AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pregerson, Dean D.	Central District of California	07/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 to 12/31/2012
7. Chambers or Office Address		
312 N. Spring Street Los Angeles, CA 90012		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Salvation Army Los Angeles Metropolitan
2.	Custodian	West,pimt Asset Management U/CA/UTMA
3.	Custodian	West,pimt Asset Management U/CA/UTMA
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Pregerson, Dean D.	07/09/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Self-Employed Consultant/Counselor
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Pregerson, Dean D.	07/09/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	MorganStanley	Portfolio CreditLine	K
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	[redacted] Property [redacted] (H)									
2.	- Microsoft	D	Dividend	M	T					
3.	- Metlife Ins Co of Connecticuit Annuity		None	N	T					
4.	[redacted] B (H)									
5.	- Smith Barney Money Market	A	Dividend			Distributed	12/31/12	J		
6.	- Wendys International Inc	A	Dividend	J	T					
7.	- Israel Due 2014 7th Dev B	A	Dividend	J	T					
8.	- Angel Oak Multi Strategy	A	Dividend	J	T	Buy	06/08/12	J		
9.	- Black Rock Equity Dividend Fund	A	Dividend	J	T					
10.	- FMI Large Cap	A	Dividend	J	T	Buy (add'l)	06/29/12	J		
11.	- Fidelity Contrafund	A	Dividend	J	T					
12.	- JP Morgan Mid-Cap Value Fund	A	Dividend	J	T					
13.	- Loomis Sayles Small Cap Growth	A	Dividend	J	T					
14.	- JP Morgan - Mid-Cap Growth	A	Dividend			Sold	12/14/12	J	A	
15.	- Touchstone Small Cap Core	A	Dividend	J	T					
16.	- Artisan International Fund	A	Dividend	J	T					
17.	- Dodge & Cox International Stock Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Pregerson, Dean D.	07/09/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Oakmark International Small Cap Fund	A	Dividend	J	T					
19. - Aberdeen Emerging Markets	A	Dividend	J	T	Sold (part)	06/22/12	J	A	
20. - Nuveen Tradewinds Global All-Cap	A	Dividend			Sold	03/26/12	J	A	
21. - Aston/River Road Independent Value	A	Dividend	J	T	Buy (add'l)	06/18/12	J		
22. - Center Coast MLP Focus Instl	A	Dividend	J	T					
23. - Marketfield Fund	A	Dividend			Sold	10/09/12	J	A	
24. - Permanent Portfolio	A	Dividend			Sold	06/14/12	J	A	
25. - RiverNorth Core Opportunity Fund	A	Dividend	J	T					
26. - DoubleLine Total Return Bond I	A	Int./Div.	J	T					
27. - Vanguard Interim-Term Tax-Exempt Bond Fund	A	Int./Div.	J	T	Sold (part)	06/07/12	J	A	
28. - Templeton Global Total Return Bond Fund	A	Int./Div.			Sold	06/06/12	J	A	
29. - Templeton Global Bond Fund	A	Int./Div.			Sold	06/06/12	J	A	
30. - Schwab AMT Tax-Free Money Fund	A	Int./Div.	J	T					
31. - PIMCO Total Return Fund	A	Dividend	J	T	Buy	6/11/12	J		
32. - ING Midcap Oppty Fund	A	Dividend	J	T	Buy	12/18/12	J		
33. - Mainstay Marketfield Fund	A	Dividend	J	T	Buy	06/18/12	J		
34. - Wasatch Emrg Mkt Small Cap	A	Dividend	J	T	Buy	06/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. - Cambiar Aggressive Value	A	Dividend			Buy	03/28/12	J		
36.					Sold	06/27/12	J	A	
37. [redacted] D (H)									
38. - Smith Barney Cash & Money Market	A	Dividend			Distributed	12/31/12	J		
39. - Black Rock Equity Dividend Fund	A	Dividend	J	T					
40. - FMI Large Cap	A	Dividend	J	T	Buy (add'l)	06/29/12	J		
41. - Fidelity Contrafund	A	Dividend	J	T					
42. - JP Morgan Mid-Cap Value Fund	A	Dividend	J	T					
43. - Loomis Sayles Small Cap Growth	A	Dividend	J	T					
44. - Morgan Stanley Instit Mid-Cap Growth	A	Dividend			Sold	12/14/12	J	A	
45. - Touchstone Small Cap Core	A	Dividend	J	T					
