AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
INITIAL FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Christen, Morgan B.	United States Court of Appeals for the Ninth Circuit	05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☑ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	01/14/2012 to 12/31/2012
7. Chambers or Office Address		
222 West 7th Avenue, Suite 261, Box 60 Anchorage, AK 99513		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Judge	Ninth Circuit Court of Appeals
2.	Director	Alaska Community Foundation
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2012	Alaska State Employee Accounts (Judicial Retirement; 457 Plan, 401(a) Plan); no control
2. 2001	Preston Gates & Ellis Retirement Savings with former law firm; no control
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2012	Alaska Court System	$15,834.00
2.	2012	Alaska Permanent Fund Dividend	$878.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Self Employed Attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Illinois College of Law	April 10 - April 12, 2012	Champaign, Illinois	Judge moot court competition	Transportation, lodging, meals, parking
2.	Golden Gate University Law School	May 24, 2012	San Francisco, California	Spoke at law school commencement	Transportation, lodging, meals, parking
3.	Brigham Young University, J. Reuben Clark Law School	October 31 - November 2, 2012	Provo, Utah	Judge moot court competition	Transportation, rental car, lodging, meals, parking
4.	Rasmuson Foundation Women's Retreat	September 13 - September 14, 2012	Silver Salmon, Alaska	Spoke to group of women in the non-profit community	Transportation, lodging, meals
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Former law clerks	Judicial robe (value is approximate)	$500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo Bank	Mortgage on rental property # 1, Blaine, Washington	L
2.	People's Bank	LOC on rental property #2, Blaine Washington	L
3.	Wells Fargo Bank	Mortgage on rental property [redacted]	N
4.	Wells Fargo Bank	Mortgage on rental property (loan paid off)	None
5.	Chase Home Finance	Mortgage on rental property (loan paid off)	None
6.	Chase Home Finance	Mortgage on rental property (loan paid off)	None

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ASB Accounts	A	Interest	J	T					
2. Wells Fargo Accounts	A	Interest	J	T					
3. True North Federal Credit Union	A	Interest	J	T					
4. Stoel Rives Cash Accounts	A	Interest	L	T					
5. American Century International Discovery Fund	A	Dividend	L	T					
6. American Funds Growth Fund of America	B	Dividend	M	T					
7. TD Ameritrade Municipal portfolio	A	Interest			Sold	11/15/12	K	A	Ready Assets Line 60
8. Artio International Equity Fund	A	Dividend	J	T					
9. Brandes International Equity Fund		None	J	T					
10. Columbia Value & Restructuring Fund - Z	A	Dividend	J	T					
11. Fidelity Retirement Money Market Portfolio	A	Interest	L	T					
12. Great West - Alaska Suppplemental Benefits Annuity		None	M	T					
13. Great West - 457 Plan - Long Term Balanced Trust		None	M	T					
14. Pacific Northern Acadamy Bond (issued by Akhiok, AK)	A	Interest			Redeemed	10/31/12	J	A	
15. Vanguard European Stock Index Fund	B	Dividend	L	T					
16. Vanguard Health Care Fund	B	Dividend	K	T					
17. Vanguard Institutional Index Fund	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Limited-Term Tax Exempt Fund	A	Interest	J	T					
19. Stoel Rives Capital Account		None	L	T					
20. HEWM VI Investment Fund - no control		None	J	T					
21. HEWM VIII Investment Fund - no control		None	J	T					
22. HEWM XII Investment Fund - no control		None	J	T					
23. HEWM XV Investment Fund - no control	E	Distribution	K	T					
24. Venture Law Group Invst. 2004 - no control	D	Distribution	J	T					
25. Venture Law Group Invst. 2005-no control		None	K	T					
26. Venture Law Group Invst. 2006-no control		None	K	T					
27. Venture Law Group Invst. 2007-no control		None	K	T					
28. Investment property #1, Nelson, NZ		None	N	W					
29. Investment property #2, Blaine, WA		None	K	W					
30. Investment property #2, Blaine, WA		None	M	W					
31. Rental property formerly #1 ("Y") Blaine, WA									
32. Rental property #1, Blaine, WA	D	Rent	N	W					
33. Rental property #2, Blaine, WA	D	Rent	M	W					
34. Rental property #3, Blaine, WA	D	Rent	M	W					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Rental property #4, Bellingham, WA	C	Rent	M	W					
36. Brokerage Account #1 (see lines 37 through 50)									
37. Black Diamond Inc. - common stock		None	J	T					
38. Capital One Finl. Corp. - common stock	A	Dividend	J	T					
39. Cisco Systems Inc. - common stock	A	Dividend	J	T					
40. ConocoPhillips Corp.-common stock	B	Dividend	K	T					
41. Ericsson LM. Tel Co. Sponsored ADR-common stock	A	Dividend	J	T					
42. Ingersoll-Rand PLC-common stock	A	Dividend	J	T					
43. Internet Cap Group Inc.-common stock		None	J	T					
44. Monsanto Co. - common stock	A	Dividend	J	T					
45. Nortel Network Corp. -common stock		None	J	T					
46. Northrim Bancorp Inc.-common stock	A	Dividend	J	T					
47. Pfizer, Inc.-common stock	A	Dividend	J	T					
48. Red Hat, Inc.-common stock		None	K	T					
49. Valueclick, Inc.-common stock		None	K	T					
50. Williams Cos Inc.-common stock	A	Dividend	J	T					
51. Christen Sisters, LLC ("Y")									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. Stoel Rives Retirement Plan - no control		None	O	T					
53. Northwestern Mututal - whole life	A	Dividend	J	T					
54. Northwestern Mutual - whole life	A	Dividend	K	T					
55. New York Life - whole life	B	Dividend	K	T					
56. Alaska Court System Ret. Plan - no control		None	L	W					
57. New York Life ("X") - second to die	A	Dividend	K	T					
58. Phillips 66 ("X")	A	Dividend	K	T	Spinoff (from line 40)	5/1/12	J		Not private
59. Facebook ("X")		None	N	T	Sold (part)	11/15/12	N	G	Not private
60. Ready Assets Prime Money	A	Interest	K	T	Buy	11/15/12	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Entries at Part VII, lines 7 and 60 represent a transfer from TD Ameritrade Municipal portfolio to Ready Assets Prime Money.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Morgan B. Christen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544