

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

<b>1. Person Reporting (last name, first, middle initial)</b>  Cogan, Brian M.	<b>2. Court or Organization</b>  U.S. District Court, ED of NY	<b>3. Date of Report</b>  05/08/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  US Courthouse 225 Cadman Plaza East Brooklyn, NY 11201		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of the Board of Trustees	Brooklyn Queens Conservatory of Music
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/08/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Hogan Lovells US LLP, Attorney
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Patent Pilot Program	May 16-17, 2012	Washington, D.C.	Federal Circuit Patent Pilot Program	Transportation, meals and hotel
2.	Cornell Law School	April 13-15, 2012	Ithaca, New York	To judge the Langfan Moot Court Competition	Transportation, meals and hotel
3.	New York State Bar Association	May 18, 2012	New Paltz, New York	NYSBA's Litigation section spring meeting	Transportation, meals and hotel
4.	Cornell Law School	October 18-19, 2012	Ithaca, New York	Guest Lecture	Transportation, meals and hotel
5.	Federal Judicial Center	November 6, 2012	New York, New York	Preparation of 2011 FDR	Professional fees

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 15

Name of Person Reporting Cogan, Brian M.	Date of Report 05/08/2013
---	------------------------------

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Amex Platinum	Credit Card	L
2. Chase Visa	Credit Card	K
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting <b>Cogan, Brian M.</b>	Date of Report 05/08/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		1.	JPMorgan Chase Bank account	A	Interest	M	T			
2.	Housing Programs Limited, Partners, partnership	A	Int./Div.			Closed	12/31/12	J	A	
3.	Compark Owners Corp., stock, (preferred)	A	Dividend	J	U					
4.	Brokerage Account #8									
5.	- JP Morgan TR 1 NY Muni Money MKT Fund	A	Dividend	J	T					
6.	- Franklin Templeton Funds NY Tax Free Income	A	Dividend	K	T					
7.	Brokerage Account #10									
8.	- Causeway International Value Fund	A	Dividend	J	T					
9.	- Cullen High Dividend Equity Fund	A	Dividend	J	T					
10.	- Davis New York Venture Fund Inc. C1 A	A	Dividend	J	T					
11.	- Guggenheim Mid Cap Value (Formerly Rydes SGI Mid Cap Val)	B	Dividend	K	T					See note in Part VIII
12.	- Ishares Tr Barclays 1-3 Yr Treas.(ETFS)	A	Dividend	J	T					
13.	- Ishares MSCI EAFE Index Fund (ETFS)	A	Dividend	J	T					
14.	- Ishares Trust Russell Midcap Index Fund (ETFS)	A	Dividend	K	T					
15.	- Ishares Russell 2000 Growth Index Fund (ETFS)	A	Dividend	J	T					
16.	-Ishare Core Total US Bd(Formerly Ishares Trust Barclays US)	A	Dividend	J	T					See note in Part VIII
17.	- Janus Invt Fund (Formerly Janus Adviser Ser Forty Fund)	A	Dividend	J	T					See note in Part VIII

- |  |   |  |   |  |                        |
|--|---|--|---|--|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value   | R =Cost (Real Estate Only)<br>V =Other   | S =Assessment<br>W =Estimated   | T =Cash Market   |                        |
| 3. Value Method Codes<br>(See Column C2)         |   |  |   |  |                        |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting Cogan, Brian M.	Date of Report 05/08/2013
---	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. - JPMorgan TR I Prime Money Mkt FD	A	Dividend	J	T				
19. - PIMCO High Yield Fund CI A	B	Dividend	K	T					
20. - Powershares DB Commodity Index		None	J	T					
21. - SPDR Dow Jones REIT	A	Dividend	J	T					
22. - Thornburg Intl Value Fund	A	Dividend	J	T					
23. Brokerage Account #16									
24. - Alger Capital Appreciation Instl Portfoli	B	Dividend	K	T	Buy	05/10/12	K		
25. - Amana Mut FDS TR Growth Fd		None			Sold	05/09/12	K	B	
26. - Aston Funds Montag & Caldwell Growth Fd		None			Sold	05/09/12	K	B	
27. - Baron Asset Fd I Opportunity Fund	A	Dividend	K	T					
28. - Columbia FDS SER TR Small Cap Value II FD	A	Dividend	J	T					
29. - Columbia FDS SER TR II MASS Select Large Cap Value	B	Dividend	K	T					
30. - Causeway International Value Fund Investor Class	A	Dividend	J	T					
31. - Cullen High Dividend Equity Fund	B	Dividend	L	T					
32. - Doubleline FDS TR Total Return BD FD	A	Dividend	L	T	Buy	12/26/12	L		
33. - Guggenheim Mid Cap Value (Formerly Rydes SGI Mid Cap Val)	C	Dividend	K	T					See note in Part VIII
34. - Ishare Trust Russell 1000 Growth Index	A	Dividend	K	T	Buy	05/10/12	K		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Valuc Method Codes R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 15