46. - Artisan International Fund	A	Dividend	J	T					
47. - Dodge & Cox International Stock Fund	A	Dividend	J	T					
48. - Oakmark International Small Cap Fund	A	Dividend	J	T					
49. - Aberdeen Emerging Markets	A	Dividend	J	T	Sold (part)	06/22/12	J	A	
50. - Nuveen Tradewinds Global All-Cap	A	Dividend			Sold	03/26/12	J	A	
51. - Aston/River Road Independent Value	A	Dividend	J	T	Buy (add'l)	06/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. - Center Coast MLP Focus Instl	A	Dividend	J	T					
53. - MainStay Marketfield Fund	A	Dividend	J	T	Buy (add'l)	06/18/12	J		
54.					Sold (part)	07/10/12	J	A	
55. - Permanent Portfolio	A	Dividend			Sold	06/14/12	J	A	
56. - RiverNorth Corp Opportunity Fund	A	Dividend	J	T					
57. - Double Line Total Return Bond I	A	Int./Div.	J	T					
58. - Vanguard Interim-Term Tax Exempt Fund	A	Int./Div.	J	T	Sold (part)	06/07/12	J	A	
59. - Templeton Global Total Return	A	Int./Div.			Sold	06/06/12	J	A	
60. - Schwab AMT Tax-Free Money Fund	A	Int./Div.	J	T					
61. - ING MidCap Opportunities	A	Dividend	J	T	Buy	12/18/12	J		
62. - Wasatch Emerging Markets Sm Cap	A	Dividend	J	T	Buy	06/26/12	J		
63. - PIMCO Total Return Fund	A	Dividend	J	T	Buy	06/08/12	J		
64. - Angel Oak Multi-Strategy Income	A	Dividend	J	T	Buy	06/08/12	J		
65. - Cambiar Aggressive Value	A	Dividend			Buy	03/28/12	J		
66.					Sold	06/27/12	J	A	
67. Fiduciary Cap Pension Parts DDP		None	J	U					
68. IRA #1 & #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. -Schwab Money Market	A	Interest	J	T					
70. - American Express Centurion Bk CD	A	Interest			Closed	1/1/12	L		
71. - Capmart Bank CD	A	Interest			Closed	1/1/12	L		
72. - GMAC Bank CD	A	Interest			Closed	1/1/12	L		
73. - Keybank Nat'L Association CD	A	Interest			Closed	1/1/12	K		
74. Blackrock Equity Dividend Fund	A	Dividend	L	T	Buy (add'l)	06/29/12	J		
75. FMI Large Cap	B	Dividend	L	T	Buy (add'l)	06/16/12	J		
76.					Buy (add'l)	06/29/12	K		
77. Fidelity Contrafund	A	Dividend	L	T	Buy (add'l)	06/29/12	J		
78. JP Morgan Mid-Cap Value Fund	A	Dividend	K	T					
79. Loomis Sayles Small Cap Growth	A	Dividend	K	T					
80. Morgan Stanley Instit Mid Cap Growth	A	Dividend	J	T	Sold (part)	11/20/12	K	A	
81. Touchstone Small Cap Core	A	Dividend	K	T	Buy (add'l)	03/06/12	J		
82. Artisan International Fund	A	Dividend	K	T					
83. Dodge & Cox International Stock Fund	A	Dividend	K	T					
84. Oakmark International Small Cap Fund	A	Dividend	K	T					
85. Aberdeen Emerging Markets	A	Dividend	K	T	Sold (part)	06/11/12	J	A	

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86.					Sold (part)	06/22/12	K	A	
87. Nuveen Tradewinds Global All-Cap	A	Dividend			Sold	03/26/12	K	A	
88. Aston/River Road INdependent Value	B	Dividend	L	T	Buy (add'l)	06/18/12	K		
89. Mainstay Marketfield Fund	A	Dividend	M	T	Buy (add'l)	06/18/12	K		
90. Permanent Portfolio	A	Dividend			Sold	06/14/12	L	A	
91. RiverNorth Core Opportunity Fund	B	Dividend	L	T	Buy (add'l)	12/28/12	J		
92. UBS E-Tracs Alerian MLP Infrastructure ET'N.	A	Dividend	L	T					
93. DoubleLine Total Return Bond I	B	Interest	L	T	Buy (add'l)	06/08/12	J		
94. PIMCO Total Return Fund	B	Dividend	L	T	Buy (add'l)	06/08/12	K		
95. Templeton Global Bond Fund	B	Dividend			Sold	06/06/12	M	A	
96.					Buy	04/04/12	L		
97. Cambiar Aggressive Value	A	Dividend			Buy	03/28/12	K		
98.					Sold	06/27/12	K	A	
99. Angel Oak Multi-Strategy Income	B	Dividend	K	T	Buy	06/08/12	K		
100. ING Mid Cap	A	Dividend	K	T	Buy	11/23/12	K		
101. Wasatch	A	Dividend	K	T	Buy	06/26/12	K		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dean D. Pregerson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544