


FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/04/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	POA: Accounts 1-7	
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Partner at law firm of Kelley, Drye & Warren LLP
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Citibank Accounts	B	Interest	O	T				
2. Vanguard Voyager (IRA)	A	Dividend	K	T					
3. Schwab (IRA)	A	Interest	O	T					
4.	D	Dividend							
5. --- Schwab Deposit Account	A	Interest	N	T					
6. -- Applied Materials (Common)	A	Dividend			Sold	9/7/2012	K		
7. --Time Warner (Common)	B	Dividend			Buy	2/24/12	K		
8.					Buy	3/7/12	K		
9.					Sold	8/27/12	M		
10.					Buy	8/28/12	M		
11.					Sold	9/18/12	M	D	
12. --Time Warner Cable (Common)	B	Dividend			Buy	2/24/12	L		
13.					Buy	3/7/12	L		
14.					Sold (part)	3/7/12	L	B	
15.					Sold	8/27/12	M	D	
16.					Buy	8/29/12	M		
17.					Sold	9/18/12	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

18.	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Buy	10/8/12	M		
19.						Sold	10/19/12	M	B	
20.	--AOL Inc. (Common)		None	J	T					
21.	--Intel (Common)	B	Dividend			Buy	3/1/12	L		
22.						Buy	3/16/12	M		
23.						Sold	4/15/12	M		
24.						Buy	8/10/12	M		
25.						Sold	8/17/12	M	B	
26.						Buy	8/23/12	M		
27.						Sold	8/26/12	M	C	
28.						Buy	8/31/12	M		
29.						Buy	9/14/12	M		
30.						Sold	9/19/12	N	E	
31.						Buy	9/22/12	M		
32.						Sold (part)	10/7/12	K	B	
33.						Sold	10/12/12	M	D	
34.						Buy	10/27/12	N		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	12/6/12	N	C	
36. -- International Rectifier (Common)		None			Sold	9/7/12	K		
37. -- Moduslink Global Solutions (Common)		None	J	T					
38. -- Amazon (Common)		None	N	T	Buy	01/3/12	M		
39.					Sold	1/23/12	O		
40.					Buy	2/1/12	M		
41.					Sold	2/1/12	M	D	
42.					Buy	2/8/12	M		
43.					Sold (part)	2/27/12	L		
44.					Buy	8/3/12	M		
45.					Buy	8/8/12	M		
46.					Buy	9/25/12	M		
47.					Sold (part)	10/8/12	N	E	
48.					Buy	10/9/12	N		
49.					Sold	11/8/12	O		
50.					Buy	11/14/12	M		
51.					Buy	11/15/12	M		

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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	52.					Sold	11/15/12	N	
53.					Buy	11/23/12	M		
54. -- Valero (Common)	A	Dividend			Sold	2/8/12	L	D	
55.					Buy	3/3/12	L		
56.					Sold	8/27/12	L	C	
57. -- Rambus (Common)		None	J	T					
58. --Apple (Common)		None	M	T	Buy	11/29/12	M		
59. --- Baidu (ADR's)		None			Buy	2/15/12	M		
60.					Buy	2/28/12	M		
61.					Sold	3/2/12	N	D	
62.					Buy	5/11/12	M		
63.					Buy	06/2/12	M		
64.					Sold	6/7/12	M		
65.					Buy	6/13/12	M		
66.					Sold	6/14/12	M	D	
67.					Buy	7/18/12	M		
68.					Sold	7/18/12	M	B	

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
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H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	69.					Buy	08/3/12	M	
70.					Sold	08/6/12	M	D	
71.					Buy	08/7/12	L		
72.					Sold	08/7/12	L	A	
73.					Buy	08/23/12	M		
74.					Sold	08/23/12	M	C	
75.					Buy	8/29/12	M		
76.					Sold	8/30/12	M	D	
77.					Buy	8/31/12	M		
78.					Sold	8/31/12	M	A	
79.					Buy	9/13/12	M		
80.					Sold	10/8/12	M	C	
81. -- Cisco (Common)	C	Dividend			Buy	9/11/12	M		
82.					Buy	11/14/12	N		
83.					Sold	12/17/12	N	E	
84. -- Lowes (Common)	A	Dividend			Buy	1/26/12	M		
85.					Sold	2/21/12	M	C	

