AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ginsburg, Douglas H.	D.C. Circuit	07/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
Circuit Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

U.S. Courthouse
333 Constitution Avenue, NW
Washington, DC 20001

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Professor of Law	New York University School of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	New York University	$277,906.94
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bar Association	1/14/12 - 1/17/12	Tucson, Arizona	Professional Assoc. Meeting	Travel related expenses
2.	New York University	1/17/12 - 1/20/12	New York, New York	Teaching	Travel related expenses
3.	New York University	1/25/12 - 1/27/2012	New York, New York	Teaching	Travel related expenses
4.	New York University	1/31/12 - 2/3/12	New York, New York	Teaching	Travel related expenses
5.	Federalist Society	2/3/12 - 2/7/12	Naples, Florida	Professional Assoc. Meeting	Travel related expenses
6.	New York University	2/7/12 - 2/10/12	New York, New York	Teaching	Travel related expenses

7. New York University	2/14/12 - 2/17/12	New York, New York	Teaching	Travel realted expenses
8. University of Virginia	2/18/12 - 2/19/12	Charlottesville, Virginia	Teaching	Travel related expenses
9. New York University	2/22/12 - 2/24/12	New York, New York	Teaching	Travel related expenses
10. New York University	2/29/12 - 3/3/2012	New York, New York	Teaching	Travel related expenses
11. New York University	3/7/12 - 3/9/12	New York, New York	Teaching	Travel related expenses
12. New York University	3/21/12 - 3/24/12	New York, New York	Teaching	Travel related expenses
13. New York University	3/28/12 - 3/30/12	New York, New York	Teaching	Travel related expenses
14. New York University	4/4/12 - 4/6/12	New York, New York	Teaching	Travel related expenses
15. New York University	4/11/12 - 4/14/12	New York, New York	Teaching	Travel related expenses
16. New York University	4/18/12 - 4/20/12	New York, New York	Teaching	Travel related expenses
17. The Mentor Group	4/21/12 - 4/25/12	Brussels, Belgium	Professional Assoc. Meeting	Travel related expenses
18. New York University	4/25/12 - 4/27/12	New York, New York	Teaching	Travel related expenses
19. Claremont College	5/24/12 - 5/29/12	Claremont, California	Teaching	Travel related expenses
20. New York University	6/5/12 - 6/6/12	New York, New York	Non FJC Educational Seminar	Travel related expenses
21. New York University	6/10/12 - 6/14/12	New York, New York	Professional Assoc. Meeting	Travel related expenses
22. CEELI	7/3/12 - 7/5/12	Tunis, Tunisia	Professional Assoc. Meeting	Travel related expenses
23. New York University	7/13/12 - 7/14/12	Florence, Italy	Professional Assoc. Meeting	Travel related expenses
24. American Bar Association / New York University	8/6/12 -8/10/12	Rancho Palos Verdes, California	Professional Assoc. Meeting	Travel related expenses
25. New York University	8/28/12 - 8/31/'12	New York, New York	Teaching	Travel related expenses
26. New York University / Fordham University	9/18/12 - 9/22/12	New York, New York	Teaching	Travel related expenses
27. New York University	10/15/12 - 10/18/12	New York, New York	Teaching	Travel related expenses
28. New York University	11/13/12 - 11/14/12	New York, New York	Teaching	Travel related expenses
29. American Bar Association/ New York University	11/27/12 - 11/30/12	New Delhi, India	Professional Assoc. Meeting	Travel related expenses
30. Covington & Burlington/New York University	12/3/12 - 12/4/12	Brussels, Belgium	Non FJC Educational Seminar	Travel related expenses
31. New York University	12/13/12 - 12/14/12	New York, New York	Teaching	Travel related expenses
32. New York University	1/1/2012 - 12/31/2012	New York, New York	Teaching	Books and miscellaneous academic expenses

