

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Herndon, David R.	2. Court or Organization U.S. District Court Southern District of Illinois	3. Date of Report 05/02/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 750 Missouri Avenue East St. Louis, IL		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1, Assets No 18-88
2. Trustee	Trust #2, Assets No 89-122
3. Trustee	Trust #3, Assets No 123-159
4. Trustee	Trust #4, Assets No 160-170
5. Trustee	Trust #5 Assets No 171-181 Trust #6 Assets No 182-192

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Byron, Carlson, Petri & Kalb LLC SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Mass Torts Made Perfect	02/09/2012	Philadelphia PA	Speaker at Education Seminar	airfare and airport parking expense
2. American Conference Institute	12/04/2012 and 12/05/2012	New York City, NY	Speaker at Educational Seminar	hotel (directly billed) & airfare \$226
3.				
4.				
5.				
6.				

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- 7. _____
- 8. _____
- 9. _____
- 10. _____
- 11. _____
- 12. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. First Clover Leaf Bank checking acct	B	Interest	L	T					
2. Bank of Edwardsville Checking account	A	Interest	J	T					spouse
3. IRA Account Pershing IRA Account	D	Dividend	M	T					assets listed below
4. - (IRA) Jarden Corp Sr Sub Nt 7.5% 5/1/17					Sold	01/26/12	J	A	
5. - (IRA) First Horizon Natl Corp									
6. - (IRA) Fractional Kinder Morgan Mgmt					Spinoff (from line 10)	02/14/12	J	A	cash in lieu of fractnl sh
7. - (IRA) Fractional Kinder Morgan Mgmt					Spinoff (from line 10)	05/15/12	J	A	cash in lieu of fractnl sh
8. - (IRA) Fractional Kinder Morgan Mgmt					Spinoff (from line 10)	08/14/12	J	A	cash in lieu of fractnl sh
9. - (IRA) Fractional Kinder Morgan Mgmt					Spinoff (from line 10)	11/14/12	J	A	cash in lieu of fractnl sh
10. - (IRA) Kinder Morgan Mgmt									
11. - (IRA) Darden Restaurants					Buy	06/25/12	J		
12. - (IRA) Ebay Inc Com									
13. - (IRA) Kraft Foods Grp					Buy	09/25/12	K		
14. - (IRA) Kraft Foods Grp					Buy (add'l)	11/02/12	K		
15. - (IRA) Omnicom Group Inc									
16. - (IRA) Annaly Cap Mgmt Inc REIT									
17. - (IRA) Dreyfus Treasury Prime MM									

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 (See Column C2) U = Book Value V = Other W = Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. TRUST #1 Pershing Brokerage Account	G	Int./Div.	Pl	T					trust assets listed below
19. - Dreyfus Treasury Prime Inv MM									
20. - Fed Home Loan Bks Step up PY 2021 9/23/11					Redeemed	03/23/12	L	A	
21. - Fed Home Loan Bks Step up HC 2024 1/19/24					Buy	01/09/12	K		see below for sale in same
22. - Fed Home Loan Bks Step up HC 2024 1/19/24					Redeemed	07/19/12	K	A	period
23. - Fed Home Loan Bks Step up XM 2020 12/29/20					Buy	03/26/12	L		see below for sale in same
24. - Fed Home Loan Bks Step up XM 2020 12/29/20					Redeemed	06/29/12	L	A	period
25. - Fed Home Loan Bks Step up KS 2024 07/30/24					Buy	07/20/12	K		see below for sale in same
26. - Fed Home Loan Bks Step up KS 2024 07/30/24					Sold	12/13/12	K	A	period
27. - Jackson Cnty Mo Spl Oblig Truman Sports									
28. - Deschutes Cnty Ore Sch Dist 5.25%									
29. - State Pub Sch Bldg Auth PA Sch Rev 5.25%									
30. - West Chester PA Area Sch Dist Ser A 5% 3/1/06									
31. - Cook Cnty ILL G/O Rfdg-Ser 5.25%					Redeemed	12/28/12	M		
32. -Houston Tex Util Sys Rev Rfdg Comb First									
33. - Connecticut St Ser C 5% 11/1/21 B/E									
34. - Hampton VA Pub Impt-Ser A Fgic-Mbia 5%									

