AO 10*
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carney, Cormac J.	**2. Court or Organization** District Court - Central District of California	**3. Date of Report** 05/20/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012
7. Chambers or Office Address Ronald Reagan Federal Building 411 West Fourth Street Santa Ana, California 92701-4516		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Chairman	UCLA Alumni Awards Committee
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Carney, Cormac J.	05/20/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Gruppo Marcucci, LLC - salary
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Carney, Cormac J.	05/20/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Carney, Cormac J.	05/20/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts	A	Interest	K	T					
2. Home Savings CD	A	Interest			Closed	02/23/12	K		
3. Vanguard Wellington Fund	B	Dividend	K	T					
4. Vanguard Health Fund	A	Dividend	J	T					
5. Janus Twenty Fund	A	Dividend	L	T					
6. Columbia Strategic Investor Fund	A	Dividend			Sold	09/28/12	K	A	
7. Janus Global Technology		None	J	T					
8. American Century- Ultra	A	Dividend	K	T					
9. County Deferred Comp. Plan - Stable Value Fund	A	Dividend	J	T					
10. IRA Brokerage	E	Dividend	N	T					
11. -Schwab Total Bond Fund - SWLBX									
12. -Schwab Money Market Fund									
13. -COST									
14. -Clipper Fund CFIMX									
15. -HD									
16. -MRK									
17. -BRKB									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -TGT									
19. -P&G									
20. -AAPL									
21. -Meridian Growth Fund- MERDX									
22. -Schwab 1000 Index Fund - SNXSX									
23. -Schwab Intl Index Fund - SWINX									
24. -Tweedy Browne Global Value Fund - TBGVX									
25. -Vanguard Small Cap Index Fund - NAESX									
26. 401(k)	A	Dividend	L	T					
27. -BlackRock Equity Fund (MSDVX)									
28. -Insperity Horizon RM 2020									
29. Brokerage Account									
30. -American Funds - Balanced	A	Dividend	J	T					
31. -Capital Income Bldr FD - CIBCX	A	Dividend	J	T					
32. -American Funds Growth Fund	A	Dividend	K	T					
33. -Investco Small Cap Value	A	Dividend	J	T					
34. -Washington Mutual Investors Fund - WSHCX	A	Dividend	J	T					

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35. -American Funds New Perspective Fund	A	Dividend	J	T					
36. --Putnam CA Tax Exempt Income FD - CLC	A	Dividend	L	T					
37. IRA Rollover	C	Dividend	K	T					
38. -Growth FD Amer Inc - GFACX									
39. -Franklin Rising Dividends - FRDTX									
40. -Allianz NFJ Small Cap - PCVCX									
41. -Capital World Growth & Income - CWGCX									
42. -Pimco Total Return - PTTCX									
43. -Amer Balanced Fund - BALCX									
44. -Invesco Global Core Eq Fund									
45. 529 Savings Plan #2	A	Dividend	J	T					
46. -American Funds - Growth Fund					Sold (part)	12/10/12	J	A	
47. -American Funds - New Perspective Fund					Sold (part)	02/28/12	J	A	
48. -Washington Mutual Investors Fund					Sold (part)	09/10/12	J	A	
49. -American Funds - Capital Income Builder									
50. -American Funds - Balanced Fund					Sold (part)	01/05/12	J	A	
51. -American Funds - Bond Fund					Sold (part)	03/26/12	J	A	

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52.						Sold (part)	12/10/12	J	A	
53.	529 Savings Plan #3	A	Dividend	J	T					
54.	-American Funds - Growth Fund					Sold (part)	07/12/12	J	A	
55.						Sold (part)	12/11/12	J	A	
56.	-American Funds - New Perspective Fund					Sold (part)	07/12/12	J	A	
57.						Sold (part)	12/11/12	J	A	
58.	-Washington Mutual Investors Fund					Sold (part)	12/11/12	J	A	
59.	-American Funds - Capital Income Builder					Sold (part)	07/12/12	J	A	
60.						Sold (part)	12/11/12	J	A	
61.	-American Funds - Balanced Fund					Sold (part)	12/11/12	J	A	
62.	-American Funds - Bond Fund					Sold (part)	07/12/12	J	A	
63.						Sold (part)	12/11/12	J	A	
64.	Variable Life Insurance	C	Dividend	M	T					
65.	-NW Mutual - Mid Ca Grth Stock									
66.	-NW Mutual Growth Stock Fund									
67.	-NW Mutual Index 400 Stock Fund									
68.	-NW Mutual Small Cap Growth Stock Fund									

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69.	-Russell Non-US Fund									
70.	-Russell Real Estate Securities Fund									
71.	-T Rowe Price Small Cap Value									
72.	- Capital Guardian Domestic Equity									
73.	Inherited IRA	C	Distribution	M	T					
74.	-JPM									
75.	-FDFFX									
76.	-PRPFX					Sold (part)	11/28/12	J	A	
77.	-FDRXX									
78.	-FSCRX									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII: Item 28: Insperity Horizon RM 2020 replaced JP Morgan Smart Retirement 2020 Select on November 15, 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cormac J. Carney

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544