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Ginsburg, Douglas H.	07/15/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA/CREF retirement account		None	O	T					
2. SEP IRA acct		None	J	T					
3. WDMG		None	J	T					
4. WBRXX		None	K	T					
5. CVX	C	Dividend	M	T					
6. DKS	A	Dividend	K	T					
7. ECL	B	Dividend	L	T					
8. EPD	B	Dividend	K	T					
9. FAST	C	Dividend	M	T	Sold (part)	03/21/12	J	D	
10.					Sold (part)	08/21/12	L	F	
11. IDXX		None	L	T					
12. PAYX	C	Dividend	L	T	Buy (add'l)	03/02/12	K		
13. PEP	A	Dividend	K	T	Sold (part)	02/10/12	L	D	
14. SRCL		None	M	T					
15. BHP	A	Dividend	K	T	Sold (part)	05/23/12	J	C	
16. RBA	B	Dividend	L	T	Buy (add'l)	03/02/12	K		
17. TSCO	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. RSG		None			Sold	02/02/12	L		
19. SODA		None			Sold (part)	05/14/12	J		
20.					Sold	08/08/12	L		
21. ARG	B	Dividend	L	T					
22. MJN	B	Dividend	L	T					
23. AGN	A	Dividend	L	T					
24. SREV		None			Buy (add'l)	03/02/12	J		
25.					Sold	10/15/12	J		
26. PG		None			Sold	05/07/12	L		
27. SYK	B	Dividend	L	T					
28. HSTM		None	L	T	Buy (add'l)	04/03/12	J		
29. MFRM		None			Sold	05/15/12	L	E	
30. PPO		None			Buy (add'l)	01/24/12	K		
31.					Sold	03/02/12	K		
32. FFIV		None			Buy	01/19/12	L		
33.					Sold	05/30/12	J		
34. TRNX		None			Buy	03/02/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.					Sold	10/29/12	K		
36. KMX		None	L	T	Buy	03/02/12	L		
37. COO	A	Dividend	L	T	Buy	05/18/12	L		
38. DNKN	B	Dividend	L	T	Buy	05/07/12	L		
39. NGVC		None	L	T	Buy	08/28/12	K		
40.					Buy (add'l)	08/29/12	K		
41. HABDX	D	Dividend	M	T	Buy	09/12/12	M		
42.					Buy (add'l)	12/03/12	L		
43. SMG	B	Dividend	L	T	Buy	10/15/12	L		
44. Federated Gov't Cash Series: Nations Fin'l G	A	Interest	M	T					
45. C	A	Dividend	J	T	Sold (part)	01/17/12	J		
46. XOM	D	Dividend	O	T	Sold (part)	01/17/12	L	F	
47.					Sold (part)	08/30/12	K	E	
48. MHS		None			Sold (part)	1/17/12	K	E	
49.					Buy (add'l)	03/08/12	K		
50.					Sold (part)	04/03/12	K	E	
51.					Merged (with line 83)	04/03/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. MRK	A	Dividend	K	T	Sold (part)	01/17/12	K	D	
53. QSGIQ		None	J	T					
54. RDS A	B	Dividend	K	T	Sold (part)	01/17/12	K	E	
55. AA	A	Dividend	J	T					
56. ALLP		None	J	T					
57. MO	C	Dividend	L	T					
58. AIG		None	J	T					
59. AMGN	A	Dividend	J	T					
60. JNJ	A	Dividend	K	T					
61. JPM	A	Dividend	J	T					
62. NYX	A	Dividend	K	T					
63. QCOM	A	Dividend	J	T					
64. NVS	A	Dividend	J	T					
65. SKT	A	Dividend	K	T					
66. PM	C	Dividend	M	T					
67. GG	A	Dividend	K	T					
68. KO	B	Dividend	K	T	Distributed (part)	08/13/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. CHK	A	Dividend	K	T					
70. BA	A	Dividend	K	T					
71. CSCO	A	Dividend	J	T					
72. CDE		None	L	T					
73. DVN	A	Dividend	K	T					
74. INTC		None			Sold	05/23/12	J	B	
75. KMP	C	Dividend	K	T					
76. MMP	B	Dividend	K	T	Distributed (part)	10/15/12	J		
77. MSFT	A	Dividend	J	T					
78. SIAL	A	Dividend	K	T					
79. BP	B	Dividend	K	T					
80. CAT		None			Sold (part)	06/13/12	K	D	
81.					Sold	08/08/12	K	D	
82. KO	A	Dividend	K	T					
83. ESRX		None	M	T					
84. HON		None			Sold (part)	03/21/12	J	B	
85.					Sold (part)	04/20/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86.					Sold	08/08/12	J	C	
87. MON	A	Dividend	K	T					
88. SIAL	A	Dividend	L	T					
89. SLB	B	Dividend	L	T					
90. XEL	A	Dividend	J	T					
91. TMCO		None	K	T					
92. US Treasury Note [redacted]	A	Interest	L	T					
93. CME Group Bond [redacted]	B	Interest	K	T					
94. Kellog Co Bond [redacted]	B	Interest			Matured	12/03/12	K		
95. Verizon Comm. Bond [redacted]	B	Interest	K	T					