<b>Name of Person Reporting</b> Cogan, Brian M.	<b>Date of Report</b> 05/08/2013
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	35. -JPMorgan TR I Tax Free Money Mkt Fd	A	Dividend	K	T				
36. -JPMorgan TR I Intl Equity FD CL A	A	Dividend	J	T					
37. -JPMorgan TR I Strategic Incm	D	Dividend	M	T					
38. -Lazard Emerging Markets Port Open SHS	B	Dividend	K	T					
39. -Managers AMG FDS (Formerly Yacktman Focused FD)	A	Dividend	L	T					See note in Part VIII
40. -Manning and Napier Fund World Opportunities	A	Dividend	K	T					
41. -Matthews Pacific Tiger Fund	A	Dividend	K	T					
42. -Oppenheimer International Growth Fund-CL A	A	Dividend	K	T					
43. - Oppenheimer International Bond Fund	A	Dividend	K	T	Buy	12/26/12	K		
44. - Payden Invt Group High Income Fd	C	Dividend	L	T					
45. - Perkins Mid Cap Value	B	Dividend			Sold	12/26/12	K	B	
46. - Prudential Jennison Mid Cap Growth Fd	B	Dividend	K	T					
47. - Prudential Jennison Nat Res Fd		None	J	T					
48. - SPDR SER TR		None	K	T	Buy	12/26/12	K		
49. - Thornburg Intl Value Fund CL A	A	Dividend	J	T					
50. -Wasatch Advisors Funds Inc Small Cap	A	Dividend	J	T					
51. - Wells Fargo Advtg Intermdt tax/amt-free Fund	B	Dividend			Sold	12/26/12	L	D	

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
     (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
     (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
     (See Column C2)      U = Book Value      V = Other      W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Cogan, Brian M.</b>	Date of Report 05/08/2013
--	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Western Asset Managed Muni (Formerly Legg Mason Western)	B	Dividend			Sold	12/26/12	L	C	See note in Part VIII
53. Brokerage Statement #17									
54. - Alger Capital Appreciation Instl Portfolio	B	Dividend	K	T	Buy	05/10/12	K		
55. - Amana Mut FDS TR Growth Fd		None			Sold	05/09/12	K	B	
56. -Aston Funds Montag & Caldwell Growth Fd		None			Sold	05/09/12	K	B	
57. -Baron Asset Fd I Opportunity fd	A	Dividend	K	T					
58. - Columbia FDS SER TR Small Cap Value II FD CL A	A	Dividend	J	T					
59. - Columbia FDS SER TR II Mass Select Large Cap Value	B	Dividend	K	T					
60. -Cullen High Dividend Equity Fund	B	Dividend	L	T					
61. -Causeway International Value Fund Investor Class	A	Dividend	J	T					
62. - Doubleline FDS TR Total Return BD FD CL	A	Dividend	L	T	Buy	12/26/12	L		
63. - Guggenheim Mid Cap Value (Formerly Rydes SGI Mid Cap Val)	B	Dividend	K	T					See note in Part VIII
64. - Ishare Trust Russell 1000 Growth Index	A	Dividend	K	T	Buy	5/10/12	K		
65. JPMorgan TR I Tax Free Money Mkt Fund	A	Dividend	K	T					
66. -JPMorgan TR I Intl Equity FD CL A	A	Dividend	J	T					
67. -JPMorgan TR I Strategic Incm Oppty	C	Dividend	M	T					
68. -Lazard Emerging Markets Port Open SHS	A	Dividend	K	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I1=\$5,000,001 - \$100,000,000 I2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting <b>Cogan, Brian M.</b>	Date of Report 05/08/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		69.	- Managers AMG FDS (Formerly Yacktman Focused FD)	A	Dividend	K	T			
70.	- Manning and Napier Fund World Opportunities Ser CL A	A	Dividend	J	T					
71.	- Matthews Pacific Tiger Fund	A	Dividend	K	T					
72.	-Oppenheimer International Growth Fund-CL A	A	Dividend	J	T					
73.	-Perkins Mid Cap Value Fund	A	Dividend			Sold	12/26/12	K	B	
74.	-Payden Invt Group High Income Fd	C	Dividend	L	T					
75.	- Prudential Jennison Mid Cap Gr Fd	A	Dividend	K	T					
76.	- Prudential Jennison Nat Res Fd		None	J	T					
77.	- Oppenheimer International Bond Fund	A	Dividend	K	T	Buy	12/26/12	K		
78.	- SPDR SER TR		None	K	T	Buy	12/26/12	K		
79.	-Thornburg Intl Value Fund CL A	A	Dividend	J	T					
80.	-Wasatch Advisors Funds Inc Small Cap	A	Dividend	J	T					
81.	-Wells Fargo Advtg Intermdt Tax/Amt - Free Fund	B	Dividend			Sold	12/26/12	L	D	
82.	- Western Asset Managed Muni (Formerly Legg Mason Western)	B	Dividend			Sold	12/26/12	L	D	See note in Part VIII
83.	- Goldman Sachs Tollkeeper Fund		None	J	T					
84.	IRA #1	E	Int/Div.	P1	T					
85.	- Alger Capital Appreciation Instl Portfolio					Buy	05/10/12	K		