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Indiana Univ Revs Student Fee Ser S 5% 2/21/08									
36. - Metropolitan Govt Nashville Davidson 5% 1/01/25					Redeemed (part)	02/08/12	K		cusip change
37. - Metropolitan Govt Nashville Davidson 5% 5/15/23					Buy (add'l)	02/08/12	K		called by lottery & repurc
38. - Metropolitan Govt Nashville Davidson 5% 5/15/25					Buy (add'l)	12/12/12	L		
39. - Metropolitan Govt Nashville Davidson 5% 5/15/23									
40. - Chicago ILL Sales Tax Rev Sale 5%									
41. - Virginia St Res Auth Clean Wtr 6/13/04 5%									
42. - Humble Tex Indpt Sch Dist Sch Bldg 5% 2/1/06									
43. - Victoria Tex Indpt Sch Dist Sch Bldg 5% 6/15/07									
44. - Missouri St Brd Pub Bldgs Spl Oblg 4.5%									
45. - North Carolina St Pub Impt Ser A 5% 4/14/10									
46. - Dallas Tex Wtrwks Swr Sys rev Rfdg Impt 5% 4/1/06									
47. - Sally Hldgs LLC/Sally cap inc sr sub 10.5%									
48. - Jarden Corp SR Sub Nt 7.5%					Sold	01/26/12	J	A	
49. - First Tenn Bk NA Memphis Sub Nt 5.05%					Buy	07/02/12	K		
50. - HCA Inc No 6.375% 01/15/15					Buy	07/02/12	J		
51. - Chesapeake energy Corp Sr Nt 9.5%					Buy	07/02/12	K		

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- F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H11 =\$1,000,001 - \$5,000,000 H12 =More than \$5,000,000
- 2. Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000
- (See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000
- P3 =\$25,000,001 - \$50,000,000 P4 =More than \$50,000,000
- 3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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	52. -Hanesbrands Inc Fixed Rate Sr Nt 8%					Buy	04/17/12	J	
53. -Hanesbrands Inc Fixed Rate Sr Nt 8%					Redeemed (part)	12/04/12	J	A	
54. - Southwestern Energy Co Med Term Notes 7.125%									
55. - Accenture PLC Ireland Shs Cl A ACN									
56. - American Tower Corp					Merged (with line 57)	01/03/12	K		merger securities exchange
57. - American Tower REIT com						01/03/12			new name
58. - Apple Inc									
59. - Bank of America Corp									
60. - BoozAllen Hamilton Hldg Corp Cl A					Sold (part)	04/26/12	J		
61. - BoozAllen Hamilton Hldg Corp Cl A					Sold (part)	04/27/12	J		
62. - BoozAllen Hamilton Hldg Corp Cl A					Sold (part)	06/25/12	J		
63. - BoozAllen Hamilton Hldg Corp Cl A					Sold	06/26/12	J		
64. - Chesapeake Energy Corp									
65. - Church & Dwight Co Inc									
66. - Colgate Palmolive Co									
67. - Darden Restaurants Inc Com					Buy	07/20/12	K		
68. - EMC Corp (Mass) Com									

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69. - Energy Transfer Partners L.P.					Sold	01/03/12	K	A	sold "ETP"
70. - Energy Transfer Equity L.P.					Buy	01/03/12	K		purchased "ETE"
71. - Enterprise Products Partners L.P.									
72. - Honeywell Intl Inc									
73. - Jarden Corp Com									
74. - Kinder Morgan Energy Partners									
75. - Lowes Companies Inc									
76. - Markwest Energy Partners L.P.									
77. - Newell Rubbermaid Inc Com									
78. - Philip Morris Intl Inc com					Buy	01/24/12	J		
79. - Plains All American Pipeline L.P.						10/05/12			stock split
80. - Schlumberger LTD									
81. - Verizon Communications Com									
82. - Waters Corp Com					Sold	12/27/12	K	C	
83. - Williams Partners L.P.									
84. - Annaly Cap Mgmt Inc Com									
85. - GreenHaven Continuous Commodity Index Fd					Sold	04/09/12	K		

