

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

1. Person Reporting (last name, first, middle initial) GIBBONS, JULIA S.	2. Court or Organization US COURT OF APPEALS SIXTH CIRCUIT	3. Date of Report 06/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <hr/> 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

GIBBONS, JULIA S.

Date of Report

06/26/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	STATE OF TN: SALARY AS COMMISSIONER OF SAFETY & HOMELAND SECURITY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	FIRST AMENDMENT CENTER	2/15 - 2/17/12	NASHVILLE, TN	MOOT COURT	MEALS, TRANSPORTATION
2.	UNIVERSITY OF ALABAMA	3/20 - 3/21/12	TUSCALOOSA, AL	MOOT COURT	MEALS, TRANSPORTATION, LODGING
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting GIBBONS, JULIA S.	Date of Report 06/26/2013
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. BANK	CREDIT CARD	K
2.	CHASE VISA	CREDIT CARD	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting GIBBONS, JULIA S.	Date of Report 06/26/2013
--	------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		1.	IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T			
2.	IRA-EQUITABLE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3.	SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4.	FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
5.	STATE OF TN 401(K)									
6.	-REGIONS BANK					Closed	06/05/12	J	A	
7.	-FIDELITY PURITAN FUND	A	Dividend	J	T					
8.	-NATIONWIDE BANK		None	J	T	Open	06/05/12	J		
9.	IRA ROLLOVER RETIREMENT PLAN									
10.	-AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	A	Dividend	J	T	Sold (part)	12/24/12	J	A	
11.	-HARTFORD MUTUAL FDS: HARTFORD CAPITAL APPRECIATION FUND	A	Dividend	K	T					
12.	-FRANKLIN FDS: FRANKLIN TEMPLETON MUTUAL DISCOVERY FUND	A	Dividend	J	T					
13.	-HENDERSON INTERNATIONAL OPPORTUNITIES FUND		None			Sold	10/24/12	J	A	
14.	-EATON VANCE FDS:GREATER INDIA FUND		None			Sold	10/24/12	J	A	
15.	-AMERICAN FUND: CAPITAL WORLD GROWTH & INCOME FUND	A	Dividend			Sold	05/23/12	J	A	
16.	-IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

GIBBONS, JULIA S.

Date of Report

06/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		17.	-VIRTUS MUTUAL FDS: ALTERNATIVES DIVERSIFIER FUND	A	Dividend	J	T			
18.	-ING FDS: GROWTH & INCOME FUND	A	Dividend	J	T					
19.	-PIONEER FUND: PIONEER FUND CLASS A M/F	B	Dividend	J	T					
20.	-CONVERGYS CORP - STOCK	A	Dividend	J	T					
21.	-REGIONS FDIC CASH ACCOUNT	A	Interest	J	T					
22.	-CITIGROUP INC - STOCK	A	Dividend	J	T					
23.	-FEDERATED MDT SMALL CAP VALUE FUND A		None			Sold	11/07/12	J	B	
24.	-PIONEER CULLEN VALUE FUND A		None			Sold	05/31/12	J	A	
25.	-ING EMERGING MARKET FUND CL A	A	Dividend	J	T	Sold (part)	10/24/12	J	A	
26.	-E HOUSE CHINA HOLDINGS-STOCK	A	Dividend			Sold (part)	05/15/12	J	A	
27.						Sold	09/13/12	J	A	
28.	-FIRST TRUST HIGH INCOME LONG/ SHORT FUND	A	Dividend	J	T					
29.	-RS GLOBAL NATURAL RESOURCES		None			Sold	11/21/12	J	A	
30.	-EPOCRATES		None			Sold	01/19/12	J	A	
31.	-FORD MOTOR CO	A	Dividend			Sold	08/29/12	J	A	
32.	-RARE ELEMENT RES		None	J	T					
33.	-PYXIS LONG/SHORT OPPORTUNITIES	A	Dividend	J	T					

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000
 R = \$25,000,001 - \$50,000,000 S = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

GIBBONS, JULIA S.

Date of Report

06/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	34. -RESEARCH IN MOTION		None			Sold	09/20/12	J	A
35. -RFMICRO DEVICES		None	J	T	Buy	01/06/12	J		
36. -DIGI INTERNATIONAL		None	J	T	Buy	01/20/12	J		
37.					Buy (add'l)	12/21/12	J		
38. -PIMCO TOTAL RETURN CLASS A	B	Dividend	K	T	Buy	05/23/12	J		
39.					Buy (add'l)	05/31/12	J		
40.					Buy (add'l)	10/24/12	J		
41. -APPLE INC	A	Dividend	J	T	Buy	10/26/12	J		
42.					Buy (add'l)	11/21/12	J		
43. -GOLDMAN SACHS GLOBAL CD		None	J	T	Buy	10/29/12	J		
44. AXA EQUITABLE LIFE INS CO	A	Interest	J	T					
45. AXA EQUITABLE LIFE INS CO	A	Interest	K	T					
46. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	B	Interest	J	T	Buy	01/01/12	J		

- | | | | | | |
|--|---|--|--|--|---|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000
J = More than \$50,000,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/26/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SCHEDULE VII, LINE 7: NAME WAS INCORRECTLY SHOWN LAST YEAR AS FIDELITY PUTNAM FUND. CORRECT NAME IS FIDELITY PURITAN FUND.

SCHEDULE VII, LINE 25: ING EMERGING COUTNRIES FUND WAS MERGED INTO ING EMERGING MARKET FUND

SCHEDULE VII, LINE 33: HIGHLAND EQUITY OPPORTUNITIES CHANGED NAME TO PYXIS LONG/SHORT OPPORTUNITIES

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/26/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **JULIA S. GIBBONS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544