

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

<b>1. Person Reporting (last name, first, middle initial)</b>  WilkinsonIII, James H.	<b>2. Court or Organization</b>  Fourth US Circuit Court of Appeals	<b>3. Date of Report</b>  05/09/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  US Circuit Court Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  255 W. Main Street Room 230 Charlottesville, VA 22902		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. to date	I will receive no salary from my former employer, the University of Virginia Law School. There will be no continuing participation (contd Part VIII)
2.	_____
3.	_____

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 10

Name of Person Reporting

WilkinsonIII, James H.

Date of Report

05/09/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law School	March 12 -13	Durham, NC	Delivered Lecture	Lodging/Meals
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 10

Name of Person Reporting <b>WilkinsonIII, James H.</b>	Date of Report 05/09/2013
-----------------------------------------------------------	------------------------------

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

WilkinsonIII, James H.

Date of Report

05/09/2013

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

1.	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Trust #1									
2.	Albemarle Corp Common Stock	A	Dividend	L	T					
3.	AT&T Common Stock	D	Dividend	M	T					
4.	Deere & Co Common Stock	D	Dividend	N	T					
5.	Dupont E I De Nemours & Co Common Stock	C	Dividend	M	T					
6.	Duke Energy Corp Common Stock	C	Dividend	L	T					
7.	General Electric Co Common Stock	C	Dividend	M	T					
8.	Hewlett Packard Common Stock	C	Dividend			Sold (part)	07/26/12	K	D	
9.						Sold	11/23/12	L	F	
10.	Johnson & Johnson Common Stock	B	Dividend	K	T					
11.	Kraft Common Stock	A	Dividend	J	T					
12.	Lily Ely & Co Common Stock	B	Dividend	L	T					
13.	Merck & Co Comon Stock	C	Dividend	L	T					
14.	Mondelez Corp Common		None	J	T	Spinoff (from line 11)	10/05/12	J		
15.	Pfizer & Co Common Stock	B	Dividend	K	T					
16.	Proctor & Gamble Co Common Stock	D	Dividend	N	T					
17.	Providence & Worcester RR Common Stock	A	Dividend	K	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1H=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$1,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>WilkinsonIII, James H.</b>	Date of Report <b>05/09/2013</b>
-----------------------------------------------------------	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18.	Royal Dutch Petroleum Shell Co Common Stock	D	Dividend	M	T						
19.	Spectra Energy Corp Common Stock	B	Dividend	L	T						
20.	SunTrust Bank Inc Common Stock	A	Dividend	K	T						
21.	Ridgeworth Fd - Large Cap Core Growth Fund	A	Dividend	K	T						
22.	Ridgeworth Fd - Sm Cap Val Equity	C	Dividend	L	T						
23.	Ridgeworth Fd - MidCap Value Eqty	B	Dividend	M	T						
24.	Ridgeworth Fd - Intl Equity	D	Dividend	N	T						
25.	Ridgeworth Fd - Va Inter Mun Bond	F	Interest	P1	T	Buy (add'l)	01/24/12	K			
26.	Vanguard Fd- Intl Eqty IDX MSCI Emerg Mkt	B	Dividend	K	T						
27.	Federated Strategic Value Fd	C	Dividend	M	T	Buy	01/24/12	L			
28.						Buy (add'l)	07/27/12	K			
29.						Buy (add'l)	11/23/12	K			
30.	Ridgeworth Fd - US Govt Sec Ultra Short	A	Interest	M	T	Buy	02/12/12	L			
31.						Buy (add'l)	02/15/12	J			
32.	Federated FD - TR MMMKT	A	Interest	L	T						
33.											
34.	IRA #1										

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (Sec Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 III=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (Sec Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (Sec Column C2) U=Book Value V=Other W=Estimated

# FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting <b>Wilkinson II, James H.</b>	Date of Report 05/09/2013
-----------------------------------------------------------	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	35. Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	J	T				
36. Ridgeworth Fd - Large Cap Growth	D	Dividend	K	T					
37. Ridgeworth Fd - Large Cap Value Equity	A	Dividend	K	T					
38. Ridgeworth Fd - Emerging Growth		None			Sold	02/03/12	J	A	
39. Ridgeworth Fd - Intl Equity		None	K	T	Buy	08/27/12	J		
40. Ridgeworth Fd - Intl Equity Index	A	Dividend			Sold	08/27/12	K	A	
41. Ridgeworth Fd - Small Cap Value Equity	A	Dividend	J	T	Buy	02/03/12	J		
42. Federated FD - TR MMMKT	A	Interest	K	T					
43.									
44. Trust #2									
45. Albemarle Corp Common Stock	A	Dividend	K	T					
46. AT&T Common Stock	B	Dividend	K	T					
47. Dupont E I De Nemours & Co Common Stock	A	Dividend	K	T					
48. Duke Energy Corp Common Stock	A	Dividend	J	T					
49. Hewlett Packard Common Stock	A	Dividend			Sold (part)	07/26/12	J	C	
50.					Sold	11/23/12	K	D	
51. Johnson & Johnson Common Stock	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

# FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting <b>Wilkinson III, James H.</b>	Date of Report <b>05/09/2013</b>
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	52. Lincoln Natl Corp Common Stock	B	Dividend	M	T				
53. Merck & Co Common Stock	B	Dividend	K	T					
54. Pfizer & Co Common Stock	A	Dividend	K	T					
55. Royal Dutch Petroleum Shell Co Common Stock	B	Dividend	K	T					
56. Spectra Energy Corp Common Stock	A	Dividend	J	T					
57. Ridgeworth Fd -Aggressive Growth		None	K	T					
58. Ridgeworth Fd - Va Inter Mun Bond	D	Interest	M	T	Buy (add'l)	07/24/12	J		
59. Federated FD - Strategic Value Fund	A	Dividend	K	T	Buy	11/23/12	K		
60. Federated FD - TR MMMKT	A	Interest	K	T					
61.									
62. Deposit Accounts									
63. SunTrust Interest Checking #1	A	Interest	K	T					
64. SunTrust Checking #2		None	J	T					
65. SunTrust Checking #3		None	J	T					
66.									
67. Trust #3									
68. Ridgeworth Fd - Small Cap Growth Stock		None			Sold	09/04/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 10

Name of Person Reporting <b>WilkinsonIII, James H.</b>	Date of Report <b>05/09/2013</b>
-----------------------------------------------------------	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
69. Ridgeworth Fd - Intl Equity	B	Dividend	L	T						
70. Ridgeworth Fd - Large Cap Growth Stock	E	Dividend	M	T	Buy (add'l)	12/20/12	K			
71. Ridgeworth Fd - Large Cap Value Equity	C	Dividend	M	T						
72. Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	K	T	Buy (add'l)	9/4/12	J			
73.					Buy (add'l)	12/20/12	J			
74. Ridgeworth Fd - Va Inter Mun Bond	D	Interest	N	T	Buy (add'l)	12/21/12	J			
75. Federated FD - TR MMMKT	A	Interest	J	T						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 10

Name of Person Reporting

WilkinsonIII, James H.

Date of Report

05/09/2013

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part II: Agreements.

RE: I will rec, contd.... in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

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Trust #1

My Revocable Trust

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IRA

My current IRA-

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Trust #2

██████████ Revocable Trust

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Trust #3

Irrevocable GST Trust ██████████

This trust was created from ██████████ Trust (her Revocable Trust became Irrevocable Interim Trust - after ██████████ death) which was split into two shares, after IRS approval was received, ██████████ My share later became this trust. When it was initially funded, it only held cash, but it has now been fully invested. The overall asset allocation now includes various asset classes represented by a diverse group of SunTrust Bank mutual funds (Ridgeworth Funds) and Federated money market funds.

**FINANCIAL DISCLOSURE REPORT**

Page 10 of 10

Name of Person Reporting	Date of Report
WilkinsonIII, James H.	05/09/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James H. WilkinsonIII**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544