

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) GWIN, JAMES S.	2. Court or Organization N.D. OHIO	3. Date of Report 06/04/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, ACTIVE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address CARL B. STOKES US COURT HOUSE 801 WEST SUPERIOR AVENUE CLEVELAND, OH 44113		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. At retirem	STATE OF OHIO PUBLIC EMPLOYEE RETIREMENT SYSTEM AND OHIO STATE TEACHERS RETIREMENT SYSTEM. RIGHT TO RETIREMENT BENEFITS
2.	_____
3.	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Monthly	INTERNATIONAL BUSINESS MACHINES, INC., pension
2. Monthly	salary, bonus, restricted stock
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting GWIN, JAMES S.	Date of Report 06/04/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
1. IBM common stock (IBM)	D	Dividend	N	T	Sold (part)	12/14/12	J	B		
2. Galaxy mutual funds	A	Dividend	J	T						
3. Berkshire Hathaway common stock (BRKA)	A	Dividend	M	T						
4. Vanguard Total Stock Market Index Fund, tax deferred	A	Dividend	N	T	Variou purch		K			
5. Merrill Lynch money market fund	A	Dividend			Closed	03/21/12	K			
6. T.Rowe Price Mid-Cap Mutual Fund (RPMGX)	A	Dividend			Sold	07/13/12				
7. ██████████ common stock,	D	Dividend	N	T						
8. Fidelity Contra Fund, mutual fund (FCNTX)	A	Dividend			Sold	07/13/12	M	E		
9. LifePath 2035	A	Dividend	M	T	Buy	07/13/12	M			
10. Davis New York Venture Fund, mutual fund (NYVTX)	A	Dividend			Sold	04/04/12	L	D		
11. Heinz, common stock (HNZ)	A	Dividend			Sold	12/19/12	K	A		
12. Cisco, common stock (CSCO)	A	Dividend			Sold	03/29/12	J	A		
13. Walt Disney Co., common stock (DIS)	A	Dividend			Sold	12/14/12	J	A		
14. Microsoft Corp., common stock (MSFT)	A	Dividend			Sold	12/14/12	J	A		
15. Schwab Money Market Fund	E	Dividend	M	T						
16. JPMorgan Chase & Co. (JPM)	A	Dividend			Sold	04/30/12	K	A		
17. PublicSquare Partners, LLC	C	Dividend	M	U	Buy (add'l)	04/09/12	K			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$100,001 - \$1,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting GWIN, JAMES S.	Date of Report 06/04/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18.	Ishares S&P Midcap 400 Growth Index Fund (IJK)	A	Dividend	K	T	Buy (add'l)	03/28/12	J			
19.						Sold (part)	10/03/12	J	A		
20.	WisdomTree Emerging ETF Mkts Local Debt Fund (ELD)	A	Dividend	K	T	Buy	10/26/12	K			
21.	Ishares Dow Jones U S Telecommun Sector Index Fund (IYZ)	B	Dividend	K	T	Sold (part)	07/09/12	J	A		
22.						Sold (part)	09/20/12	J	A		
23.						Sold (part)	10/03/12	J	A		
24.	Materials Select Sector SPDR Fund (XLB)	A	Dividend			Sold	04/04/12	K	C		
25.	Energy Select Sector SPDR Fund (XLE)	A	Dividend	L	T	Sold (part)	04/04/12	J	A		
26.						Sold (part)	09/17/12	J	A		
27.						Sold (part)	10/03/12	J	A		
28.	iShares Barclays Intermediate Credit Bond Fund (CIU)	A	Dividend	M	T	Buy (add'l)	03/28/12	K			
29.						Buy (add'l)	07/09/12	K			
30.	PowerShares DB Commodity Index Tracking Trust (DBC)	A	Dividend	L	T	Buy (add'l)	04/04/12	J			
31.						Buy (add'l)	07/09/12	J			
32.						Sold (part)	10/03/12	J	A		
33.	iShares Dow Jones US Technology Sector Index Fund (IYW)	A	Dividend	M	T	Sold (part)	04/04/12	J	A		
34.						Buy (add'l)	07/09/12	J			

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
PI = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	35.					Sold (part)	10/03/12	J	A
36. Consumer Discretionary Select Sector SPDR Fund (XLY)	A	Dividend	L	T	Buy (add'l)	03/28/12	J		
37.					Buy (add'l)	04/04/12	J		
38.					Buy (add'l)	10/03/12	J		
39. Rydex ETF Trust S&P Equal Weight Financials (RYF)	A	Dividend	L	T	Sold (part)	04/04/12	J	C	
40.					Buy (add'l)	07/09/12	J		
41.					Sold (part)	10/03/12	J	A	
42. Health Care Select Sector SPDR Fund (XLV)	A	Dividend	L	T	Sold (part)	04/04/12	J	A	
43.					Sold (part)	07/09/12	J	A	
44.					Sold (part)	10/03/12	K	B	
45. AQR Managed Futures Strategy Fund (AQMNX)	F	Dividend	J	T	Buy	02/06/12	L		
46.					Buy (add'l)	03/28/12	J		
47.					Sold (part)	07/09/12	J	B	
48.					Buy (add'l)	10/03/12	J		
49. Wasatch Long Short Fund (FMLSX)	A	Dividend	L	T	Buy	03/28/12	L		
50.					Sold (part)	07/09/12	J		
51.					Sold (part)	10/03/12	J	A	

