Se.:C,1!l."I D3J N'-'S25ll.OD 1-S5ot!.{&bull;t10 O=S:'Ut 1.1J!! I-SI .llOD.000 '!15> I .i1110. !!U1\$5.0(IH,IH.11) P2=S5.(10o.0111-\$25.flou.,1ut1P=-\$25.00(&bull;. 11{I J-S5l &bull;UOI'< iOIJ P.J=\lor rhan \$5(1.) (10J1ou i./ulue \kth&bull;d CuJe, r). \ppraisal R=Cosi (real state onil &middot;' i=:\ssessment &middot; &bull;. see t "! C2&bull; r &middot;1;," .. alui: V=uther \\'=Fsti111.11;::d

VIII. ADDITIONAL IIFORMATION OR EXPLANATIONS (Indicate part of Report.) UBS Financial Services, Inc. is the custodian of my IRA account. UBS Financial Senrices, Inc. and R.T. Jones Equities, Inc. invest cash on Section VII Investments and Trust continued

14. \* Ptiizer resulting in Pfaze1r shares which were sold on 8125/03 gain code D.

FINANCIAL DISCLOSURE REPORT Report Required by the Ethir in Government Act of 1978, (5 u.s.c. A.pp., &sect;&sect;101-11!)  
FOR CALENDAR YEAR 2003

1. Pen1on Reporting (Last name, first, middle initial) ANDERSEN, WAYNER  
4. Titli: (Article II! judges indicate active or senior status; magistrate judges iJ'ffJ1icatefull-orpart-time)  
U.S. DISTRICT COURT JUDGE  
7. Chambers or Office Address 219 South Dearborn Street, Suite 1486, Chicago, Illinois 60604  
2. Court or Organization U.S. DISTRICT COURT, NDIL 3. Date of Report 04/12/2004  
5. Re11ortType (check appropriate type) 6. Reporting Period 01/01/2003 to 12/31/2003 Initial L Annual Final  
8. On the basis of the information contained in this Report and any modifications thereto, it is, in my opmion, in compliance laws and regulations.  
I. POSITIONS. (Reporting indfvidual only; see pp. 9-13 of Instructions.) POSITION NAME OF D NONE (N-0 reportable positions.) Dire:ctor Rehabilitation Institute of Chicago Dirc:ctor Chicago Bar Association

II. AGREEMENTS. (R1porting individual only; see pp. 14-16 of Instructions.) , PARTIES AND TERMS i=1 NONE (No reportable: agreements.) II have an agreement with James Publishing Company to co-author a book about federal civil practice before trial. The book is C<i-Author not yet publiished so no royalties have yet been paid. m :::0 ID. NON-INVESTMENT INCOME. (Reporting individualandspouse;see pp.17-240/Instructions.J :::: rn SOURCE AND TYPE R!i&#65533;5 &middot;&middot;. not a;;.) &middot; -nr-r. (No reportable non-investment income.) .. ? 0 Salary as Justice of the Appellate Court of the State of Illinois (spouse) \$ \$ \$ \$ s \$ Name of Person Reporting Date of Repoll: Wayne R. Andersen 04112104 FINANCIAL DISCLOSURE REPORT

IV.

REJCMBURSEMENTS -transportation, lodging, food, entertainment.  
(Inch'lles those to spouse and dependent children. See pp. 25-27 ofInstructions.)  
SOURCE .DESCRIPTION  
D NONE (Np such reportable reimbursements.)

s

V.

GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)  
D SOURCE NONE (No such reportable gifts.) DESCRIPTION VALUE  
Union League Club, Chicago, Illinois I am a member of this club. The privilege is available to any federal judge. I pay \$\$\$00 per month in dues and \$ 1,716.00

2 3 LaSalle Club, Chicago, Illinois pay for all purchases, such as meals. Monthly dues for regular members are \$198.00. I am a member ofthis club. The privilege is available to any federal judge. Monthly dues are \$155.00. I pay for \$ \$ 1,860.00 4 all purchases, such as meals. \$

VI. LI.A.BILITIES. (Inc/ud'eJ: those of 8fJ011Se and dependent children See pp. 32-33 of Instruction.v.) CREDITOR DESCRIPTION VALUE CODE\* NONE (No reportable liabiliities.) Name of Person Reporling Date of Report 04/12/04 FINANCIAL DISCLOSURE REPORT

VII.

