AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lungstrum, John W.	USDC, District of Kansas	05/15/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. District Senior Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

517 U.S. Courthouse
500 State Avenue
Kansas City, KS 66101

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	05/07/2012 - 05/10/2012	Washington DC	Board of Directors Meeting	Travel Expenses
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Lungstrum, John W.	05/15/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Bank (Money Mkt)	A	Dividend	M	T	Deps. & W/ds				
2. Tucson AZ Wtr Dist. (Bond)	A	Interest	K	T					
3. College Station TX School District (Bond)	B	Interest	K	T					
4. Johnson Cty, KS Water Dist	A	Interest	K	T					
5. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	K	T					
6. EV Floating Rate A	A	Interest	K	T	Buy	06/11/12	K		
7. Lawrence KS GO (Bond)	A	Interest			Sold	10/15/12	J	A	
8. Johnson Co Wtr Dist (Bond)	B	Interest			Sold	12/03/12	K	A	
9. Derby GO (Bond)	B	Interest			Sold	12/03/12	K	A	
10. Glassboro NJ (Bond)	B	Interest	K	T	Buy	06/11/12	J		
11. Clearbridge Energy (Stock)	B	Dividend	K	T	Buy	10/11/12	K		
12. Coffeyville Electric Utility (Bond)	A	Interest			Sold	06/01/12	J	A	
13. Johnson Co Wtr Dist (Bond)	B	Interest			Sold	12/03/12	K	A	
14. EV Kansas Municipals (Mutual fund	C	Interest	K	T					
15. Blackrock Res & Comm Strat TR (stock)	B	Dividend	J	T					
16. Gabelli Div & Incm TR (stock)	B	Dividend	K	T					
17. Tortoise MLP Fund Inc. (stock)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Lungstrum, John W.	05/15/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA	E	Interest	O	T					
19. -MSDW Liq. Asset (Money market fund)									
20. -Select Future Fund (Mutual Fund)									
21. -Goldman Sachs Group, Inc. (Bond)									
22. -May Dept. Stores Co. (Note)									
23. -Lord Abbott Bond Deb C (Mutual Fund)									
24. -Invesco Commodities Strat A (Mutual Fund)									
25. -SBC Communications Inc. (Bond)					Sold	06/14/12	J	A	
26. -Oppenheimer Int'l Bond FD (Mutual Fund)									
27. -MS Charter Graham (Mutual Fund)									
28. -MS Charter Aspect L (Mutual Fund)									
29. -MS Spectrum Tech (Mutual Fund)									
30. -MS Emerging Mkts Domestic Debt (Mutual Fund)									
31. -Van KMPN Dynamic CR OPP Fund (Mutual Fund)									
32. -H&Q Healthcare Fd (Mutual Fund)									
33. -Blackrock US Opportunities (Mutual Fund)					Sold (part)	06/14/12	J	A	
34. -Blackrock Equity Div (Mutual Fund)									

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Henderson Int'l Opportunities (Mutual Fund)					Buy (add'l)	06/14/12	J		
36. -Jennison Nat'l Resources A (Mutual Fund					Buy (add'l)	06/14/12	J		
37. -Lazard Emerging Markets Open (Mutual Fund)					Sold (part)	06/14/12	J	A	
38. -Nuveen Tradewinds Val Opp. A (Mutual Fund					Sold	06/14/12	K	A	
39. -Oppenheimer Gold & Spec Min A (Mutual Fund)					Buy (add'l)	06/14/12	J		
40. -Thornburg Int'l Value A (Mutual Fund)					Buy (add'l)	06/14/12	J		
41. -Tortoise MLP (Mutual Fund)									
42. -Alger Small Cap Growth Inst (Mutual Fund)					Sold	06/14/12	K	A	
43. -Nuveen GBL VLE OPPORT (mutual fund)					Sold	06/14/12	J	A	
44. -Aston/Montag & Caldwell GRW N (Mutual Fund)					Sold (part)	01/19/12	J	A	
45. -Aston/Montag & Caldwell GRW N (Mutual Fund)					Sold (part)	06/14/12	J	A	
46. -Blackrock Equity Div A (Mutual Fund)					Sold (part)	06/14/12	J	A	
47. -Invesco Premier PTF (Mutual Fund)					Buy	06/14/12	J		
48. -Invesco Premier PTF (Mutual Fund)					Sold (part)	07/17/12	J	A	
49. -Artisan Midcap Value (Mutual Fund)					Buy	06/14/12	K		
50. -Royce Value Plus (Mutual Fund)					Buy	06/14/12	K		
51. -Invesco Floating Rate A (Mutual Fund)					Buy	06/12/12	J		

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52. -JP Morgan Chase & Co (bond)					Buy	06/12/12	J		
53. -Tortoise North Am Energy Corp (stock)					Buy	06/11/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

I have treated reinvestment programs as exempt because they merely represent a change in the form of the asset.

With regard to Line 1, I have not provided dates and value codes because the transactions are numerous, usually small and are the equivalent of bank deposits and withdrawals. Morgan Stanley Bank was formerly known as Morgan Stanley Liquid Asset Fund.

With regard to the IRA (line 18), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John W. Lungstrum

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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