96. VA State Pub School Bond [redacted]	A	Interest			Matured	08/01/12	K		
97. GLD		None	K	T					
98. JCI	A	Dividend	K	T					
99. RHI	B	Dividend	L	T	Buy (add'l)	03/02/12	K		
100. WSO	B	Dividend	L	T					
101. AMZN		None	K	T					
102. Procter & Gamble SR Note	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103. ABT	A	Dividend			Sold	08/08/12	J	B	
104. ADP	A	Dividend			Sold	03/21/12	J	C	
105. CL		None			Sold	03/21/12	J	B	
106. CEG		None			Merged (with line 181)	03/16/12	J		
107. COST		None			Sold	08/8/12	J	C	
108. DUK	B	Dividend	K	T					
109. EMR	B	Dividend	L	T					
110. ETE	B	Dividend	K	T					
111. FHCO	A	Dividend	K	T					
112. NEE	A	Dividend	K	T					
113. NRGY	A	Dividend	J	T					
114. MCD	B	Dividend	K	T	Buy (add'l)	11/08/12	J		
115. NI	A	Dividend	K	T					
116. NU	A	Dividend	K	T					
117. NVS	A	Dividend	J	T					
118. PGN		None			Merged (with line 108)	07/03/12	J		
119. RGP	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. FMO	B	Dividend	K	T					
121. KYN	B	Dividend	K	T					
122. TYG	B	Dividend	K	T					
123. ACN	A	Dividend	J	T					
124. BHP		None			Sold	08/08/12	J		
125. CVX	B	Dividend	L	T					
126. CBSH	A	Dividend	K	T					
127. ECL	A	Dividend	K	T					
128. FCX		None			Sold	08/08/12	J		
129. INTC	A	Dividend	J	T	Buy (add'l)	09/07/12	J		
130. PG		None			Sold	08/08/12	J	D	
131. USB		None			Sold	08/08/12	J	D	
132. ZOLT		None	K	T					
133. BISIX		None			Sold	04/11/12	K	C	
134. EEP	B	Dividend	K	T	Buy (add'l)	08/08/12	K		
135. ETP	B	Dividend	K	T					
136. NS		None			Sold	01/30/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. PAA	A	Dividend	J	T					
138. OKS	A	Dividend	J	T					
139. UTX		None			Sold	08/08/12	J	B	
140. IBB		None			Sold	08/08/12	K	D	
141. PSCH		None			Sold	08/08/12	K	C	
142. SSW		None			Sold	02/07/12	K	C	
143. CSCO		None			Sold	09/17/12	K	B	
144. BA	A	Dividend	K	T					
145. HDPSX		None	K	T	Sold (part)	09/17/12	K	C	
146. TEVA	A	Dividend	J	T	Buy (add'l)	06/01/12	J		
147. GLD		None	M	T	Buy (add'l)	01/09/12	J		
148.					Buy (add'l)	03/01/12	J		
149.					Buy (add'l)	03/06/12	J		
150.					Buy (add'l)	03/15/12	M		
151. JNJ		None			Sold	08/08/12	K	B	
152. POT		None			Sold	08/08/12	K		
153. PETM	A	Dividend	J	T	Sold (part)	09/17/12	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	10/11/12	J	C	
155. ODVYX		None			Sold	08/08/12	K	B	
156. Wells Fargo Bank (bank deposit sweep)	A	Interest	N	T					
157. CHRW		None			Sold	03/02/12	J	A	
158. CMG		None	K	T					
159. DHR	A	Dividend	L	T	Buy (add'l)	03/02/12	K		
160. ETR	A	Dividend	J	T					
161. EXPD		None			Sold	05/07/12	J		
162. HCSG	B	Dividend	L	T	Buy (add'l)	08/21/12	L		
163. PX	A	Dividend	K	T					
164. V	A	Dividend	L	T	Buy (add'l)	05/16/12	L		
165. KSU	A	Dividend	K	T	Buy	01/25/12	J		
166. GILD		None			Buy	03/08/12	K		
167.					Sold	04/19/12	K	C	
168. WMB	B	Dividend	K	T	Buy	03/12/12	K		
169. GBDC	B	Dividend	K	T	Buy	03/29/12	K		
170. HRZN	A	Dividend	J	T	Buy	03/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171. ACAS		None			Buy	04/12/12	J		
172.					Sold	08/08/12	J	C	
173. QCOM	A	Dividend	K	T	Buy	05/21/12	K		
174. NKE	A	Dividend	K	T	Buy	06/29/12	K		
175. GOOG		None	K	T	Buy	10/18/12	K		
176. ARRY		None	J	T	Buy	11/09/12	J		
177. NMFC	B	Dividend	J	T	Buy	12/04/12	J		
178. AAPL	A	Dividend	K	T	Buy	12/17/12	K		
179. SPH		None			Spinoff (from line 113)	09/17/12	J		
180.					Sold	10/11/12	J		
181. EXC		None			Buy (add'l)	08/08/12	J		
182.					Sold	11/05/12	K		
183. CLVS		None			Buy	01/09/12	J		
184.					Sold (part)	03/09/12	J	B	
185.					Sold	09/17/12	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Ginsburg, Douglas H.	07/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Douglas H. Ginsburg

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544