

**FINANCIAL DISCLOSURE REPORT
INITIAL FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew D.	2. Court or Organization United States Court of Appeals for the Ninth Circuit	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Sandra Day O'Connor Courthouse 401 W. Washington Street Phoenix, AZ 85003		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2012	Arizona Elected Officials Retirement Plan, no control
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
---	------------------------------

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2012	State of Arizona; salary	\$57,572.86
2. 2012	Arizona Elected Officials Retirement Plan; pension	\$28,664.88
3. 2012	National Conference of Bar Examiners; stipends	\$7,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Arizona State University; Salary
2. 2012	Arizona State Retirement System; Pension
3. 2012	Kendall Hunt Publishing; Royalties
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bar Examiners	October 11-14, 2012	Portland, OR	Attend meeting of torts questions drafting committee	Transportation, food, lodging
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
---	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
---	------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	I. Trust I								
2. MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
3. BlackRock Muni Yield Arizona Income Fund (MZA)	A	Int./Div.	K	T	Buy	6/8/2012	K		
4. Digital Realty Trust Inc (DLR)		None	J	T	Buy	11/08/12	J		
5. Guggenheim Defensive Equity ETF (DEF)	A	Int./Div.	J	T	Buy	11/8/12	J		
6. Guggenheim Defensive Equity ETF (DEF)	A	Int./Div.			Sold (part)	5/16/12	K	A	
7. Guggenheim Mid-Cap Core ETF (CZA)	A	Int./Div.	J	T	Buy	11/14/12	J		
8. Guggenheim S&P500 Pure Growth (RPG)	A	Int./Div.	K	T	Buy (add'l)	11/8/12	K		
9. iShares FTSE NAREIT Residential (REZ)	A	Int./Div.	K	T	Sold (part)	11/8/12	J	A	
10. iShares iBoxx Investment Grade Corp Bond (LQD)	B	Int./Div.	K	T	Buy	6/1/12	K		
11. iShares iBoxx Investment Grade Corp Bond (LQD)	B	Int./Div.			Sold (part)	11/14/12	K	B	
12. Mkt Vectors Mortgage REIT ETF (MORT)		None	J	T	Buy	11/8/12	J		
13. SPDR Barclays Capital High Yield (JNK)	A	Int./Div.	K	T	Buy	6/5/12	K		
14. SPDR Gold Trust Gold Shares (GLD)		None	J	T	Buy	11/08/12	J		
15. SPDR Gold Trust Gold Shares (GLD)		None			Buy	6/5/12	J		
16. SPDR Gold Trust Gold Shares (GLD)		None			Sold (part)	6/5/12	J	C	
17. Center Coast MLP Focus Mutual Fund (CCCX)	A	Int./Div.	J	T	Buy	11/8/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 111 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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		18.	Doubleline Total Return Fund (DBLTX)	C	Int./Div.	L	T	Buy	11/08/12	J
19.	Doubleline Total Return Fund (DBLTX)	C	Int./Div.			Buy (add'l)	6/5/12	J		
20.	Federated Strategic Value Divident (SVAIX)	A	Int./Div.	K	T	Buy	11/8/12	K		
21.	Federated Strategic Value Divident (SVAIX)	A	Int./Div.			Sold (part)	5/16/12	K	A	
22.	Franklin Hi Yield Tax Free Fund (FHYVX)	A	Int./Div.	J	T	Sold (part)	1/23/12	J	A	
23.	Loomis Sayles Strategic Income (NEZYX)	A	Int./Div.	K	T	Buy	11/8/12	K		
24.	Metropolitan West Total Return Fund (MWTIX)	B	Int./Div.			Sold (part)	5/16/12	K	D	
25.	Metropolitan West Total Return Fund (MWTIX)	B	Int./Div.	K	T	Buy	6/5/12	K		
26.	Metropolitan West Total Return Fund (MWTIX)	B	Int./Div.			Sold (part)	3/16/12	J	A	
27.	Metropolitan West Total Return Fund (MWTIX)	B	Int./Div.			Sold (part)	3/14/12	J	A	
28.	Pimco Total Return (PTTPX)	A	Int./Div.	K	T					
29.	Prudential Total Return Bond (PDBZX)	A	Int./Div.	K	T	Sold (part)	3/14/12	J		
30.	TCW Emerging Market Income (TGEIX)	A	Int./Div.	K	T	Buy	11/8/12	K		
31.	Virtus Emerging Markets (HIEMX)	A	Int./Div.	K	T	Buy	11/8/12	K		
32.	Virtus Emerging Markets (HIEMX)	A	Int./Div.			Sold (part)	5/15/12	K	A	
33.	Western Asset Managed Muni (SMMYX)	B	Int./Div.			Sold (part)	11/8/12	J	A	
34.										

