AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Cook, Deborah L.	U.S. Court of Appeals, 6th Cir	05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

433 U.S. Courthouse
2 South Main Street
Akron, Ohio 44308

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Collegescholars, Inc
2.	Board Member	Cleveland Catholic Foundation
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2009	I am eligible for State of Ohio retirement benefits
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Cook, Deborah L.	05/09/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	National City Bank Account	A	Interest	K	T					
2.	Trust 1	E	Int./Div.	P1	T					
3.	- Morgan Stanley Bank Deposit Money Market									
4.	- SPDR Gold Tr Gold Shares ETF									
5.	- SPDR Gold Tr Gold Shares ETF					Donated (part)				
6.	- SPDR Gold Tr Gold Shares ETF					Donated (part)				
7.	- American Muni Pwr Inc OH Comb Hydroelectric Proj Ref									
8.	- Ohio St Hosp Rev-B Cleveland Clinic Health Sys Muni Bond									
9.	- Univ Akr Be Muni Bond									
10.	- Bank of America Corporate Bond									
11.	- Goldman Sachs Corporate Bond									
12.	- Bowling Green Rcpt Muni Bond									
13.	- Ford Motor Company Common									
14.	- Hamilton Cnty Ohio Sales Tax Muni Bond									
15.	- Bowling Green Ohio City Sch Dist Muni Bond									
16.	- Cleveland Ohio Genl Oblig Muni Bond									
17.	- Ohio St Hosp Fac Rev Muni Bond									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. - Ohio St Univ Gen Recpts Rev-A TV3					Buy	3/12/12	J		
19. - Ohio St Univ Gen Rctps A SL6					Buy	3/12/12	K		
20. - Ohio St Univ Gen Rctps Rev A UM1					Buy	3/12/12	L		
21. IRA 1	E	Int./Div.	P1	T					
22. - Morgan Stanley Deposit Money Market									
23. - SPDR Gold Tr Gold ETF									
24. - SPDR Gold Tr Gold ETF					Buy (add'l)	5/17/12	M		
25. - Morgan Stanley Corp Bond									
26. - United States Treasury Note Inflation Indexed									
27. - United States Treasury Note Inflation Indexed									
28. - Apple Inc Common									
29. - Apple Inc Common					Buy (add'l)	7/26/12	M		
30. - Apple Inc Common					Buy (add'l)	9/27/12	M		
31. - United States Treasury Note Inflation Indexed									
32. - Google Inc Common									
33. - MS ETN - Market Vectors Double Short Eur					Buy	5/17/12	L		
34. - MS ETN - Market Vectors Double Short Eur					Sold	9/13/12	L	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - American Capital Agency					Buy	8/1/12	M		
36. - Goodyear Tire and Rubber Bond					Buy	3/23/12	M		
37. - UnitFirstTrust Dow Target 10 Jan 2012					Buy	01/06/12	K		
38. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	02/27/12	J		
39. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	3/25/12	J		
40. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	4/25/12	J		
41. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	5/25/12	J		
42. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	6/25/12	J		
43. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	7/25/12	J		
44. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	8/25/12	J		
45. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	9/25/12	J		
46. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	10/25/12	J		
47. - Unit First Trust Dow Target 10 Jan 2012					Buy (add'l)	11/25/12	J		
48. Real Estate Partnership, Akron, Ohio (Y)									
49. Installment note holding industrial as collateral	E	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I - Collegescholars, Inc is a charitable trust. The trust assets are held to fund college tuition for students in a mentored scholarship program.

Part VII - Line 48 - In 2012 the building held by the partnership was facing forclosure. The general partner negotiated a sale of the building and it was sold inside the partnership for the amount of the debt. No money was received by the partners in this transaction. The partnership was closed as it no longer held any assets.

Part VII - Line 49 - In 2007 an industrial building in Akron Ohio was sold via an installment sale. Interest and principal payments are received on this asset and the note is secured by the building. This asset is not part of the partnership on line 48.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Deborah L. Cook

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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