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000
- 3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market
- U = Book Value      V = Other      W = Estimated



# FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting <b>Cogan, Brian M.</b>	Date of Report 05/08/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	86. - Amana Mut FDS TR					Sold	05/09/12	J	A
87. - Aston Funds Montag & Caldwell Growth Fd					Sold	05/09/12	K	B	
88. - Baron Asset Fd Ippportunity Fund									
89. - Blackrock Provident Instl FDS Tempfund									
90. -Causeway International Value Fund Investor Class									
91. -Cullen High Dividend Equity Fund									
92. -Columbia FDS SER TR Small Cap Value II Fd CL									
93. - Columbia FDS SER TR II Mass Select Large Cap									
94. - Doubleline FDS TR Total Return BD FD CL N					Buy (add'l)	06/01/12	J		
95.					Buy (add'l)	12/26/12	K		
96. - Guggenheim Mid Cap Value (Formerly Rydes SGI Mid Cap Val)									See note in Part VIII
97. -ING Mutual Funds Global Real Estate Fund CL A									
98. - Ishares Tr Barclays 1-3 Yr Treas.(ETFS)					Sold	12/26/12	K	B	
99. -Ishares Trust Russell 1000 Growth Index Fund					Buy	05/10/12	L		
100. -Ishare Core Total US Bd(Formerly Ishares Trust Barclays US)									See note in Part VIII
101. -Ishares Trust Ishares Barclays Treas Inflation									
102. -JPMorgan TR I Intl Equity FD CL A									

- |  |   |  |   |  |                        |
|--|---|--|---|--|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value   | R =Cost (Real Estate Only)<br>V =Other   | S =Assessment<br>W =Estimated   | T =Cash Market   |                        |
| 3. Value Method Codes<br>(See Column C2)         |   |  |   |  |                        |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/08/2013

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Lazard Emerging Markets Port Open SHS									
104. - Managers AMG FDS (Formerly Yacktman Focused FD)									See note in Part VIII
105. -Manning and Napier Fund World Opportunities									
106. -Matthews Pacific Tiger Fund Dividend Reinvested									
107. -Oppenheimer International Growth Fund-CL A									
108. -Oppenheimer International Bond Fund-CL A					Buy	12/26/12	K		
109. -Perkins Mid Cap Value Fund					Sold	12/26/12	K	B	
110. -Pimco Total Return Fund-CL A									
111. -Pimco High Yield Fund-CL A					Sold (part)	12/26/12	K	D	
112. -Prudential Jennison Nat Res									
113. - Prudential Jennison Mid Cap									
114. - Reserve Fund Primary Fund Treasury Class (Y)									See note in Part VIII
115. -Ridgeworth FDS Intermediate BD FD CL I									
116. - SPDR SER TR					Buy	12/26/12	K		
117. -Thornburg Intl Value Fund									
118. IRA #2	E	Int./Div.	P1	T					
119. - Alger Capital Appreciation Instl Portfolio					Buy	05/10/12	K		

- 1. Income Gain Codes:      A =\$1,000 or less      B =\$1,001 - \$2,500      C =\$2,501 - \$5,000      D =\$5,001 - \$15,000      E =\$15,001 - \$50,000
- (See Columns B1 and D4)      F =\$50,001 - \$100,000      G =\$100,001 - \$1,000,000      H I =\$1,000,001 - \$5,000,000      H2 =More than \$5,000,000
- 2. Value Codes      J =\$15,000 or less      K =\$15,001 - \$50,000      L =\$50,001 - \$100,000      M =\$100,001 - \$250,000
- (See Columns C1 and D3)      N =\$250,001 - \$500,000      O =\$500,001 - \$1,000,000      P1 =\$1,000,001 - \$5,000,000      P2 =\$5,000,001 - \$25,000,000
- 3. Value Method Codes      P3 =\$25,000,001 - \$50,000,000      P4 =More than \$50,000,000      S =Assessment      T =Cash Market
- (See Column C2)      Q =Appraisal      R =Cost (Real Estate Only)      U =Book Value      V =Other      W =Estimated