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

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	35. IRA 1								
36. Digital Realty Trust Inc (DLR)		None	J	T	Buy	11/8/12	J		
37. Guggenheim Defensive Equity ETF (DEF)	A	Int./Div.	J	T	Sold (part)	11/8/12	K	B	
38. Guggenheim Defensive Equity ETF (DEF)	A	Int./Div.			Buy	5/16/12	K		
39. Guggenheim Mid-Cap Core ETF (CZA)	A	Int./Div.	J	T	Buy	11/8/12	J		
40. Guggenheim S&P500 Pure Growth (RPG)	A	Int./Div.	K	T	Buy	11/8/12	K		
41. iShares FTSE NAREIT Residential (REZ)	A	Int./Div.	K	T	Buy	11/8/12	J		
42. iShares iBoxx Investment Grade Corp Bond (LQD)	B	Int./Div.	L	T	Sold (part)	11/8/12	J	A	
43. iShares iBoxx Investment Grade Corp Bond (LQD)	B	Int./Div.			Buy	6/5/12	L		
44. iShares Gold Trust (IAU)		None	K	T	Buy	11/8/12	K		
45. Mkt Vectors Mortgage REIT ETF (MORT)		None	K	T	Buy	11/8/12	K		
46. Center Coast MLP Focus Mutual Fund (CCCNX)	A	Int./Div.	K	T	Buy	11/8/12	K		
47. Doubleline Total Return Fund (DBLTX)	C	Int./Div.	L	T	Buy	11/8/12	K		
48. Doubleline Total Return Fund (DBLTX)	C	Int./Div.			Buy	6/5/12	K		
49. Federated Strategic Value Divident (SVAIX)	A	Int./Div.	K	T	Buy	11/8/12	K		
50. Federated Strategic Value Divident (SVAIX)	A	Int./Div.			Sold (part)	5/16/12	K	A	
51. Loomis Sayles Strategic Income (NEZYX)	B	Int./Div.	L	T	Buy	11/8/12	L		

1. Income Gain Codes: A=\$1,000 or less; B=\$1,001 - \$2,500; C=\$2,501 - \$5,000; D=\$5,001 - \$15,000; E=\$15,001 - \$50,000
 (Sec Columns B1 and D4) F=\$50,001 - \$100,000; G=\$100,001 - \$1,000,000; H1=\$1,000,001 - \$5,000,000; H2=More than \$5,000,000
 2. Value Codes: J=\$15,000 or less; K=\$15,001 - \$50,000; L=\$50,001 - \$100,000; M=\$100,001 - \$250,000
 (Sec Columns C1 and D3) N=\$250,001 - \$500,000; O=\$500,001 - \$1,000,000; P1=\$1,000,001 - \$5,000,000; P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000; P4=More than \$50,000,000
 3. Value Method Codes: Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market
 (Sec Column C2) U=Book Value; V=Other; W=Estimated

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	52. Loomis Sayles Strategic Income (NEZYX)	B	Int./Div.			Sold (part)	6/5/12	K	C
53. Mainstay Floating Rate (MXFIX)	A	Int./Div.	K	T	Buy	11/8/12	K		
54. Metropolitan West Total Return Fund (MWTIX)	B	Int./Div.	K	T	Sold (part)	6/5/12	J	A	
55. Pimco Total Return (PTTPX)	B	Int./Div.	K	T	Buy	11/8/12	K		
56. Prudential Total Return Bond (PDBZX)	A	Int./Div.	K	T	Sold (part)	11/8/12	J	A	
57. TCW Emerging Market Income (TGEIX)	A	Int./Div.	K	T	Buy	11/8/12	K		
58. Virtus Emerging Markets (HIEMX)	A	Int./Div.	K	T	Buy	11/9/12	K		
59. Virtus Emerging Markets (HIEMX)	A	Int./Div.			Sold (part)	5/15/12	K	A	
60.									
61. IRA 2									
62. John Hancock Venture Annuity Lifestyle Moderate Fund	D	Int./Div.	N	T					
63.									
64. Trust 2									
65. Abbott Laboratories (ABT)	A	Dividend	J	T					
66. Morgan Stanley Bank	A	Interest	J	T					
67. BlackRock Muni Yield Arizona Income Fund (MZA)	A	Int./Div.	K	T	Buy	10/25/12	K		
68. Chevron Corp (CVX)	A	Dividend	J	T					

