AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Flanagan, Louise W.	US District Court, NC	05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
US District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

US Courthouse
413 Middle Street
New Bern, NC 28560

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Flanagan, Louise W.	05/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Self-employed as an attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Flanagan, Louise W.	05/15/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Flanagan, Louise W.	05/15/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Deposits at First Citizens Bank	A	Interest	J	T					
2.	Legg Mason American Leading Co	A	Dividend	J	T					
3.	Legg Mason Value Trust	A	Dividend	K	T					
4.	American Funds Europacific A		None			Sold	08/21/12	L	A	
5.	Noucoux UN, LLC (Real estate in New Bern & Greenville, NC)	D	Rent	M	U					
6.	Columbia Acorn		None			Sold	08/21/12	M	D	
7.	DWS Drehman SC		None			Sold	08/21/12	L	A	
8.	MET GIC		None	O	T	Sold (part)	08/21/12	O	D	
9.	Davis New York Venture - A		None			Sold	07/02/12	M	D	
10.	Eaton Vance Large Cap Value - A		None			Sold	07/02/12	M	D	
11.	Citi Bank, NA (South Dakota)		None			Redeemed	05/15/12	J	A	
12.	Perkins Mid Cap Value I		None			Sold	08/21/12	L	A	
13.	PIMCO Total Return Admin		None	O	T	Sold (part)	08/21/12	M	D	
14.	Mainstay Large Cap Growth		None			Sold	08/21/12	M	D	
15.	Scholars Choice 529 Plan, Balance 50/50 Portfolio NO CONTROL		None	K	T					
16.	Scholars Choice 529 Plan, Balance 50/50 Portfolio NO CONTROL		None			Sold	08/03/12	K	B	
17.	DoubleLine Total Return Bond		None	M	T	Buy	09/13/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Flanagan, Louise W.	05/15/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DoubleLine Total Return Bond		None	J	T	Buy	10/02/12	J		
19. DoubleLine Total Return Bond		None	J	T	Buy	11/02/12	J		
20. DoubleLine Total Return Bond		None	K	T	Buy	11/08/12	K		
21. JP Morgan Core Bond Fund Select Class		None	M	T	Buy	09/12/12	M		
22. JP Morgan Core Bond Fund Select Class		None	J	T	Buy	10/01/12	J		
23. JP Morgan Core Bond Fund Select Class		None	J	T	Buy	11/01/12	J		
24. JP Morgan Core Bond Fund Select Class		None	L	T	Buy	11/08/12	L		
25. Loomis Sayles Bond Fund Instl		None	K	T	Buy	12/18/12	K		
26. PIMCO Short-Term Fund Instl		None	L	T	Buy	09/12/12	L		
27. PIMCO Short-Term Fund Instl		None	J	T	Buy	10/01/12	J		
28. PIMCO Short-Term Fund Instl		None	J	T	Buy	11/01/12	J		
29. PIMCO Short-Term Fund Instl		None	J	T	Sold (part)	11/08/12	J	A	
30. PIMCO Short-Term Fund Instl		None		T	Sold	11/08/12	J	A	
31. PIMCO Short-Term Fund Instl		None		T	Sold	11/08/12	J	A	
32. PIMCO Income Fund Instl Class		None	L	T	Buy	09/13/12	L		
33. PIMCO Income Fund Instl Class		None	J	T	Buy	10/01/12	J		
34. PIMCO Income Fund Instl Class		None	J	T	Buy	11/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. PIMCO Income Fund Instl Class		None	K	T	Buy	11/08/12	K		
36. IShares TRI Boxx USD Invt Grade Corp BD		None	L	T	Buy	09/11/12	L		
37. Ishares TR Barclays zot Treas Bd Fd		None	L	T	Buy	09/11/12	L		
38. Ishares TR Barclays zot Treas Bd Fd		None	K	T	Sold (part)	11/08/12	K	A	
39. IShares TR Dow Jones US Real Estate Index Fd		None	K	T	Buy	09/11/12	K		
40. IShares TR Dow Jones US Real Estate Index Fd		None	J	T	Buy	11/08/12	J		
41. IShares TR Dow Jones US Real Estate Index Fd		None	K	T	Buy	12/20/12	K		
42. Ishares TR S&P US PFD STK Index Fd		None	K	T	Buy	09/11/12	K		
43. Ishares TR S&P US PFD STK Index Fd		None	K	T	Sold (part)	11/15/12	K	A	
44. SPDR SER TR Barclays High Yield BD ETF		None	K	T	Buy	09/11/12	K		
45. SPDR SER TR Barclays High Yield BD ETF		None	J	T	Buy	11/08/12	J		
46. Pershing Govt Acct Money Market		None	K	T	Buy	08/21/12	K		
47. JP Morgan Chase & Co Alerian MLP Index		None	K	T	Buy	09/11/12	K		
48. JP Morgan Chase & Co Alerian MLP Index		None		T	Sold	11/08/12	K	A	
49. NFJ Divid Int & Prem Strategy FD		None	K	T	Buy	09/11/12	K		
50. NFJ Divid Int & Prem Strategy FD		None		T	Sold	11/15/12	K	A	
51. TCW Energing Inc FD Cl I		None	L	T	Buy	09/12/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. TCW Energing Inc FD Cl I		None		T	Sold	11/15/12	L	A	
53. Ishares TR Dow Jones Select Divid Index Fd		None	K	T	Buy	09/11/12	K		
54. Ishares TR Dow Jones Select Divid Index Fd		None		T	Sold	11/08/12	K	A	