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting <b>Cogan, Brian M.</b>	Date of Report <b>05/08/2013</b>
--	-------------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Amana Mut FDS TR Growth Fd					Sold	05/09/12	J	A	
121. - Aston Funds Montag & Caldwell Growth Fd					Sold	05/09/12	K	B	
122. -Baron Asset Fd I Opportunity Fund									
123. - Blackrock Provident Instl FDS Temfund									
124. -Cullen High Dividend Equity Fund									
125. -Columbia FDS SER TR Small Cap Value II FD CL A									
126. - Columbia FDS SER TR II Mass Select Large Cap Value FD CL A									
127. - Doubleline FDS TR Total Return BD FD CL					Buy (add'l)	12/26/12	K		
128. - Guggenheim Mid Cap Value (Formerly Rydes SGI Mid Cap Val)									See note in Part VIII
129. - Ishares Tr Barclays 1-3 Yr Treas.(ETFS)					Sold	12/26/12	K	B	
130. - Ishares Trust Russell 1000 Growth Index Fd					Buy	05/10/12	L		
131. -Ishare Core Total US Bd(Formerly Ishares Trust Barclays US)									See note in Part VIII
132. -Ishares TR Barclays Treas Inflation Protected Sec									
133. -Lazard Emerging Markets Port Open SHS									
134. -Manning And Napier Fund World Opportunities Ser CL A									
135. - Managers AMG FDS (Formerly Yacktman Focused FD)									See note in Part VIII
136. -Matthews Pacific Tiger Fund Dividend Reinvested									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/08/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Oppenheimer International Growth Fund CL A									
138. - Oppenheimer International Bond Fund CL A					Buy	12/26/12	K		
139. -Perkins Mid Cap Value Fund					Sold	12/26/12	K	B	
140. -Pimco Total Return Fund CL A									
141. -Pimco High Yield Fund-CL A					Sold (part)	12/26/12	K	D	
142. -Prudential Jennison Nat Res									
143. - Prudential Jennison Mid Cap									
144. -Reserve Fund Primary Fund Treasury Class (Y)									See note in Part VIII
145. -Ridgeworth FDS Intermediate BD FD CL I									
146. - SPDR SER TR					Buy	12/26/12	K		
147. -Thornburg Intl Value Fund CL A									
148. Retirement Plan for Partners #4	D	Int./Div.	M	T					
149. - FID Contrafund K									
150. - FID Growth Co K									
151. - TRP SM Cap Stock									
152. - FID Overseas K									
153. - FID Emerging Mkts K									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Cogan, Brian M.</b>	Date of Report 05/08/2013
--	------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	154. - PIM Total RT Inst								
155. - MIP CL 1 (Formerly FID MGD Inc Port)									See note in Part VIII
156. - NB Kaminsky II									
157. - NB Kaminsky III									
158. - FID Retire Money Market									
159. College Access 529 Plan #2		None	L	T					
160. -Portfolio 005									

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000
- (See Columns B1 and D4)      F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H11 = \$1,000,001 - \$5,000,000                      H12 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000
- (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes                      P3 = \$25,000,001 - \$50,000,000                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market
- (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/08/2013

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, page 5, line 11; page 6, line 33; page 8, line 63; page 10, line 96; page 12, line 128: The name of asset of "Rydes SGI Mid Cap Value Fund" was changed to "Guggenheim Mid Cap Value".
- 2) Part VII, page 5, line 16; page 10, line 100; page 12, line 131: The name of asset of "Ishares Trust Barclays US Aggr Bond Fund" was changed to "Ishare Core Total US Bond Market".
- 3) Part VII, page 5, line 17: The name of asset of "Janus Advisor Ser Forty Fund" was changed to "Janus Invt Fund".
- 4) Part VII, page 7, line 39; page 9, line 69; page 11, line 104; page 12, line 135: The name of asset of "Yacktman Focused FD" was changed to "Managers AMG FDS".
- 5) Part VII, page 8, line 52; page 9, line 82: The name of asset of "Legg Mason Western Asset Managed Muni Fund" was changed to "Western Asset Managed Muni Fund".
- 6) Part VII, page 11, line 114; page 13, line 144: The income and value of the asset of "Reserve Fund Primary Fund Treasury Class" were below the reporting thresholds.
- 7) Part VII, page 14, line 155: The name of asset of "FID MGD Inc Port" was changed to "MIP CL 1".

**FINANCIAL DISCLOSURE REPORT**

Page 15 of 15

Name of Person Reporting	Date of Report
Cogan, Brian M.	05/08/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Brian M. Cogan**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
--