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
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	69. Cisco Systems Inc. (CSCO)	A	Dividend	J	T				
70. Coca Cola Co (KO)	A	Dividend	J	T					
71. Colgate Palmolive Co (CL)	A	Dividend	J	T					
72. Digital Realty Trust (DLR)		None	J	T	Buy	11/9/12	J		
73. Du Pont Ei De Nemours & Co (DD)	A	Dividend	J	T					
74. Exxon Mobil Corp (XOM)	A	Dividend	J	T					
75. iShares Gold Trust (IAU)		None	J	T	Buy	11/9/12	J		
76. iShares iBoxx Investment Grade Corp Bond (LQD)	A	Int./Div.	J	T	Buy	11/9/12	J		
77. Johnson & Johnson (JNJ)	A	Dividend	J	T					
78. Mkt Vectors Mortgage REIT (MORT)	A	Int./Div.	K	T	Buy	10/25/12	K		
79. Nike Inc. (NKE)	A	Dividend	J	T					
80. Pepsico Inc. (PEP)	A	Dividend	J	T					
81. Pfizer Inc. (PFE)	A	Dividend	J	T					
82. Procter & Gamble (PG)	A	Dividend	J	T					
83. Qualcomm (QCOM)	A	Dividend	J	T					
84. Starwood Hotels & Resorts (HOT)	A	Dividend	J	T					
85. US Bancorp Com New (USB)	A	Dividend	J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
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	86. United Technologies Corp (UTX)	A	Dividend	J	T				
87. Unitedhealth GP Inc. (UNH)	A	Dividend	J	T					
88. Verizon Communications (VZ)	A	Dividend	J	T					
89. WellsFargo & Co (WFC)	A	Dividend	J	T					
90. Alger Spectra Fund (SPECX)	A	Int./Div.	J	T	Buy	11/9/12	J		
91. Center Coast MLP Focus Fund (CCCNX)	A	Int./Div.	J	T	Buy	11/9/12	J		
92. Doublin Total Return Fund (DBLTX)	A	Int./Div.	J	T	Buy	11/10/12	J		
93. Doublin Total Return Fund (DBLTX)	A	Int./Div.	K	T	Sold (part)	11/9/12	K		
94. Loomis Sayles Strategic Income (NEZYX)	A	Int./Div.	K	T	Buy	11/9/12	K		
95. TCW Emerging Markets Income (TGEIX)	A	Int./Div.	K	T	Buy	10/25/12	K		
96. Virtus Emerging Markets (HIEMX)	A	Int./Div.	J	T	Buy	11/9/12	J		
97. Intel (INTC)	A	Int./Div.			Sold	11/9/12	J		
98. General Electric (GE)	A	Int./Div.			Sold	11/9/12	J		
99. EMC Corp (EMC)		None			Sold	11/9/12	J		
100. Columbia Muni Bond (SETMX)	A	Int./Div.			Sold	11/9/12	K		
101. Columbia Acom (ACTWX)		None			Sold	11/9/12	J		
102. Lazard Emerging Markets (LZEMX)		None			Sold	11/9/12	J		

