AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sharpe, Gary L.	U.S. District Court, NDNY	05/08/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Chief District Court Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

James T. Foley Courthouse
445 Broadway
Albany, New York 12207

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Sharpe, Gary L.	05/08/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Realty USA - Independent Contractor - Commissions
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Sharpe, Gary L.	05/08/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sharpe, Gary L.	05/08/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. New York College Tuition Savings # 1 (529)	B	Dividend	J	T	Buy (add'l)	01/01/12	J		
2. -Vanguard Aggressive Age-Based Growth Fund					Buy (add'l)	02/01/12	J		
3.					Buy (add'l)	03/01/12	J		
4.					Buy (add'l)	04/01/12	J		
5.					Buy (add'l)	05/01/12	J		
6.					Buy (add'l)	06/01/12	J		
7.					Buy (add'l)	07/01/12	J		
8.					Buy (add'l)	08/01/12	J		
9.					Buy (add'l)	09/01/12	J		
10.					Buy (add'l)	10/01/12	J		
11.					Buy (add'l)	11/01/12	J		
12.					Buy (add'l)	12/01/12	J		
13. New York College Tuition Savings #2 (529)	B	Dividend	J	T	Buy (add'l)	01/01/12	J		
14. -Vanguard Agressive Age-Based Growth Fund					Buy (add'l)	02/01/12	J		
15.					Buy (add'l)	03/01/12	J		
16.					Buy (add'l)	04/01/12	J		
17.					Buy (add'l)	05/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Sharpe, Gary L.	05/08/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/01/12	J		
19.					Buy (add'l)	07/01/12	J		
20.					Buy (add'l)	08/01/12	J		
21.					Buy (add'l)	09/01/12	J		
22.					Buy (add'l)	10/01/12	J		
23.					Buy (add'l)	11/01/12	J		
24.					Buy (add'l)	12/01/12	J		
25. Excel Securities IRA # 1	E	Dividend			Closed	06/14/12	N	E	
26. Edward Jones IRA #1	E	Dividend	M	T	Open	06/14/12	K		
27. -Apple Common ("AAPL")					Buy	06/14/12	M		
28.					Sold (part)	12/28/12	J	A	
29. -Baidu Common ("BIDU")					Buy	06/14/12	J		
30.					Sold	09/12/12	J	A	
31. -Celgene Common ("CELG")					Buy	06/14/12	K		
32.					Sold (part)	12/28/12	J	A	
33. -Qualcomm Common ("QCOM")					Buy	06/14/12	J		
34.					Sold (part)	12/28/12	J	A	

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35. -Coca-Cola Co Common ("KO")					Buy	08/10/12	J		
36.					Sold	12/04/12	J	A	
37. -Facebook Inc Common ("FB")					Buy	09/12/12	J		
38.					Sold (part)	12/28/12	J	A	
39. -Medivation Inc Common ("MDVN")					Buy	10/03/12	J		
40.					Sold	11/28/12	J	A	
41. -Costco Wholesale Corp Common ("COST")					Buy	12/04/12	J		
42.					Sold (part)	12/28/12	J	A	
43. -Cornerstone Progressive Return ("CFP")					Buy	06/14/12	J		
44.					Sold (part)	08/27/12	J	A	
45.					Sold	09/25/12	J	A	
46. -Spdr Gold Tr Gold shs ("GLD")					Buy	06/14/12	K		
47.					Sold (part)	06/29/12	J	A	
48.					Sold (part)	08/10/12	J	A	
49.					Sold (part)	08/27/12	J	A	
50.					Sold (part)	10/24/12	J	A	
51.					Sold	11/28/12	J	A	

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52.	-Oppenheimer Gold & Spl Min A ("OPGSX")					Buy	06/14/12	J		
53.						Sold	08/01/12	J	A	
54.	-United States Gasoline Fund LP ("UGA")					Buy	06/14/12	J		
55.						Sold	06/18/12	J	A	
56.	Edward Jones IRA #2	A	Dividend	J	T	Open	06/14/12	J		
57.	-Direxion Shares Etf Tr Small Cap Bull 3X ("TNA")					Buy	06/14/12	J		
58.	-Embraer SA Common ("ERJ")					Buy	08/22/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Sharpe, Gary L.	05/08/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ Gary L. Sharpe

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544