

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

1. Person Reporting (last name, first, middle initial) SARGUS, JR., EDMUND A.	2. Court or Organization Southern District of Ohio	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (Active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2012 to 12/31/2012
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address 85 Marconi Blvd. Room 301 Columbus, OH 43215		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	[REDACTED] - teaching
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

SARGUS, JR., EDMUND A.

Date of Report

05/14/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	[REDACTED] - Teaching position	\$27,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Salary - [REDACTED]
2. 2012	Stipend - for teaching class [REDACTED]
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting SARGUS, JR., EDMUND A.	Date of Report 05/14/2013
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mastercard	Credit Card	K
2.	Discover Card	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting SARGUS, JR., EDMUND A.	Date of Report 05/14/2013
---	------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Huntington Bank (which pur. UNIZ Bank, 2006; stock swap)com.	D	Dividend	M	T					
2.	First Merit Bank - com.	D	Dividend	M	T					
3.	NiSource, Inc. (formerly Northern Indiana Public Serv.-com.	B	Dividend	K	T					
4.	Nuveen Municipal Value Fund - com.	A	Dividend	J	T					
5.	Putnam Managed Mutual Fund - com.	A	Interest	J	T					
6.	Timken Co. - com.	A	Dividend	J	T					
7.	Citigroup (formerly Citicorp) - com.	A	Dividend			Sold	06/20/12	J	A	Publicly sold on market
8.	PPG Industries - com.	A	Dividend	J	T					
9.	Disney - com.	A	Dividend	J	T					
10.	Westbanco Co. (was formerly American Bank Corp.)	B	Dividend	L	T					
11.	Nuveen Municipal Value Fund - com.	A	Dividend	J	T					
12.	National City Bank - bank deposit	A	Interest	J	T					
13.	Reserve Fund - money market	B	Interest	J	T					
14.	Northwest Mutual Life Ins. whole life policies	A	Interest	J	T					
15.	Huntington National Bank - bank deposit	A	Interest	J	T					
16.	Western Investment Real Estate Fund - comm.	A	Dividend	J	T					
17.	Corning, Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 III = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 PI = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 II2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting SARGUS, JR., EDMUND A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. America Online - com.	A	Dividend	J	T					
19. America Online - com.	A	Dividend	J	T					
20. Pimco Mutual Fund C Innovative	A	Dividend	J	T					
21. Pimco Mutual Fund C Innovative	A	Dividend	J	T					
22. Pimco Mutual Fund C Innovative	A	Dividend	J	T					
23. Nuveen Tax Advantage Total Return Fund	A	Dividend	J	T					

- 1. Income Gain Codes:
 - A = \$1,000 or less
 - F = \$50,001 - \$100,000
 - J = \$15,000 or less
 - N = \$250,001 - \$500,000
 - P3 = \$25,000,001 - \$50,000,000
- 2. Value Codes
(See Columns C1 and D3)
 - B = \$1,001 - \$2,500
 - G = \$100,001 - \$1,000,000
 - K = \$15,001 - \$50,000
 - O = \$500,001 - \$1,000,000
 - R = Cost (Real Estate Only)
 - V = Other
- 3. Value Method Codes
(See Column C2)
 - Q = Appraisal
 - U = Book Value
 - W = Estimated
- C = \$2,501 - \$5,000
 - I11 = \$1,000,001 - \$5,000,000
 - L = \$50,001 - \$100,000
 - P1 = \$1,000,001 - \$5,000,000
 - P4 = More than \$50,000,000
 - S = Assessment
 - T = Cash Market
- D = \$5,001 - \$15,000
 - I12 = More than \$5,000,000
 - M = \$100,001 - \$250,000
 - P2 = \$5,000,001 - \$25,000,000
- E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting	Date of Report
SARGUS, JR., EDMUND A.	05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting	Date of Report
SARGUS, JR., EDMUND A.	05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ EDMUND A. SARGUS, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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