AO 10 Rev. 1/2013	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	**2. Court or Organization**	**3. Date of Report**
JACOBS, DENNIS	Court of Appeals, 2d Circuit	05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012

7. Chambers or Office Address

U. S. Courthouse
40 Foley Square, Room 2301
New York, N.Y. 10007

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Residential Cooperative
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
JACOBS, DENNIS	05/01/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
JACOBS, DENNIS	05/01/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Muni Bond: LI NY Pwr Au Elec Sys Gen Rv DTD 5/1/98	C	Interest			Redeemed	12/03/12	M		
2.	Muni Bond: Greenwich NY Cent Sch Dist Rfdg DTD 6/24/02	B	Interest			Redeemed	06/15/12	L		
3.	Muni Bond: NYS Twy Auth Svc Cont Rev Rfdg DTD 8/7/02	B	Interest			Matured	04/01/12	L		
4.	Muni Bond: NYS Dorm Au Rev Upstate CNMTY 3/3/05	B	Interest	K	T					
5.	Muni Bond: NYC G/O Ser E Ref FSA 11/10/04	B	Interest	K	T					
6.	Muni Bond: NYSt.Thruway Auth. 2nd Highway & Bridge 9/8/05	B	Interest	K	T					
7.	Muni Bond: NYSt. Thruway Auth. 2nd Highway & Bridge 9/8/05	B	Interest	L	T					
8.	Muni Bond: NYC G/O Ser.O 6/2/05	B	Interest	K	T					
9.	Muni Bond: NYC G/O Ser C 8/3/05	B	Interest	K	T					
10.	Muni Bond: NYSt, Thruway Gen Rev Ser H 10/11/07	B	Interest	K	T					
11.	Muni Bond: NYS UDC ST Pers Income Tax 10/9/03	C	Interest	L	T					
12.	Muni Bond: Metro Govt. Nashville & Davidson Co. Elec. 3/1/01	A	Interest	K	T					
13.	Muni Bond: Tampa FL Sales Tax Rev Rfdg 10/6/10	A	Interest	K	T					
14.	Muni Bond: Arizona Transp. Bd. Excise Tax Ser A 11/1/07	A	Interest	K	T					
15.	Muni Bond: Metro Govt. Nashville & Davidson Co. Rfdg./Ser D	A	Interest	K	T					
16.	Muni Bond: King Co. Wash Sch Dist. No 412 10/21/10	A	Interest	K	T					
17.	Muni Bond: Baton Rouge LA Pub Impt. Sales Tax Ser B 9/28/10	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
JACOBS, DENNIS	05/01/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Muni Bond: Fort Bend Tex Flood Ctrl Water Supply 10/1/10	A	Interest	K	T					
19.	Muni Bond: Ohio St. Rfdg Common Schs. Ser C 10/8/10	A	Interest	K	T					
20.	Muni Bond: N. Carolina ST. Grant Antic Rev Vehicle 8/5/09	A	Interest	K	T					
21.	Muni Bond: UConn. Ser A 5/25/10	A	Interest	K	T					
22.	Muni Bond: Ohio St. Univ. Gen Rcpts Rfdg Ser D 10/6/10	A	Interest	K	T					
23.	Muni Bond: Broward Co.Rfdg Prks Land Pres. 4/10/12	A	Interest	K	T	Buy	03/07/12	K		
24.	Muni Bond: S. Carolina St. Pub Svc Auth 11/10/10	A	Interest	K	T	Buy	02/13/12	K		
25.	Muni Bond: Cypress-Fairbanks Tex Indpt School Dist 2/1/12	A	Interest	K	T	Buy	02/14/12	K		
26.	Muni Bond: Wash St Univ Revs Gen B/E 2/23/12	A	Interest	K	T	Buy	02/08/12	K		
27.	Muni Bond: Columbia SC Wtrwks & SWR 3/8/12	A	Interest	K	T	Buy	02/23/12	K		
28.	Money Market: Morgan Stanley US Govt Money Market Trust	A	Int./Div.	N	T	Open	07/06/12	N		See part VIII
29.	Checking: Chase Manhattan Bank, NYC	A	Interest	J	T					
30.	Third Federal Savings & Loan Certificate of Deposit	D	Interest	M	T					
31.	Fidelity Rollover IRA	G	Int./Div.	P1	T					
32.	- Fidelity Cash Reserves									
33.	- Baron Growth Fund					Sold (part)	07/10/12	L		
34.	- Royce Total Return Fund					Sold (part)	07/10/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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JACOBS, DENNIS	05/01/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. - American Growth Fund of America					Sold	01/09/12	L		
36. - Dreyfus Mid-Cap Fund									
37. - Bond: Fedl Farm Cr Bks Cons Bd					Redeemed	09/19/12	L		
38. - Bond: Fedl Home Ln Bks Glbl 9/16/13									
39. - Bond: Fedl Home Ln Bks 02/13/15									
40. - Fidelity Contrafund									
41. - Tweedy Browne Global Value Fund									
42. - Bond: Fedl Farm Credit Bk 2/19/16					Redeemed	09/19/12	L		
43. - Bond: Fedl Farm Credit Bks Const 6/4/18									
44. - Blackrock Global Allocation									
45. - Pimco Total Return Instl									
46. - Ivy Asset Strategy Fund CL 1									
47. - Morgan Stanley Global Long/Short Fund A									
48. - Templeton Global Bond Advisor Class									
49. - Fidelity Low Priced Stock									
50. - Blackrock Equity Dividend FD-Intst									
51. - Vanguard Intermediate Tax Exempt Admiral									

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JACOBS, DENNIS	05/01/2013

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52.	- Vanguard Limited Term Tax Exempt Admiral									
53.	- BBH Core Select Fund					Buy	01/09/12	K		
54.	- BBH Core Select Fund					Buy (add'l)	07/10/12	L		
55.	- JP Morgan Large Cap Growth Select Class					Buy	01/09/12	K		
56.	- JP Morgan Large Cap Growth Select Class					Buy (add'l)	0710/12	L		
57.	TIAA-CREF	A	Dividend	L	T					
58.	- CREF Stock Account									

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JACOBS, DENNIS	05/01/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts:

Line 28: The Money Market account, formerly the Western Asset Government Money Fund Class A, is now the Morgan Stanley US Government Money Market Trust. This is an account into which proceeds of sales and interest payments are placed pending reinvestment.

Name of Person Reporting	Date of Report
JACOBS, DENNIS	05/01/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ DENNIS JACOBS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544