

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Reiss, Christina C.	<b>2. Court or Organization</b>  United States District Court - District of Vermont	<b>3. Date of Report</b>  05/10/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Court Chief Judge - Active Status	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  United States Courthouse 151 West Street P.O. 478 Rutland, Vermont 05701		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2004	State of Vermont Employee Pension Fund; pension upon retirement age of 65
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

<b>Name of Person Reporting</b> Reiss, Christina C.	<b>Date of Report</b> 05/10/2013
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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2012	Self Employed - Commercial and Residential Mill Working Company
2.		
3.		
4.		

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The American Law Institute	November 29 - December 1, 2012	New York, NY	Committee Meeting	Transportation, lodging, meals, parking
2.					
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2013

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	People's United Bank	Loan - Business Mortgage	N
2.	People's United Bank	Loan - Business Equipment	M
3.	People's United Bank	Loan - Business Equipment	K
4.	People's United Bank	Loan - Business - Line of Credit	M
5.	People's United Bank	Loan - Business Refinance	L
6.	People's United Bank	Loan - Business Mortgage	N
7.	Vermont Economic Development Authority	Loan - Business Mortgage	N
8.	Vermont Economic Development Authority	Loan - Equipment	M
9.	People's United Bank	Loan - Business Equipment	J

**FINANCIAL DISCLOSURE REPORT**

<b>Name of Person Reporting</b> Reiss, Christina C.	<b>Date of Report</b> 05/10/2013
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**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
1. TD Bank Accounts	A	Interest	J	T					
2. People's United Bank Accounts	A	Interest	J	T					
3. Amoskeag Woodworking	A	Distribution	P1	W					
4. HRS, LLC	A	Distribution	N	W					
5. Merchants Bank CD	C	Dividend	J	T					
6. National Life Insurance	B	Interest	J	T					
7. IRA #1	B	Dividend	M	T					
8. - Davis NY Venture Fund									
9. - Diamond Hill Large Cap Fund									
10. - First Eagle Global Fund									
11. - Janus Balanced Fund									
12. - MFS Value Fund									
13. - Oppenheimer Gold & Special Minerals Funds									
14. - Pimco Total Return Fund									
15. - RS Global Naural Resources Fund									
16. - Sentinel Small Company Fund									
17. - The Home Depot									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

<b>Name of Person Reporting</b> Reiss, Christina C.	<b>Date of Report</b> 05/10/2013
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA #2	A	Dividend	K	T					
19. - 1 Shares Russell 2000 Growth Fund									
20. - Columbia Acom Z Fund									
21. - Fidelity Diversified Int'l Fund									
22. - T. Rowe Price Int'l Fund									
23. - T. Rowe Price Emerging Markets									
24. - Virtus Foreign Opportunities I Fund									
25. - Virtus Short Intermediate Bond I Fund									
26. - Virtus Intermediate Tax Exempt I Fund					Sold	07/13/12	J	C	
27. - Virtus Low Duration Income Fund					Buy	02/01/12	J		
28. IRA #3	D	Dividend			Sold	11/12/12	L	F	
29. - New World Fund - A									
30. - SMALL CAP World Fund - A									
31. - Capital World Growth and Income Fund - A									
32. - The Growth of America Fund - A									
33. - The Income Fund of America - A									
34. - American High Income Trust - A									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 9

Name of Person Reporting <b>Reiss, Christina C.</b>	Date of Report <b>05/10/2013</b>
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - The Bond Fund of America - A									
36. - Capital World Bond Fund - A									
37. - Intermediate Bond Fund of America - A									
38. - Global Balanced Fund - A									
39. IRA #4	A	Dividend			Sold	11/12/12	J	C	
40. - Capital World Growth & Income Fund - A									
41. IRA #5	A	Dividend	J	T					
42. - Cisco Systems, Inc.									
43. - Zhone Technologies New									
44. IRA #6	A	Dividend	K	T					
45. - Fidelity Cash Reserves					Buy	11/30/12	J		
46. - Yacktman Fund Services Class					Buy	11/30/12	J		
47. - Fidelity High Income					Buy	11/30/12	J		
48. - Metropolitan West TOT Return					Buy	11/30/12	J		
49. - PIMCO Real Return Class D					Buy	11/30/12	J		
50. - Vanguard Inflation Protected					Buy	11/30/12	J		
51. - Vanguard Short Term Bond Index					Buy	11/30/12	J		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
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**FINANCIAL DISCLOSURE REPORT**

Page 7 of 9

Name of Person Reporting Reiss, Christina C.	Date of Report 05/10/2013
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Vanguard Short Term Federal					Buy	11/30/12	J		
53. Vermont Higher Education Investment Plan #1 - Age Based Opt.	A	Dividend	J	T					
54. Vermont Higher Education Investment Plan #2 - Age Based Opt.	C	Dividend	J	T					
55. VCSP/College America Plan #1 - Age Based Option	E	Dividend	K	T					
56. VCSP/College America Plan #2 - Age Based Option	A	Dividend	J	T					

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000
- (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000                      P4 = More than \$50,000,000
- 3. Value Method Codes                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market
- (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

<b>Name of Person Reporting</b>	<b>Date of Report</b>
Reiss, Christina C.	05/10/2013

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

Reiss, Christina C.

Date of Report

05/10/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Christina C. Reiss**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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