AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Yeakel III, Earl L.	District Court, W.D. Texas	05/13/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

United States Courthouse
501 West 5th Street
Austin, Texas 78701

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Theodore Roosevelt Association
2.	Member	University of Texas Longhorn Foundation Advisory Council
3.	Member	Texas Commission on Uniform State Laws
4.	Member	National Conference of Commissioners on Uniform State Laws
5.	Member	Board of Advisors, Austin Lawyers Chapter, The Federalist Society
6.	President	Austin Intellectual Property Chapter, American Inns of Court

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Yeakel III, Earl L.	05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Employees Retirement System of Texas - Retirement
2.	2012	Nitsche & Ferguson Ins. Agency Inc. - Commission Sales
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	National Conference of Commissioners on Uniform State Laws	January 25-29, 2012	Tucson, Arizona	Committee on Style	Transportation, Meals, & Lodging
2.	American Conference Institute	January 30-31, 2012	Miami, Florida	Forum on Wage & Hour Claims & Transactions	Transportation, Meals, & Lodging
3.	American Conference Institute	February 29-March 1, 2012	Orlando, Florida	Construction Litigation Conference	Transportation, Meals, & Lodging
4.	National Conference of Commissioners on Uniform State Laws	March 23-25, 2012	Seattle, Washington	Committee Meeting	Transportation, Meals, & Lodging

5. National Conference of Commissioners on Uniform State Laws	April 25-29, 2012	Indianapolis, Indiana	Committee on Style	Transportation, Meals, & Lodging
6. Killeen Heights Rotary Club	May 10-11, 2012	Harker Heights, Texas	Rotary Club Meeting	Meals & Lodging
7. National Conference of Commissioners on Uniform State Laws	June 6-10, 2012	Washington, D.C.	Drafting Committee Meeting	Transportation, Meals, & Lodging
8. American Conference Institute	June 18-20, 2012	New York, New York	Litigating & Resolving Advertising Disputes Conference	Transportation, Meals, & Lodging
9. American Conference Institute	June 26-27, 2012	Boston, Massachusetts	Forum on Defending & Managing Aviation Litigation	Transportation, Meals, & Lodging
10. National Conference of Commissioners on Uniform State Laws	September 5-9, 2012	Chicago, Illinois	Committee on Style	Transportation, Meals, & Lodging
11. Austin Intellectual Property American Inn of Court	September 27-29, 2012	Houston, Texas	Fifth Circuit Leadership Summit	Transportation, Meals, & Lodging
12. National Conference of Commissioners on Uniform State Laws	November 1-2, 2012	Washington, D.C.	Drafting Committee Meeting	Transportation, Meals, & Lodging
13. National Conference of Commissioners on Uniform State Laws	November 9-11, 2012	Denver, Colorado	Committee Meeting	Transportation, Meals, & Lodging
14. State Bar of Texas	November 28, 2012	Dallas, Texas	Patent Multidistrict Litigation Seminar	Transportation & Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Prosperity Bank	Line of Credit	L
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Yeakel III, Earl L.	05/13/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SCHW Common Stock	A	Dividend	J	T					
2. CVX Common Stock	C	Dividend	L	T					
3. C Common Stock	A	Dividend	J	T					
4. DELL Common Stock		None	K	T					
5. XOM Common Stock	A	Dividend	K	T					
6. F Common Stock	A	Dividend	J	T					
7. JPM Common Stock	A	Dividend	K	T					
8. MSFT Common Stock	A	Dividend	J	T					
9. Rogers Communications, Inc. Class B Common Stock	A	Dividend	K	T					
10. Royal Dutch Shell PLC Common Stock	B	Dividend	K	T					
11. LUV Common Stock	A	Dividend	K	T					
12. SPND.OB Common Stock		None	J	T					
13. DIS Common Stock	A	Dividend	J	T					
14. Fidelity Blue Chip Growth Mutual Fund	A	Dividend	K	T					
15. Fidelity Blue Chip Growth Mutual Fund	A	Distribution	K	T					
16. Fidelity China Region Mutual Fund	A	Dividend	J	T					
17. Fidelity New Millenium Mutual Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Yeakel III, Earl L.	05/13/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity New Millennium Mutual Fund	A	Distribution	K	T					
19. Fidelity Municipal Money Market Mutual Fund	A	Interest	J	T					
20. Fidelity US Gov't Reserves Mutual Fund	A	Dividend	L	T					
21. Vanguard Prime Money Market Mutual Fund	A	Dividend	K	T					
22. Prosperity Bank (CD)	A	Interest	L	T					
23. Prosperity Bank (CD)	A	Interest	K	T					
24. Prosperity Bank (Checking)	A	Interest	J	T					
25. Wells Fargo Bank (Checking)		None	J	T					
26. Wells Fargo Bank (Checking)	A	Interest	J	T					
27. Wells Fargo Bank (Savings)	A	Interest	L	T					
28. Artisan Value Fund (Mutual Fund)	A	Dividend	K	T					
29. American Century Diversified Bond Fund (Mutual Fuind)	A	Dividend	J	T					
30. American Century Diversified Bond Fund (Mutual Fund)	A	Distribution	J	T					
31. Thornberg Investment Inc. Builder I (TIBIX)	A	Dividend	K	T					
32. Federated Strategic Value Dividend Fund Instl (Mutual Fund)	A	Dividend	K	T					
33. Federated Strategic Value Dividend Fund Instl (Mutual Fund)	A	Distribution	K	T					
34. Fidelity Advisor Emerging Markets Income Fund FMKIX	A	Dividend	K	T	Buy	11/26/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Fidelity Advisor Emerging Markets Income Fund FMKIX	A	Distribution	K	T					
36. Fidelity Advisor Floating Rate High Income Fund FFRIX	A	Dividend			Buy	05/21/12	K		
37. Fidelity Advisor Floating Rate High Income Fund FFRIX					Sold	11/26/12	K	A	
38. Fidelity Advisor Materials Fund FMFEX		None			Buy	04/13/12	K		
39. Fidelity Advisor Materials Fund FMFEX		None			Sold	05/21/12	K	A	
40. Fidelity Advisor Growth Opportunites Mutual Fund-CL I		None	K	T					
41. First Eagle Overseas Fund CL A (Mutual Fund)		None			Sold	04/09/12	J	A	
42. First Eagle US Value Fund CL I (Mutual Fund)	A	Dividend	K	T					
43. First Eagle US Value Fund CL I (Mutual Fund)	A	Distribution	K	T					
44. First Eagle Global Fund SGIIX	A	Dividend	J	T	Buy	04/10/12	J		
45. First Eagle Gobal Fund SGIIX	A	Distribution	J	T					
46. Fidelity Advisor New Insights (Mutual Fund)	A	Distribution	K	T					
47. Fidelity Secs Advisor Real Estate Income Fund Instl CL		None			Sold	03/05/12	K	A	
48. Eaton Vance Floating Rate Advantage Fund (Mutual Fund)	A	Dividend	K	T					
49. Eaton Vance Global Macro Absolute Return Mutual Fund	A	Dividend			Sold	01/09/12	J	A	
50. Eaton Vance Income Fund Boston Inc EIBIX	A	Dividend	J	T	Buy	02/08/12	J		
51. Henderson Global Equity Income Fund CL I (Mutual Fund)		None			Sold	06/13/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. Henderson Global Equity Income Fund CL I (Mutual Fund)	A	Dividend	K	T	Buy	11/29/12	J		
53. Hussman Investment Trust Strategic Growth Mutual Fund		None			Sold	02/07/12	J	A	
54. Hussman Investment Trust Strategic Total Return Mutual Fund		None			Sold	01/18/12	J	A	
55. Neuberger & Berman Equity Income FDS CL A (Mutual Fund)	A	Dividend	K	T					
56. Neuberger & Berman Equity Income FDS CL A (Mutual Fund)	A	Distribution	K	T					
57. RS Global Natural Resources Fund (Mutual Fund)		None			Sold	04/13/12	K	B	
58. Dodge & Cox Income Fund (Mutual Fund)		None			Sold	02/10/12	K	A	
59. Loomis Sayles Investment Grade Bond Fund (Mutual Fund)	A	Dividend	K	T	Buy	02/14/12	K		
60. Loomis Sayles Investment Grade Bond Fund (Mutual Fund)	A	Distribution	K	T					
61. Pimco Total Return Fund PTTAX (Mutual Fund)	A	Interest	K	T					
62. Pimco Total Return Fund PTTAX (Mutual Fund)	A	Dividend	K	T					
63. Pimco Total Return Fund PTTAX (Mutual Fund)	A	Distribution	K	T					
64. Pimco Real Return Mutual Fund Cl A PRTNX	A	Interest	K	T					
65. Pimco Real Return Mutual Fund Cl A PRTNX	A	Dividend	K	T					
66. Pimco Real Return Mutual Fund Cl A PRTNX	A	Distribution	K	T					
67. Pimco FDS Real RTN AST P (Mutual Fund)	A	Dividend	J	T					
68. Pimco FDS Real RTN AST P (Mutual Fund)	B	Distribution	J	T					