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|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
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H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
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| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. iShares MSCI Pacific ex-Japan Fund (EPP)	B	Int./Div.	K	T	Buy	02/06/12	K		
53.					Buy (add'l)	03/29/12	J		
54.					Sold (part)	07/09/12	J	A	
55. iShares MSCI United Kingdom Index Fund (EWU)	A	Dividend	K	T	Buy	04/04/12	K		
56.					Buy (add'l)	07/09/12	J		
57.					Sold (part)	10/03/12	J	A	
58. iShares S&P Smallcap 600 Growth Index Fund (IJT)	A	Dividend	K	T	Buy	03/28/12	K		
59.					Sold (part)	07/09/12	J	A	
60. iShares Barclays 3-7 Year Treasury Bond Fund (IEI)	B	Dividend	M	T	Buy	04/04/12	M		
61.					Buy (add'l)	07/09/12	K		
62.					Buy (add'l)	10/03/12	J		
63. iShares Cohen & Steer Realty Index Fund (ICF)	A	Dividend	M	T	Buy	03/29/12	L		
64.					Sold (part)	07/09/12	J	A	
65.					Sold (part)	09/05/12	K	A	
66.					Sold (part)	10/03/12	J	A	
67. iShares Russell 2000 Value Index Fund (IWN)	B	Dividend	K	T	Buy	04/04/12	K		
68.					Sold (part)	10/03/12	J	A	

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
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NONE (No reportable income, assets, or transactions.)

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		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		69. Market Vectors Gold Miners Index ETF (GDX)	A	Dividend	K	T	Buy	10/03/12	K	
70. PowerShares Fundamental High Yield Bond Portfolio (PHB)	C	Dividend	L	T	Buy	01/17/12	L			
71. PowerShares DB US Dollar Index Trust (UDN)	B	Dividend	K	T	Buy	04/04/12	K			
72.					Sold (part)	06/04/12	K	A		
73.					Buy (add'l)	09/05/12	K			
74.					Buy (add'l)	10/03/12	J			
75. PowerShares Exchange Traded Funds, Emerging Mkts. (PIE)	A	Dividend	K	T	Buy	02/06/12	K			
76.					Buy (add'l)	03/28/12	J			
77.					Sold (part)	10/03/12	J	A		
78. Industrial Select Sector SPDR Fund (XLI)	B	Dividend	M	T	Buy	01/17/12	M			
79.					Sold (part)	04/04/12	J	B		
80.					Buy (add'l)	07/09/12	J			
81.					Sold (part)	10/03/12	J	A		
82. Consumer Staples Select Sector SPDR Fund (XLP)	A	Dividend	L	T	Buy	02/08/12	L			
83.					Sold (part)	04/04/12	K			
84.					Sold (part)	10/03/12	J	A		
85. SPDR S&P MidCap 400 ETF (MDY)	A	Dividend	L	T	Buy	03/29/12	L			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 I2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	86.					Sold (part)	07/09/12	J	
87. Vanguard FTSE ETF Small Cap (VSS)	A	Dividend	K	T	Buy	02/06/12	K		
88.					Buy (add'l)	10/03/12	J		
89. Vanguard Mortgage-Backed Securities ETF (VMBS)	C	Dividend	N	T	Buy	02/06/12	K		
90.					Buy (add'l)	03/29/12	L		
91.					Buy (add'l)	04/04/12	L		
92.					Buy (add'l)	07/09/12	L		
93. Vanguard FTSE Emerging Markets ETF (VWO)	A	Dividend	L	T	Buy	02/06/12	K		
94.					Buy (add'l)	03/29/12	K		
95.					Sold (part)	10/03/12	J	A	
96.									
97.									
98.									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000

3. Value Method Codes P3=\$25,000,001 - \$50,000,000 Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding the investment in the Vanguard Fund, this investment is made through an employer 401 plan sponsored at ██████████ employer. The yearly statutory maximum is met in the first quarter although we do not know the exact dates of purchase.

Regarding the Thrift Savings Plan Common Stock Index Fund, this investment is made through an employer 401 plan sponsored by the U.S. Courts. Maximum allowable deductions and purchases are made each month.

Regarding Schwab Money Market Fund (Part VII, 24) this investment is a money market fund to which investments are made relatively frequently and infrequent withdrawals occur. The account is used as a savings type account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAMES S. GWIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544