AO 10 Rev. 1/2013	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Goldberg, Mitchell S.	**2. Court or Organization** U.S. District Court, Eastern District of Pennsylvania	**3. Date of Report** 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active Status	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012
7. Chambers or Office Address Room 7614, U. S. Courthouse 601 Market Street Philadelphia, PA 19106		

***IMPORTANT NOTES:* The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.**

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2/03	Pennsylvania Employee Retirement System
2. 2012	Drexel Law School (Teaching appointment to start September, 2012)
3. 2012	Temple Law School (Teaching appointment to start January, 2012)

Name of Person Reporting	Date of Report
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Drexel Law School - Payment for teaching	$7,500.00
2. 2012	Trust Distribution	$750.00
3. 2012	Pennsylvania Employee Retirement System	$13,818.00
4. 2012	Temple Law School - Payment for teaching	$5,196.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Heartsine Technologies - salary
2. 2012	Independent Yoga Instructor
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bar Association	8/1/12 - 8/3/12	Chicago, Illinois	Annual Meeting - Speaker	Transportation, meals, hotel
2.	PLI	2/17/12	New York, NY	NYIPLA Seminar - Speaker	Transportation, meals
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Goldberg, Mitchell S.	05/10/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Trust - 1/3 Interest - property located in Stewart, FL	B	Rent	M	W					
2.	American Fund #1 - 529 - age or risk based - no control	A	Interest	J	T					
3.	American Fund #2 - 529 - age or risk based - no control	A	Dividend	K	T					
4.	Morgan Stanley Smith Barney #1 - Common stocks & options:									
5.	Baxter Intl Inc Ref: [redacted]	A	Dividend	K	T	Buy	1/19/12	K		
6.	Cardinal Health Inc Ref: [redacted]	A	Dividend	K	T	Buy	6/20/12	K		
7.	Bristol Myers Squibb Co.	A	Dividend	J	T					
8.	HCP Inc.	A	Dividend	K	T					
9.	Kimberly Clark Corp.	A	Dividend	K	T					
10.	Metlife Inc.	A	Dividend	K	T					
11.	Microsoft Corp.	A	Dividend	K	T					
12.	Pepsico Inc.	A	Dividend	J	T					
13.	Sysco Inc.	A	Dividend	J	T					
14.	Wal-Mart Stores Inc.		None			Sold	5/23/12	K	A	
15.	E I Du Pont De Nemours & Co	A	Dividend	K	T					
16.	Energy Transfer Partners L.P Unit L.P Int	B	Dividend	K	T					
17.	Hewlett Packard Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Morgan Stanley Smith Barney Corporate Bonds:									
19. General Electric Cap Corp.	B	Interest	K	T					
20. Berkshire Hathaway Fin. Corp.	B	Interest	K	T					
21. Pepsico Inc.	B	Interest	K	T					
22. Morgan Stanley Smith Barney Certificates of Deposit:									
23. Ally Bank-UT (Formerly GMAC Bank) #1	A	Interest	K	T					
24. Ally Bank-UT (Formerly GMAC Bank) #2	A	Interest			Matured	4/15/12	K	A	
25. Ally Bank-UT (Formerly GMAC Bank) #3	A	Interest	K	T					
26. ST BK India NY CD [redacted]	A	Interest	K	T	Buy	4/17/12	K		See Part VIII
27. Ally Bank - UT #4 (Formerly Federal Home Loan Mortgage Corp.	A	Interest	K	T					
28. Morgan Stanley Smith Barney #2 - Financial: Management Acct.									
29. Citibank	A	Interest	J	T					
30. Powershares QQQ TR Ser 1	A	Dividend	J	T					
31. Exxon Mobil Corp	A	Dividend	J	T					
32. LMP Short-Term Bond Fund Class C	A	Dividend	J	T					
33. Western Asset Short-Term Bond Fund	A	Dividend	J	T					
34. Waste Mgmt Inc Del	A	Dividend	J	T					

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35. Morgan Stanley Smith Barney #3 - common stock									
36. Tyco Intl Ltd Chf	A	Dividend	J	T					
37. The ADT Corp. com	A	Dividend	J	T	Buy	01/01/12	J		See Part VIII
38. Baxter Intl Inc	A	Dividend	J	T					
39. Pentair Ltd.	A	Dividend	J	T	Buy	01/01/12	J		See Part VIII
40. Pepsico Inc	A	Dividend	J	T					
41. Waste Mgmt Inc Del	A	Dividend	J	T					
42. Oppenheimer Fund - Retirement IRA		None	K	T					
43. Sirius XM Radio, Inc. - Stock	A	Dividend	J	T					
44. Savings & Checking Accounts:									
45. ING Direct Savings Account (X)	A	Interest	K	T					
46. TD Bank Checking Account (X)		None	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 26 - This item was opened as a result of the maturing of line 24.

Part VII, lines 37 and 39 were acquired on 9/6/11 and inadvertently omitted from the 2011 Report.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mitchell S. Goldberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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