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 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	103. Powershares Water ETF (PHO)	A	Int./Div.			Sold	11/9/12	J	
104. Smucker JM Co New (SJM)		None			Sold	11/9/12	J		
105. Taiwan Semiconductor (TSM)		None			Sold	11/9/12	J		
106. State Street Corp (STT)	A	Dividend			Sold	11/09/12	J		
107. Exelon Corp (EXC)	A	Dividend			Sold	11/9/12	J		
108. Nokia(NOK)		None			Sold	11/9/12	J		
109. Kellogg (K)	A	Dividend			Sold	10/25/12	J		
110. Hess (HES)	A	Dividend			Sold	10/25/12	J		
111. Hospira Inc (HSP)		None			Sold	10/25/12	J		
112. Hartford Financial Services (HIG)	A	Dividend			Sold	10/25/12	J		
113.									
114. IRA 3									
115. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
116. Digital Realty Trust (DLR)		None	J	T	Buy	11/8/12	J		
117. Guggenheim Mid Cap Core ETF (CZA)	A	Dividend	J	T	Buy	11/8/12	J		
118. Guggenheim S&P500 Pure Growth (RPG)	A	Dividend	J	T	Buy	11/9/12	J		
119. iShares FTSE NAREIT Residential (REZ)	A	Int./Div.	J	T	Buy	11/8/12	J		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (Sec Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 I11=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (Sec Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (Sec Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	120. iShares iBoxx Investment Grade Corporate Bond (LQD)	A	Int./Div.	J	T	Sold (part)	11/9/12	J	
121. Mkt Vectors Mortgage REIT ETF (MORT)	A	Int./Div.	J	T	Buy	11/8/12	J		
122. SPDR Barclays Capital High Yield (JNK)	A	Int./Div.	J	T	Buy	6/5/12	J		
123. SPDR Gold Trust (GLD)		None	J	T	Buy	6/6/12	J		
124. SPDR Gold Trust (GLD)		None			Buy (add'l)	11/8/12	J		
125. Center Coast MLP Fund (CCCNX)	A	Dividend	J	T	Buy	11/8/12	J		
126. Doubleline Total Return Fund (DBLTX)	A	Int./Div.	J	T	Sold (part)	11/8/12	J		
127. Doubleline Total Return Fund (DBLTX)	A	Dividend			Buy	6/5/12	J		
128. Federated Strategic Value Dividend (SVAIX)	A	Dividend	J	T	Buy	11/8/12	J		
129. Federated Strategic Value Dividend (SVAIX)	A	Dividend			Sold (part)	5/16/12	J		
130. Loomis Sayles Strategic Income (NEZYG)	A	Dividend	J	T	Buy	11/8/12	J		
131. Loomis Sayles Strategic Income (NEZYG)	A	Dividend			Sold (part)	5/16/12	J		
132. Mainstay Floating Rate (MXFIX)	A	Int./Div.	J	T	Sold (part)	11/8/12	J		
133. Metropolitan West Total Return Bond (MWTIX)	A	Int./Div.	J	T					
134. Pimco Total Return Bond Fund (PTTPX)	A	Int./Div.	J	T	Buy	6/5/12	J		
135. Prudential Total Return Bond Fund (PDBZX)	A	Int./Div.	J	T					
136. TCW Emerging Markets Income (TGEIX)	A	Int./Div.	J	T	Buy	11/8/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Virtus Emerging Markets (HIEMX)	A	Int./Div.	J	T	Buy	11/8/12	J		
138. Virtus Emerging Markets (HIEMX)	A	Int./Div.			Sold (part)	5/15/12	J		
139.									
140. IRA 4									
141. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
142. Digital Realty Trust (DLR)		None	J	T	Buy	11/9/12	J		
143. Guggenheim Defensive Equity (DEF)	A	Dividend	J	T	Buy	11/9/12	J		
144. Guggenheim Mid Cap Core (CZA)	A	Dividend	J	T	Buy	11/9/12	J		
145. Guggenheim S&P500 Pure Growth (RPG)	A	Dividend	J	T	Buy	11/9/12	J		
146. iShares FTSE NAREIT Residential (REZ)	A	Int./Div.	J	T	Sold (part)	11/9/12	J	A	
147. iShares iBoxx Investment Grade Corporate Bond (LQD)	A	Int./Div.	J	T	Sold (part)	11/9/12	J	A	
148. iShares iBoxx Investment Grade Corporate Bond (LQD)	A	Int./Div.			Buy	6/5/12	J		
149. Mkt Vectors Mortgage REIT ETF (MORT)		None	J	T	Buy	11/9/12	J		
150. SPDR Barclays Capital High Yield (JNK)	A	Int./Div.	J	T	Sold (part)	11/9/12	J	A	
151. SPDR Barclays Capital High Yield (JNK)	A	Int./Div.			Buy	6/5/12	J		
152. SPDR Gold Trust (GLD)		None			Sold (part)	11/9/12	J	A	
153. SPDR Gold Trust (GLD)		None	J	T	Buy	6/5/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	154. Center Coast MLP Fund (CCCNX)	A	Int./Div.	J	T	Buy	11/9/12	J	
155. Doubleline Total Return Fund (DBLTX)	A	Int./Div.	J	T	Sold (part)	11/9/12	J	A	
156. Federated Strategic Value Dividend (SVAIX)	A	Int./Div.	J	T	Buy	11/9/12	J		
157. Loomis Sayles Strategic Income (NEZYX)	A	Int./Div.	J	T	Buy	11/9/12	J		
158. Metropolitan West Total Return Bond (MWTIX)	A	Int./Div.	J	T	Buy	11/10/12	J		
159. Metropolitan West Total Return Bond (MWTIX)	A	Int./Div.			Sold (part)	11/9/12	J		
160. Pimco Total Return Bond Fund (PTTPX)	A	Int./Div.	J	T					
161. Prudential Total Return Bond Fund (PDBZX)	A	Int./Div.	J	T	Buy	11/9/12	J		
162. TCW Emerging Markets Income (TGEIX)	A	Int./Div.	J	T	Buy	11/9/12	J		
163. Virtus Emerging Markets (HIEMX)	A	Int./Div.	J	T	Buy	11/9/12	J		
164.									
165. Trust 3									
166. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
167. BlackRock Muni Yield Arizona Income Fund (MZA)	A	Int./Div.	K	T	Buy	6/5/12	K		
168. Guggenheim Defensive Equity (DEF)	A	Int./Div.	J	T	Buy	11/8/12	J		
169. Guggenheim Defensive Equity (DEF)	A	Int./Div.			Sold (part)	5/16/12	K	A	
170. Guggenheim Mid Cap Core (CZA)	A	Dividend	J	T	Buy	11/8/12	J		