Page 1 INVESTM:ENTS and TRUSTS income, value, transactions (Includes those of -spouse and dependent children. See pp. 34-57 of Instructions.)  
1 Inccme/Gain Codes: Col. Bl.D4) ie Codes: : Col. Cl, D3) A=\$1 000 or less F=\$50,001-\$100,000 J=\$15,000 or less B=\$1,001-\$2,500G=\$100,001-\$1,000,000 K=\$15001-\$50,0000=\$500,00H1,000,000 C=\$2,501,\$5,000H1=\$1,000,001\$5,000,000 L=\$50,001-\$100;000 D=\$5,001-\$15,000H2=More than \$5,000,000 M=\$100,001-\$250,000P2=\$\$,000,OOJ-\$25,000,000 E=SIS,001-\$50,000 (See: Col. C2)  
Name ofPerson Reporting Da.te of Report &middot;04/12/04 FINANCIAL DISCLOSURE REPORT Wayne R.

Andersen

VII.

Page 2 INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

D

NONE (No reportable income, assets, or transactions)

16 EMC Corp. common stock A T

Dividend J 17 EMC Corp. common stock A Dividend J T 18 Checking account BankOne A Interest J T . Dauphin Technology, Inc. common stock A Dividend T Sold 6/20 A 19 (IRA) J J Starbucks Corp. common stock (IRA) A Dividend K T 21 Pixar Inc. common stock (IRA) A Dividend K T 24 25 Janus Mutual Funds Intermune Phannaceut cals c : (IRA) mmon stock Family Dollar Stores (IRA) Palm, Inc. common stock (IRA) A A A A Dividend Dividend Dividend Dividend K K J J T T T T Sold 6/20 J A 26 3Com Co p. (IRA) A Dividend J T Sold 6/20 J A 27 Baxter Int'l, Inc., common stock (IRA) A Dividend J T 28 29 PMJ Group, Inc., common stock (IRA) Amazon.com, Inc., conv. rate &bull; 211109 (IRA) 05% due A B Dividend Interest K K T T Sold Redeemed \$7,000.00 7/02 11/24 K J A c 30 Weir Point Bank Checking Account OOW A Interest J T 31 McData Corp. common stock A Dividend J T Sold 6/20 J A 1 32 McData Corp. common stock (IRA) A Dividend J T Sold 6/20 J A S Energy Corp. common stock (IRA) A Dividend J T Income/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 (See Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$500,000 H=\$500,001-\$1,000,000 I=\$1,000,001-\$5,000,000 J=\$5,000,001-\$25,000,000 K=\$25,000,001-\$50,000,000 L=More than \$50,000,000 R=Cost (real estate only)

S=Assessment T=Cash/Market Name of Person Reporting Date of Report 04/12/04 FINANCIAL DISCLOSURE REPORT Wayne R. Andersen

VII.

Page 3 INVESTMENTS and TRUSTS --income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

M=\$100,001-\$250,000 (See Col. B1, D3) N=\$500,001-\$1,000,000 O=\$1,000,001-\$5,000,000 P=\$5,000,001-\$25,000,000 Q=More than \$25,000,000 R=Cost (real estate only) S=Assessment T=Cash/Market of Person Reporting Date Wayne R. Andersen 04/12/04 FINANCIAL DISCLOSURE REPORT

VII.

Page 4 INVESTMENTS and TRUSTS --income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions) . Description of Assets (including assets) . Type . . Place after each asset . . Value . . Amt prior disclosure. Code

Code 2  
rent or (J-P) NONE (No reportable income, (A) assets, or transactions) . 68 69 70 Income/Gain Codes: A=\$1,001 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 (See Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 I=\$5,000,001-\$25,000,000 J=\$25,000,001-\$50,000,000 K=\$50,000,001-\$100,000,000 L=\$100,001-\$250,000 (See Col. C1, D3) N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 Q=\$5,000,001-\$25,000,000 R=\$25,000,001-\$50,000,000 S=\$50,000,001-\$100,000,000 T=\$100,000,001-\$500,000,000 U=\$500,000,001-\$1,000,000,000 V=More than \$1,000,000,000 3 Value Method Codes: R=Cost (real estate only) S=Assessment T=Cash/Market (See Col. C2) value V=Other . . W=Estimated

IX. CERTIFICATION.