AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
DeMoss, Jr., Harold R.	U.S. 5th Cir. Ct of Appeals	05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge -Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

U.S. Courthouse
515 Rusk, Suite 12015
Houston, Texas 77002

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Board of Trustees, Open Door Mission
2.	Member	Advisory Committee, Compact for America
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
DeMoss, Jr., Harold R.	05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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DeMoss, Jr., Harold R.	05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
DeMoss, Jr., Harold R.	05/14/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Raymond James Trustee for IRA -See assets 2-27									
2. INVESCO GOVERNMENT FUND	A	Dividend			Sold (part)	04/12/12	J	A	
3.					Sold (part)	05/01/12	J	A	
4.					Sold (part)	06/04/12	J	A	
5.					Sold (part)	07/02/12	J	A	
6.					Sold	07/13/12	K	B	
7. DWS Strategic Gov Sec Fund	B	Dividend	K	T	Sold (part)	02/03/12	J	A	
8.					Sold (part)	04/02/12	J	A	
9. Enterprise Products	A	Dividend	K	T	Buy	04/10/12	K		
10. Mondelez					Spinoff (from line 14)	10/02/12	J		
11.					Sold	10/15/12	J	C	
12. COHEN & STEERS	D	Dividend	L	T					
13. NATIONAL RETAIL PROPERTIES	C	Dividend	K	T					
14. Kraft Foods, Inc.	A	Dividend	K	T	Buy (add'l)	10/13/12	J		
15. Verizon Communications, Inc.	B	Dividend	K	T					
16. Kinder Morgan Energy Partners UT LTD Partner	B	Dividend	K	T					
17. Bank of Montreal	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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DeMoss, Jr., Harold R.	05/14/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Century Link	A	Dividend	K	T	Buy	07/18/12	J		
19. Procter & Gamble	A	Dividend	K	T					
20. H.J. Heinz	A	Dividend	K	T					
21. Total SA	A	Dividend			Sold	04/10/12	K	A	
22. Intel Corporation	A	Dividend	K	T					
23. Linn Energy	B	Dividend	K	T					
24. Nucor	A	Dividend	K	T					
25. R.J. Bank Deposit	A	Distribution	J	T					
26. Northern Trust Co		None	J	T					
27. Well Fargo Bank	A	Interest	K	T					
28. Sowell 693, Ltd		None	J	T					
29. Sunoco, Inc.	A	Royalty	J	T					
30. Devon Energy Operating Co.	A	Royalty	J	T					
31. EnerVest Operating,LLC	B	Royalty	J	T					
32. Prudential Ins. of America	A	Interest	J	T					
33. TwoRock, Inc. royalty lease Houston Cnty, TX (X)	A	Royalty	J	W					
34. Raymond James Trustee for Broker Acct I - See assets 37-52									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Cap Wrld Gwth &Incm	B	Dividend			Sold	02/14/12	L	A	
36. Cummings, Inc.	A	Dividend	K	T	Buy	08/01/12	K		
37. Gwth Fd of America	A	Dividend	L	T	Sold (part)	11/20/12	K	A	
38.	A	Dividend	L	T	Buy (add'l)	12/20/12	J		
39. Kraft	A	Dividend	J	T	Sold (part)	07/27/12	K	A	
40. Emerrson EL	A	Dividend	K	T	Buy	02/17/12	K		
41. Chevron	A	Dividend	K	T	Buy (add'l)	02/17/12	J		
42. Cullen Frost	A	Dividend	K	T	Buy (add'l)	2/17/12	J		
43. Intel Corporation	A	Dividend	K	T					
44. Kinder Morgan	A	Dividend	K	T	Buy	2/17/12	K		
45. United Parcel	A	Dividend	K	T					
46. ETFS Pyhsical Swiss Gold SHS			K	T	Buy	11/26/12	K		
47. National Oil Well	A	Dividend	K	T					
48. United Technology	A	Dividend	K	T					
49. BHP Billiton	A	Dividend	K	T					
50. R.J. Bank Deposit	A	Distribution	K	T					
51. Raymond James Trustee for Broker Acct II - See assets 54-74									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. AT&T	A	Dividend	J	T					
53. NEXTERA ENERGY	A	Dividend	J	T					
54. \Century Link	A	Dividend	J	T	Buy	7/18/12	J		
55. Procter & Gamble Co	A	Dividend			Sold	5/18/12	J	A	
56. Weingarten Rlty Invs Sh Ben Int Reit	A	Dividend	J	T					
57. Enterprise Prod	A	Dividend	J	T					
58. H.J.Heinz	A	Dividend	J	T					
59. McDonalds Corp	A	Dividend	J	T					
60. Verizon	A	Dividend	J	T					
61. R.J. Bank Acct	A	Distribution	J	T					
62. Raymond James IRA Account II-See assets76-77									
63. Enterprise Products	A	Dividend	J	T	Buy	11/17/12	J		
64. R,J. Bank Deposit	A	Distribution	J	T					
65. Raymond James Trustee for Broker Acct III-See Assets 80-83									
66. El Paso County CO CTF PARTN	A	Interest			Redeemed	12/1/12	K	A	
67. Kentucky Asset/Liability Commn Agy Fund	B	Interest	K	T					
68. Nueces River Auth TX Water Supply	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. Dahlonega Georgia Downtown Dev. Authority	A	Interest	K	T	Buy	12/3/12	K		
70. El Paso County Texas			K	T	Buy	11/20/12	K		
71. R.J. Bank Deposit	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. The organization indicated in Part I, line 1 is a non-profit charitable organization and Judge DeMoss is not an "income beneficiary" of this organization. The organization indicated on line 2 is a non-profit educational organization and Judge DeMoss is not an "income beneficiary" of tha organization.

Part III-A and Part III-B. The income previously reported in Part III-A and Part III-B was not "non-investment income," it was income from the personal IRAs of Judge and of Mrs. DeMoss paid out by the funds as mandated by law. As such, the income should not have been as is not now reported in Parts III-A and B. Both funds are reported in Part VII..

Name of Person Reporting	Date of Report
DeMoss, Jr., Harold R.	05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Harold R. DeMoss, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544