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69. Invesco Balanced-Risk Allocation Fund Y (Mutual Fund)	A	Dividend			Sold	12/19/12	K	A	
70. Invesco Balanced-Risk Allocation Fund Y (Mutual Fund)	A	Distribution			Sold	12/19/12	K	A	
71. Invesco Asia Pacific Growth Fund ASIYX		None	K	T	Buy	12/19/12	K		
72. Washington Mutual Invs Fund CL F-2 SHS	A	Dividend	K	T					
73. JPMorgan TR II Equity Income Fund HLIEX	A	Dividend	J	T	Buy	01/09/12	J		
74. JPMorgan TR II Equity Income Fund HLIEX	A	Distribution	J	T					
75. Weitz FDS Hickory FD WEHIX		None	K	T	Buy	01/18/12	J		
76. Third Avenue Real Estate Value Fund TAREX	A	Dividend	K	T	Buy	03/05/12	K		
77. Third Avenue Real Estate Value Fund TAREX	B	Distribution	K	T					
78. SPDR Gold TR GLD		None	K	T	Buy	03/14/12	K		
79. Prime Money Market Fund (Mutual Fund)		None	J	T					
80. Federal Gov't Obl. Tax Mgd. Fund #636	A	Dividend	J	T					
81. Federal Home Loan Bank 3.13% Note	A	Interest	K	T					
82. Federal Farm Credit Bank 3.45% Note	A	Interest	K	T					
83. U.S. Treasury 5.5% Note	D	Interest	M	T					
84. Federal Home Loan Bank 4.18% Note	A	Interest			Redeemed	03/15/12	K	A	
85. Federal Farm Credit Bank 2.30% Note	A	Interest	K	T	Buy	03/20/12	K		

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86. Introgen Theraputics Inc.		None	J	T					
87. Luby's Inc.		None	J	T					
88. United States Series I Savings Bonds		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Yeakel III, Earl L.	05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Earl L. Yeakel III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544