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2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
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	86. - Starwood Ppty Tr Inc Com					Buy	04/10/12	K	
87. - Ishares TR MSCI Emerging Mkts Index Fd									
88. - SPDR GOLD Tr Gold Shs									
89. TRUST #2 Pershing Brokerage Account	C	Int/Div.	M	T					trust assets listed below
90. -Drefus Treasury Prime MM									
91. -Accenture PLC Ireland Sh Cl A									
92. -American Tower Corp					Merged (with line 93)	01/03/12	J		merger security exchanged
93. -American Tower REIT com						01/03/12			name change
94. -Apple Inc									
95. -Bank of America Corp									
96. -Booz Allen Hamilton Hldg Corp Cl A					Sold (part)	04/26/12	J		
97. -Booz Allen Hamilton Hldg Corp Cl A					Sold (part)	04/27/12	J		
98. -Booz Allen Hamilton Hldg Corp Cl A					Sold (part)	06/20/12	J		
99. -Booz Allen Hamilton Hldg Corp Cl A					Sold	06/21/12	J		
100. -Chesapeake Energy Corp									
101. -Church & Dwight Co Inc									
102. -Colgate Palmolive Co					Sold	04/10/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. -Darden Restaurants Inc com					Buy	06/25/12	J		
104. -Darden Restaurants Inc com					Buy (add'l)	07/20/12	J		
105. -EMC Corp (Mass) Com									
106. -Ebay Inc Com									
107. -Honeywell Intl Inc									
108. -Ishares Trust MSCI Emerging Markets Fd EEM									
109. -SPDR Gold Tr Gold Shs									
110. -Jarden Corp Com									
111. -Kinder Morgan Energy Partners LTD partnershp					Sold (part)	11/02/12	J	B	
112. -Kraft Foods Group Inc Com					Buy	09/25/12	J		
113. -Kraft Foods Group Inc Com					Buy (add'l)	11/02/12	J		
114. -Lowes Companies Inc									
115. -Newell Rubbermaid Inc Com									
116. -Omnicom Group Inc									
117. -Philip Morris Intl Inc Com					Buy	01/24/12	J		
118. -Schlumberger LTD									
119. -Verizon Communications Com									

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Herndon, David R.

Date of Report

05/02/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Waters Corp Com					Sold	12/21/12	J	A	
121. -Annaly Cap Mgmt Inc Com REIT									
122. -Starwood Ppty Tr Inc com					Buy	04/10/12	J		
123. TRUST #3 Pershing Brokerage Account	D	Int/Div.	N	T					trust assets listed below
124. -Dreyfus Treasury Prime Money Market									
125. -Accenture PLC Ireland Shs Cl A									
126. -American Tower Corp Cl A					Merged (with line 127)	01/03/12	J		merger securities exchange
127. -American Tower REIT					Open	01/03/12			new name
128. -Apple Inc									
129. -Bank of America Corp									
130. -Booz Allen Hamilton Hldg Corp Cl A					Sold (part)	04/26/12	J		
131. -Booz Allen Hamilton Hldg Corp Cl A					Sold (part)	04/27/12	J		
132. -Booz Allen Hamilton Hldg Corp Cl A					Sold (part)	06/20/12	J		
133. -Booz Allen Hamilton Hldg Corp Cl A					Sold	06/21/12	J		
134. -Chesapeake Energy Corp									
135. -Church & Dwight Co Inc									
136. -Colgate Palmolive Co									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Herndon, David R.	Date of Report 05/02/2013
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Darden Restaurants Inc Com					Buy	06/25/12	J		
138. -Darden Restaurants Inc Com					Buy (add'l)	07/20/12	J		
139. -EMC Corp (Mass) Com									
140. -Ebay Inc Com									
141. -Honeywell Intl Inc									
142. -ALPS ETF Tr Alerian Mlp Etf									
143. -GreenHaven Continuous Commodity Index Fd					Sold	04/09/12	J		
144. -iShares Trust MSCI Emerging Markets Index EEM									
145. -iShares Tr S&P Midcap 400 Value Index Fd									
146. -Jarden Corp Com									
147. -Kinder Morgan Energy Partners LP									
148. -Kraft Foods Group Inc Com					Buy	09/25/12	J		
149. -Kraft Foods Group Inc Com					Buy (add'l)	11/02/12	J		
150. -Lowe's Companies Inc									
151. -Newell Rubbermaid Inc Com									
152. -SPDR Gold Tr Gold Shs									
153. -Omnicom Group Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Herndon, David R.	Date of Report 05/02/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -Philip Morris Intl Inc Com					Buy	01/24/12	J		
155. -Schlumberger LTD									
156. -Verizon Communications Com									
157. -Waters Corp Com					Sold	12/21/12	J	B	
158. -Annaly Cap Mgmt Inc Com									
159. -Starwood Ppty Tr Inc Com					Buy	04/10/12	J		
160. TRUST #4 Pershing Brokerage Account	C	Int./Div.	M	T					trust assets listed below
161. -Dreyfus Treasury Prime Inv Sh MM									
162. -ALPS Etf Tr Alerian MLP Etr									
163. -Claymore Exchange Traded Fd Guggenheim					Sold	07/05/12	J		
164. -GreenHaven Continuous Commodity Index Fd					Sold	04/09/12	J		
165. -iShares Trust MSCI Emerging Markets Index fd EEM									
166. -iShares Tr S&P Midcap 400 Value Index									
167. -Powershares QQQ Tr Unit Ser 1									
168. -SPDR GOLD Tr Gold Shs									
169. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1									
170. -WisdomTree Emerging Mkts Equity					Buy	07/05/12	J		