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	171. iShares FTSE REIT Residential (REZ)	A	Int./Div.	J	T					
172. iShares Gold Trust (IAU)		None	J	T	Buy	11/8/12	J			
173. iShares iBoxx Investment Grade Fund (LQD)	A	Int./Div.	K	T	Sold (part)	11/8/12	J	A		
174. iShares iBoxx Investment Grade Fund (LQD)	A	Int./Div.			Buy	6/5/12	K			
175. Mkt Vectors Mortgage REIT (MORT)		None	J	T	Buy	11/8/12	J			
176. SPDR Barclays Capital High Yield (JNK)	A	Int./Div.	J	T	Buy	6/5/12	J			
177. Center Coast MLP Focus (CCCNX)	A	Int./Div.	J	T	Buy	11/8/12	J			
178. Doubleline Total Return Fund (DBLTX)	B	Int./Div.	K	T	Buy	11/8/12	J			
179. Doubleline Total Return Fund (DBLTX)	B	Int./Div.			Buy (add'l)	6/5/12	J			
180. Federated Strategic Value Dividend (SVAIX)	A	Int./Div.	K	T	Buy	11/8/12	J			
181. Loomis Sayles Strategic Income (NEZYX)	A	Int./Div.	K	T	Buy	11/9/12	K			
182. Metropolitan West Total Return Bond (MWTIX)	A	Int./Div.	K	T						
183. Pimco Total Return Bond Fund (PTTPX)	A	Int./Div.	J	T	Buy	11/8/12	J			
184. Prudential Total Return Bond Fund (PDBZX)	A	Int./Div.	J	T						
185. TCW Emerging Markets Income (TGEIX)	A	Int./Div.	K	T	Buy	11/8/12	K			
186. Virtus Emerging Markets (HIEMX)	A	Int./Div.	K	T	Buy	11/8/12	K			
187. Virtus Emerging Markets (HIEMX)	A	Int./Div.			Sold (part)	5/15/12	J	A		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	188. Western Asset Managed Muni (SMMYX)	A	Int./Div.	J	T	Sold (part)	1/6/12	J	A
189.									
190.									
191.									
192.									
193.									
194.									

- 1. Income/Gain Codes: (See Columns B1 and D4)
 - A = \$1,000 or less
 - F = \$50,001 - \$100,000
 - J = \$15,000 or less
 - N = \$250,001 - \$500,000
 - P3 = \$25,000,001 - \$50,000,000
- 2. Value Codes (See Columns C1 and D3)
 - B = \$1,001 - \$2,500
 - G = \$100,001 - \$1,000,000
 - K = \$15,001 - \$50,000
 - O = \$500,001 - \$1,000,000
 - R = Cost (Real Estate Only)
 - V = Other
- 3. Value Method Codes (See Column C2)
 - C = \$2,501 - \$5,000
 - I11 = \$1,000,001 - \$5,000,000
 - L = \$50,001 - \$100,000
 - P1 = \$1,000,001 - \$5,000,000
 - P4 = More than \$50,000,000
 - S = Assessment
 - W = Estimated
- D = \$5,001 - \$15,000
- H2 = More than \$5,000,000
- M = \$100,001 - \$250,000
- P2 = \$5,000,001 - \$25,000,000
- T = Cash Market
- E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting Hurwitz, Andrew D.	Date of Report 05/14/2013
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Hurwitz, Andrew D.

Date of Report

05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andrew D. Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544