AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Jordan, Kent A.	Third Circuit Court of Appeals	05/07/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

844 N. King Street
Unit 10
Wilmington, DE 19801

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member of Execuitve Committee	Richard S. Rodney Inn of Court
2.	Board Member	American Inns of Court
3.	Board Member	Ministry of Caring
4.	Board Member	Sacred Heart Village
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2012	Vanderbilt University - teaching	$8,955.00
2.	2012	University of Pennsylvania School of Law - teaching	$10,000.00
3.	2012	Vanderbilt University - teaching	$8,000.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Webster Dermatology - salary
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Vanderbilt University	2/10-18/12	Nashville, TN	teaching	food, lodging and transportation
2.	Pepperdine University	3/14-16/12	Malibu, CA	speaker at seminar	food, lodging and transportation
3.	Georgetown University	3/29/12	Washington, DC	moot court judge	food and transportation
4.	G.S. Rich American Inn of Courts	5/12/12	Washington, DC	annual dinner	food and transportation
5.	American Inns of Court Foundation	5/17-20/12	Nashville, TN	Spring Board Meeting	food, lodging and transportation
6.	University of Naples Federico II	6/10-17/12	Naples, Italy	speaker at seminar	food, lodging and transportation

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7. The Sedona Conference	10/10-12/12	Del Mar, CA	speaker at seminar	food, lodging and transportation
8. American Inn of Court Foundation	10/19-20/12	Washington, DC	Fall Board Meeting	food, lodging and transportation
9. Vanderbilt University	10/27-11/2/12	Nashville, TN	teaching	food, lodging and transportation
10. George Washington University	12/7-12/16/12	Delhi, India	speaking at seminar	food, lodging and transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	University of Naples Federico II	Airline ticket to Naples, Italy [redacted]	$1,464.20
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Jordan, Kent A.	05/07/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Schwab U.S. Treasury Money Fund	A	Dividend	J	T					
2.	Gateway Index Plus Fund	A	Dividend	K	T					
3.	Loomis Sayles Bond	B	Dividend	K	T	Buy (add'l)	11/28/12	J		
4.	JPMorgan Core Plus Bond A	A	Dividend			Sold	09/14/12	K	A	
5.	DWS High-Yield Fund	B	Dividend	K	T					
6.	Rydex Healthcare	A	Dividend	K	T					
7.	Rydex Inverse High Yield Strategy H		None			Buy	06/01/12	J		
8.						Sold	07/03/12	J		
9.						Buy	10/16/12	J		
10.						Buy (add'l)	11/15/12	J		
11.						Sold	12/17/12	K		
12.	Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	01/09/12	J		
13.						Buy (add'l)	01/25/12	J		
14.						Buy (add'l)	02/06/12	J		
15.						Buy (add'l)	03/30/12	J		
16.						Sold (part)	05/18/12	J		
17.						Sold (part)	11/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Jordan, Kent A.	05/07/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. iShares Gold Trust		None			Buy	01/11/12	J		
19.					Buy (add'l)	02/02/12	J		
20.					Sold (part)	03/06/12	J		
21.					Sold	05/09/12	J		
22. iShares DJ US IDX Transportation	A	Dividend			Buy	02/13/12	J		
23.					Sold	05/18/12	J		
24. Rydex Leisure	A	Dividend	K	T	Buy	03/13/12	J		
25.					Buy (add'l)	07/18/12	J		
26.					Buy	11/29/12	J		
27. Franklin Utilities Series Cl A	A	Dividend	J	T	Buy	05/16/12	J		
28.					Buy (add'l)	06/18/12	J		
29.					Buy (add'l)	07/26/12	J		
30.					Sold (part)	09/17/12	J	A	
31.					Sold (part)	11/12/12	J		
32. Franklin Gold and Precious Metals A		None			Buy	05/25/12	J		
33.					Sold	07/23/12	J		
34. Schwab U.S. Broad Market	A	Dividend	K	T	Buy	08/15/12	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	09/14/12	J		
36. ICON Materials Fund Class S		None			Buy	09/20/12	J		
37.					Sold	11/15/12	J		
38. Rydex Transportation Fund		None	K	T	Buy	12/04/12	J		
39.					Buy (add'l)	12/18/12	J		
40. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
41. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
42. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
43. Capital One (X)	A	Interest	J	T					
44.									
45.									
46.									

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Jordan, Kent A.	05/07/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kent A. Jordan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544