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(Sec Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(Sec Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(Sec Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. TRUST #5 Pershing Brokerage Account	B	Int./Div.	M	T					trust assets listed below
172. -Dreyfus Treasury Prime Inv MM									
173. -ALPS Etf Tr Alerian MLP Etf									
174. -Claymore Exchange Traded Fd Guggenheim					Sold	07/05/12	J		
175. -GreenHaven Continuous Com Index Fd					Sold	04/09/12	J		
176. -Ishares Trust MSCI Emerging Markets Index Fd EEM									
177. -IShares Tr S&P Midcap 400 Value									
178. -Powershares QQQ Tr Unit Ser 1									
179. -SPDR GOLD Tr Gold Shs									
180. -SPDR S&P Midcap 400 ETF Tr Unit Ser 1									
181. -WisdomTree Emerging Mkts Equity					Buy	07/05/12	J		
182. Trust #6 Pershing Brokerage Account	A	Int./Div.	L	T					trust assets listed below
183. -Dreyfus Treasury Prime Inv MM									
184. -ALPS Etr Tr Alerian MLP Etf									
185. -Claymore Exchange Traded Fd Guggenheim					Sold	07/05/12	J		
186. -GreenHaven Continuous Commodity Index Fd					Sold	04/09/12	J		
187. -Ishares Trust MSCI Emerging Markets Index EEM									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -IShares Tr S&P MidCap 400 Value									
189. -PowerShares QQQ Tr Unit Ser 1									
190. -SPDR GOLD Tr Gold Shs									
191. -SPDR S&P MidCap 400 ETF Tr Unit Ser 1									
192. -WisdomTree Emerging Mkts Equity					Buy	07/05/12	J		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Dreyfus Treasury Prime Inv Money Market Account

- IRA account summarized on line 17
- Trust #1 on line 19
- Trust #2 on line 90
- Trust #3 on line 124
- Trust #4 on line 161
- Trust #5 on line 172
- Trust #6 on line 183

Lines 17, 19, 90, 124, 161, 172, 183 Dreyfus Treasury Prime Inv Money Market Account was used as a vehicle to hold cash equivalent assets for purchase of other investments and to place sale proceeds pending other investments. Consequently, money moved in and out of these accounts with great frequency and no attempt was made to distinguish each transaction unless specifically requested.

Lines 6-9 fractional shares of Kinder Morgan Mgmt: Instead of paying cash dividends, Kinder Morgan issued fractional shares of stock. The fractional shares have no monetary value and no market until they accumulate to equal a full share.

All trust assets are accounted for herein. According to your instructions, the aggregate income and values were reported on lines 18, 89, 123, 160, 171, 182. Identification of the trusts was omitted for security purposes, but will be provided if requested. Naturally, the records of each individual trust are available for the committee's inspection upon request.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Herndon, David R.

Date of Report

05/02/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David R. Herndon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544