55. Blackrock Global Allocation FD Inc		None	K	T	Buy	09/11/12	K		
56. Blackrock Global Allocation FD Inc		None	K	T	Buy	11/26/12	K		
57. Blackrock Global Allocation FD Inc		None	J	T	Buy	12/20/12	J		
58. Doubleline Total Return Bond Fund		None	K	T	Buy	09/11/12	K		
59. Doubleline Total Return Bond Fund		None	J	T	Buy	10/02/12	J		
60. Doubleline Total Return Bond Fund		None	J	T	Buy	11/02/12	J		
61. Doubleline Total Return Bond Fund		None	J	T	Buy	11/26/12	J		
62. Doubleline Total Return Bond Fund		None	J	T	Buy	12/04/12	J		
63. First Eagle Global Fund Class I		None	K	T	Buy	09/11/12	K		
64. First Eagle Global Fund Class I		None	J	T	Buy	11/26/12	J		
65. First Eagle Global Fund Class I		None	J	T	Buy	12/17/12	J		
66. Franklin Income Fund Advisor Class		None	K	T	Buy	09/11/12	K		
67. Franklin Income Fund Advisor Class		None	J	T	Buy	10/02/12	J		
68. Franklin Income Fund Advisor Class		None	J	T	Buy	11/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. Franklin Income Fund Advisor Class		None	J	T	Buy	11/26/12	J		
70. Franklin Income Fund Advisor Class		None	J	T	Buy	12/04/12	J		
71. Loomis Sayles Strategic Income		None	K	T	Buy	09/11/12	K		
72. Loomis Sayles Strategic Income		None	J	T	Buy	09/24/12	J		
73. Loomis Sayles Strategic Income		None	J	T	Buy	11/23/12	J		
74. Loomis Sayles Strategic Income		None	J	T	Buy	11/23/12	J		
75. Loomis Sayles Strategic Income		None	J	T	Buy	11/26/12	J		
76. Loomis Sayles Strategic Income		None	J	T	Buy	12/21/12	J		
77. Oppenheimer Senior Floating Rate FD CL Y		None	K	T	Buy	09/11/12	K		
78. Oppenheimer Senior Floating Rate FD CL Y		None	J	T	Buy	10/01/12	J		
79. Oppenheimer Senior Floating Rate FD CL Y		None	J	T	Buy	11/01/12	J		
80. Oppenheimer Senior Floating Rate FD CL Y		None	J	T	Buy	11/26/12	J		
81. Oppenheimer Senior Floating Rate FD CL Y		None	J	T	Buy	12/03/12	J		
82. Oppenheimer Senior Floating Rate FD CL Y		None	J	T	Buy	12/31/12	J		
83. Pimco All Asset All Auth FD Instl SHRS		None	K	T	Buy	09/11/12	K		
84. Pimco All Asset All Auth FD Instl SHRS		None	J	T	Buy	09/21/12	J		
85. Pimco All Asset All Auth FD Instl SHRS		None	K	T	Buy	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. Pimco All Asset All Auth FD Instl SHRS		None	J	T	Buy	12/28/12	J		
87. Pimco Total Return Fund Insl Class		None	K	T	Buy	09/11/12	K		
88. Pimco Total Return Fund Insl Class		None	J	T	Buy	10/01/12	J		
89. Pimco Total Return Fund Insl Class		None	J	T	Buy	11/01/12	J		
90. Pimco Total Return Fund Insl Class		None	J	T	Buy	11/26/12	J		
91. Pimco Total Return Fund Insl Class		None	J	T	Buy	12/03/12	J		
92. Pimco Total Return Fund Insl Class		None	J	T	Buy	12/13/12	J		
93. Pimco Total Return Fund Insl Class		None	J	T	Buy	12/28/12	J		
94. Tcw Emerging Markets Inc Fd CL1		None	K	T	Buy	09/11/12	K		
95. Tcw Emerging Markets Inc Fd CL1		None	J	T	Buy	10/02/12	J		
96. Tcw Emerging Markets Inc Fd CL1		None	J	T	Buy	11/05/12	J		
97. Tcw Emerging Markets Inc Fd CL1		None	J	T	Buy	11/26/12	J		
98. Tcw Emerging Markets Inc Fd CL1		None	J	T	Buy	12/04/12	J		
99. Templeton Global Bond FD Advisor CL		None	K	T	Buy	09/11/12	K		
100. Templeton Global Bond FD Advisor CL		None	J	T	Buy	09/18/12	J		
101. Templeton Global Bond FD Advisor CL		None	J	T	Buy	10/16/12	J		
102. Templeton Global Bond FD Advisor CL		None	J	T	Buy	11/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103. Templeton Global Bond FD Advisor CL		None	J	T	Buy	11/26/12	J		
104. Templeton Global Bond FD Advisor CL		None	J	T	Buy	12/18/12	J		
105. Vanguard Inflation Protected Sec Fund		None	K	T	Buy	09/11/12	K		
106. Vanguard Inflation Protected Sec Fund		None	J	T	Buy	09/28/12	J		
107. Vanguard Inflation Protected Sec Fund		None	J	T	Buy	11/27/12	J		
108. Vanguard Inflation Protected Sec Fund		None	J	T	Buy	12/20/12	J		
109. Wells Fargo Advantage Absolute		None	K	T	Buy	09/11/12	K		
110. Wells Fargo Advantage Absolute		None	J	T	Buy	11/26/12	J		
111. Wells Fargo Advantage Absolute		None	J	T	Buy	12/31/12	J		
112. Pershing Gout Acct Money Market		None	N	T	Buy	08/21/12	N		
113.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT Page 11 of 12	Name of Person Reporting Flanagan, Louise W.	Date of Report 05/15/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Flanagan, Louise W.	05/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Louise W. Flanagan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544