

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

<b>1. Person Reporting (last name, first, middle initial)</b> Siler, Eugene E.	<b>2. Court or Organization</b> Sixth Circuit Court of Appeals	<b>3. Date of Report</b> 05/13/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. Circuit Judge, Senior	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2012 to 12/31/2012
	<b>5b.</b> <input type="checkbox"/> Amended Report	
<b>7. Chambers or Office Address</b> U.S. Courthouse 310 South Main Street, Ste 333 London, KY 40741		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Executor	Estate #2
3.	Executor	Estate #3
4.	Trustee	Trust #1
5.	Administrative Board Member	Baptist Regional Medical Center, now Baptist Health Corbin, a part of Baptist Healthcare System, Inc.
6.	Director & Vice Chairman	National Institute for Hometown Security, Inc.
7.	Trustee	Baptist Healthcare Foundation, Inc.
8.	Director	Williamsburg High School Alumni Endowment Association, Inc.
9.	Director	Baptist Healthcare System, Inc., now Baptist Health, Inc.

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

<b>Name of Person Reporting</b> Siler, Eugene E.	<b>Date of Report</b> 05/13/2013
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3.

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**FINANCIAL DISCLOSURE REPORT**

<b>Name of Person Reporting</b> Siler, Eugene E.	<b>Date of Report</b> 05/13/2013
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	William & Mary Law School	2/17-19	Williamsburg, VA	Moot Court	Food, lodging.
2.	University of Virginia	4/13-15	Charlottesville, VA	Moot Court	Travel, food, lodging.
3.	Baptist Healthcare System, Inc. (BHS)	3/25-27	Louisville, KY	Board Meeting	Travel, food, lodging
4.	BHS	6/24-26	Louisville, KY	Board Meeting	Travel, food
5.	BHS	7/10	Louisville, KY	Board Meeting	Travel, food
6.	BHS	7/25-27	Louisville, KY	Board Meeting	Travel, food
7.	BHS	12/2-4	Louisville, KY	Board Meeting	Travel, food, lodging



**FINANCIAL DISCLOSURE REPORT**

Page 5 of 9

Name of Person Reporting

Siler, Eugene E.

Date of Report

05/13/2013

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Community Trust Bank	Mortgage on rental property, Whitley County, KY. Part VII, line 19.	L
2.	Community Trust Bank	Mortgage on rental property, Whitley County, KY. Part VII, line 17.	L
3.	First State Financial	Mortgage on hunting preserve, Whitley County, KY. Part VII, line 16.	K
4.	Community Trust Bank	Guarantor on student loans.	K
5.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Siler, Eugene E.

Date of Report

05/13/2013

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Community Trust Bank (Common Stock)	C	Dividend	M	T				
2. Community Trust Bank Account		None	J	T					
3. KY Mine Supply (Common Stock)	B	Dividend	J	W					
4. Verizon (Common Stock)	C	Dividend	L	T					
5. Exxon Mobil (Common Stock)	E	Dividend	P1	T					
6. Chevron (Common Stock)	B	Dividend	L	T					
7. Regions Financial (Common Stock)	A	Dividend	K	T					
8. Am. Funds (Mutual IRA)	A	Dividend			Sold	11/28/12	J	D	N/A
9. Real estate (██████) (Whitley Co., KY)(Listed as ██████)		None	K	S					
10. Pfizer (Common Stock)	A	Dividend	K	T					
11. Community Trust Bank, Estates 1&2 Accounts	A	Interest	J	T					
12. Community Trust Bank Trust #1 Account	A	Interest	J	T					
13. Community Trust Bank, Estate #3 Account	A	Interest	K	T					
14. Farmers Bank & Capital, Estate #3 Account, CD	A	Interest	J	T					
15. Johnson & Johnson (Common Stock)	B	Dividend	K	T					
16. Flying Rooster Farm, Inc. (Common Stock)	A	Royalty	K	U					
17. Rental Prop. No. 1, Whitley Co., KY (Purchase 2002 \$128,000)	D	Rent	M	R					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 III=\$1,000,001 - \$5,000,000 112=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

# FINANCIAL DISCLOSURE REPORT

Page 7 of 9

<b>Name of Person Reporting</b> Siler, Eugene E.	<b>Date of Report</b> 05/13/2013
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period				D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)		
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)		
18. Procter & Gamble (Common Stock)	A	Dividend	K	T							
19. Rental Prop. No. 3, Whitley Co., KY. (Purchase 2006 \$75,000)		None	L	R							
20. Harry Jeffries Holding, LLC	C	Dividend	J	S							
21. SY Bancorp (pfd stock)	B	Dividend	K	T							
22. Smucker Co.	A	Dividend	J	T							
23. Frontier Communicaitons Corp.	A	Dividend	J	T							

- |  |  |  |  |  |                         |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value  | R = Cost (Real Estate Only)<br>V = Other   | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |
| 3. Value Method Codes<br>(See Column C2)         |  |  |  |  |                         |

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

Name of Person Reporting

Siler, Eugene E.

Date of Report

05/13/2013

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

From VII - Investments

Line 3 - Value of Kentucky Mine Supply stock derived from appraisal in 2013 by corporate officer.

Line 9 - Assessed value is at 100%, for \$30,800.

Line 20 - Assessed value is at 100% and is done annually by the Commonwealth of Kentucky. 2012 assessment was at \$10,777 for this part of the stock (20%).

**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting <b>Siler, Eugene E.</b>	Date of Report 05/13/2013
---	------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Eugene E. Siler**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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