AO 10 Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	06/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/26/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	STATE OF TN: SALARY AS COMMISSIONER OF SAFETY & HOMELAND SECURITY
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	FIRST AMENDMENT CENTER	2/15 - 2/17/12	NASHVILLE, TN	MOOT COURT	MEALS, TRANSPORTATION
2.	UNIVERSITY OF ALABAMA	3/20 - 3/21/12	TUSCALOOSA, AL	MOOT COURT	MEALS, TRANSPORTATION, LODGING
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. BANK	CREDIT CARD	K
2.	CHASE VISA	CREDIT CARD	J
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
2.	IRA-EQUITABLE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3.	SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4.	FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
5.	STATE OF TN 401(K)									
6.	-REGIONS BANK					Closed	06/05/12	J	A	
7.	-FIDELITY PURITAN FUND	A	Dividend	J	T					
8.	-NATIONWIDE BANK		None	J	T	Open	06/05/12	J		
9.	IRA ROLLOVER RETIREMENT PLAN									
10.	-AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	A	Dividend	J	T	Sold (part)	12/24/12	J	A	
11.	-HARTFORD MUTUAL FDS: HARTFORD CAPITAL APPRECIATION FUND	A	Dividend	K	T					
12.	-FRANKLIN FDS: FRANKLIN TEMPLETON MUTUAL DISCOVERY FUND	A	Dividend	J	T					
13.	-HENDERSON INTERNATIONAL OPPORTUNITIES FUND		None			Sold	10/24/12	J	A	
14.	-EATON VANCE FDS:GREATER INDIA FUND		None			Sold	10/24/12	J	A	
15.	-AMERICAN FUND: CAPITAL WORLD GROWTH & INCOME FUND	A	Dividend			Sold	05/23/12	J	A	
16.	-IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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GIBBONS, JULIA S.	06/26/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. -VIRTUS MUTUAL FDS: ALTERNATIVES DIVERSIFIER FUND	A	Dividend	J	T					
18. -ING FDS: GROWTH & INCOME FUND	A	Dividend	J	T					
19. -PIONEER FUND: PIONEER FUND CLASS A M/F	B	Dividend	J	T					
20. -CONVERGYS CORP - STOCK	A	Dividend	J	T					
21. -REGIONS FDIC CASH ACCOUNT	A	Interest	J	T					
22. -CITIGROUP INC - STOCK	A	Dividend	J	T					
23. -FEDERATED MDT SMALL CAP VALUE FUND A		None			Sold	11/07/12	J	B	
24. -PIONEER CULLEN VALUE FUND A		None			Sold	05/31/12	J	A	
25. -ING EMERGING MARKET FUND CL A	A	Dividend	J	T	Sold (part)	10/24/12	J	A	
26. -E HOUSE CHINA HOLDINGS-STOCK	A	Dividend			Sold (part)	05/15/12	J	A	
27.					Sold	09/13/12	J	A	
28. -FIRST TRUST HIGH INCOME LONG/ SHORT FUND	A	Dividend	J	T					
29. -RS GLOBAL NATURAL RESOURCES		None			Sold	11/21/12	J	A	
30. -EPOCRATES		None			Sold	01/19/12	J	A	
31. -FORD MOTOR CO	A	Dividend			Sold	08/29/12	J	A	
32. -RARE ELEMENT RES		None	J	T					
33. -PYXIS LONG/SHORT OPPORTUNITIES	A	Dividend	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. -RESEARCH IN MOTION		None			Sold	09/20/12	J	A	
35. -RFMICRO DEVICES		None	J	T	Buy	01/06/12	J		
36. -DIGI INTERNATIONAL		None	J	T	Buy	01/20/12	J		
37.					Buy (add'l)	12/21/12	J		
38. -PIMCO TOTAL RETURN CLASS A	B	Dividend	K	T	Buy	05/23/12	J		
39.					Buy (add'l)	05/31/12	J		
40.					Buy (add'l)	10/24/12	J		
41. -APPLE INC	A	Dividend	J	T	Buy	10/26/12	J		
42.					Buy (add'l)	11/21/12	J		
43. -GOLDMAN SACHS GLOBAL CD		None	J	T	Buy	10/29/12	J		
44. AXA EQUITABLE LIFE INS CO	A	Interest	J	T					
45. AXA EQUITABLE LIFE INS CO	A	Interest	K	T					
46. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	B	Interest	J	T	Buy	01/01/12	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SCHEDULE VII, LINE 7: NAME WAS INCORRECTLY SHOWN LAST YEAR AS FIDELITY PUTNAM FUND. CORRECT NAME IS FIDELITY PURITAN FUND.

SCHEDULE VII, LINE 25: ING EMERGING COUTNRIES FUND WAS MERGED INTO ING EMERGING MARKET FUND

SCHEDULE VII, LINE 33: HIGHLAND EQUITY OPPORTUNITIES CHANGED NAME TO PYXIS LONG/SHORT OPPORTUNITIES

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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