AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Battani, Marianne O.	**2. Court or Organization** USDC, Eastern Distict of MI	**3. Date of Report** 04/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge, senior status	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012
7. Chambers or Office Address 231 West Lafayette Detroit, Michigan 48226		

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Pretrial Skills Judge	Cooley Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1982	State of Michigan Defined Benefit Plan(pension)
2.	1982	County of Wayne Defined Benefit Plan(pension)
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Cooley Law School, compensation	$900.00
2. 2012	Michigan Judges' Retirement System	$36,311.16
3. 2012	Wayne County Retirement System	$19,208.76
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Battani, Marianne O.	04/09/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Battani, Marianne O.	04/09/2013

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	FIDELITY INDIVIDUAL ACCOUNT									
2.	-Fidelity Accounts(cash, cash equivalent)	A	Int./Div.	L	T					
3.	-Puritan	A	Dividend			Sold	12/12/12	J		
4.										
5.	EDWARD JONES IRA ACCOUNT	E	Int./Div.	N	T					
6.	-Capital Income Builder Fund Cl A					Buy (add'l)	02/21/12	J		
7.	-Capital Income Builder Funds Cl B									
8.	-Capital World Growth & Income Fund Cl A					Sold (part)	02/21/12	J		
9.	-Capital World Growth & Income Fund Cl B					Sold	08/06/12	J		
10.	-Euro Pacific Growth Fund C lA					Sold	02/21/12	J		
11.	-Euro Pacific Growth Fund Cl B									
12.	-Franklin Income Fund Cl A									
13.	-Fundamental Investors Fund Cl A									
14.	-Fundamental Investors Fund Cl B					Buy (add'l)	08/06/12	J		
15.	-Hartford Balanced Income Fund Cl A					Buy	11/21/12	J		
16.	-American Balanced Fund Cl A					Buy (add'l)	02/21/12	J		
17.	-American Balanced Fund Cl B					Buy	08/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.	-American High Income Trust Cl A					Buy (add'l)	08/06/12	J		
19.						Buy (add'l)	08/07/12	J		
20.	-American International Growth & Income fund Cl A					Sold	02/21/12	J		
21.	-American Mutual Fund Cl A					Buy (add'l)	05/08/12	J		
22.	-Bond Fund of America Cl A					Sold (part)	02/21/12	J		
23.	-Capital World Bond Fund Cl A					Sold (part)	02/21/12	J		
24.	-Franklin Small Cap Value Fund Cl A									
25.	-Growth Fund of America Cl A									
26.	-Hartford Inflation Plus Fund Cl C					Sold	02/21/12	J		
27.	-Income Fund of America Fund Cl A					Buy (add'l)	02/21/12	J		
28.	-New Economy Fund Cl A									
29.	-New World Fund Cl A									
30.	-Oppenheimer International Bond Fund Cl A					Buy (add'l)	02/21/12	J		
31.	-VanKampen Build America Bonds Income Trust Ser #[redacted]					Sold (part)	08/06/12	J		
32.						Sold (part)	11/23/12	J		
33.	-Invesco Build America Bonds Income Trust Series #[redacted]					Sold (part)	11/23/12	J		
34.	-Invesco Build America Bonds Income Trust series #[redacted]									

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35.	-First Trust Build America Bonds Monthly Cash Series [redacted]					Sold (part)	11/23/12	J		
36.	-Invesco Invt Grd Incm 10-20 Yr Series # [redacted]					Sold (part)	11/23/12	J		
37.	-Inveso Invt Grd Incm 10-20 Yr Series [redacted]					Sold (part)	11/23/12	J		
38.	-Invesco Invt Grd Incm Tr 20+ year, Series [redacted]					Sold (part)	11/23/12	J		
39.	-Hartford Inflation Plus Fund Cl A					Buy (add'l)	02/21/12	J		
40.						Sold (part)	05/08/12	J		
41.						Sold (part)	11/21/12	J		
42.										
43.	EDWARD JONES SINGLE ACCOUNT									
44.	-Cash & money market funds	A	Dividend	K	T					
45.	-Federated Strategic Income Fund Cl A	A	Dividend			Sold (part)	03/19/12	J	A	
46.						Sold	09/25/12	J	A	
47.	-Federated Kaufman Small Cap Fund Cl A	A	Dividend			Sold	09/25/12	J	A	
48.	-Federated Kaufman Fund Cl A	A	Dividend			Sold (part)	03/19/12	J	A	
49.						Sold	09/25/12	J	A	
50.	-Lord Abbett Core Fixed Incm A	A	Dividend	J	T					
51.	-Lord Abbett Short Dur Inc Fd A	A	Dividend	J	T					

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52. -Franklin High Yield Tax Free Income Fund Cl A	A	Dividend	K	T	Buy	09/25/12	J		
53.					Buy (add'l)	11/21/12	J		
54.					Buy (add'l)	12/13/12	J		
55. -Franklin Michigan Tax Free Income Fund Cl A	A	Dividend	K	T	Buy	09/25/12	J		
56.					Buy (add'l)	12/13/12	J		
57.									
58. Chase Bank (cash and cash equivalent)	A	Interest	K	T					
59. MassMutual: whole life policy	A	Dividend	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Battani, Marianne O.	04/09/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marianne O. Battani

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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