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|--|--|--|--|---|-------------------------|
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J = \$15,000 or less
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy	2/28/12	L		
87.					Sold	2/29/12	L	B	
88.					Buy	9/6/12	M		
89.					Sold	10/14/12	M	D	
90.					Buy	11/5/12	L		
91.					Sold	11/14/12	L		
92.					Buy	11/15/12	M		
93.					Sold	11/15/12	M		
94. --Microsoft (Common)	B	Dividend			Buy	3/20/12	M		
95.					Buy	8/10/12	M		
96.					Sold (part)	8/17/12	M	B	
97.					Buy	8/22/12	M		
98.					Sold (part)	8/26/12	M	D	
99.					Sold	8/27/12	M		
100. -- Research In Motion (Common)		None			Buy	09/15/12	L		
101.					Sold	12/16/12	L		
102. JPMorgan Chase Accounts	A	Interest	K	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 I11=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Schwab Account -- NY Muni Money Mkt	D	Int./Div.	N	T					
104. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	C	Dividend	K	T					
105. Treasury Direct	A	Interest	M	T					
106. Time Warner Common Stock	A	Dividend	K	T	Buy	7/13/12	L		
107.					Sold (part)	7/17/12	L	A	
108.					Buy	9/26/12	M		
109.					Sold (part)	11/20/12	M	C	
110. Applied Materials Common Stock (IRA)	A	Dividend			Buy	5/1/12	L		
111.					Sold	8/16/12	L		
112. Blount Common Stock		None	J	T					
113. Intel Common Stock (IRA)	D	Dividend			Buy	01/17/12	M		
114.					Buy	01/18/12	M		
115.					Sold	01/18/12	N	C	
116.					Buy	3/1/12	N		
117.					Buy	3/2/12	N		
118.					Sold	3/2/12	O	C	
119.					Buy	3/5/12	N		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
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H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
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	120.					Buy	3/9/12	N	
121.					Sold (part)	3/9/12	M	B	
122.					Sold	3/13/12	N	D	
123.					Buy	3/13/12	M		
124.					Sold (part)	3/15/12	M	B	
125.					Buy	3/19/12	M		
126.					Buy	3/27/12	N		
127.					Sold (part)	3/27/12	N	A	
128.					Sold	4/2/12	N	D	
129.					Buy	4/3/12	N		
130.					Buy	4/22/12	O		
131.					Buy	4/23/12	N		
132.					Buy	4/24/12	L		
133.					Buy	4/25/12	K		
134.					Buy	4/29/12	M		
135.					Buy	4/30/12	N		
136.					Buy	5/1/12	K		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Buchwald, Naomi R.	Date of Report 05/04/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
	137.					Buy	5/2/12	K	
138.					Buy	5/6/12	M		
139.					Buy	5/8/12	K		
140.					Sold (part)	6/1/12	M		
141.					Sold (part)	7/12/12	M		
142.					Sold (part)	8/6/12	M		
143.					Sold (part)	8/7/12	N		
144.					Sold (part)	8/15/12	N		
145.					Sold (part)	8/16/12	N		
146.					Buy	8/16/12	M		
147.					Buy	8/17/12	M		
148.					Sold (part)	8/22/12	N		
149.					Buy	8/23/12	K		
150.					Sold (part)	9/7/12	N		
151.					Sold (part)	11/13/12	K		
152.					Sold	12/21/12	M		
153. Microsoft Common Stock (IRA)	A	Dividend	K	T	Buy	3/19/12	M		

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|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting

Buchwald, Naomi R.

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05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold	3/26/12	M	B	
155.					Buy	3/27/12	M		
156.					Sold (part)	04/18/12	L	A	
157.					Sold (part)	4/19/12	L	A	
158.					Buy	8/23/12	L		
159.					Sold (part)	8/24/12	M		
160. Amazon Common Stock		None	M	T	Buy	01/13/12	N		
161.					Sold (part)	1/13/12	N	B	
162.					Buy	1/17/12	M		
163.					Sold (part)	1/18/12	N		
164.					Buy	1/19/12	L		
165.					Sold (part)	1/19/12	M	D	
166.					Sold	1/23/12	L		
167.					Buy	2/23/12	M		
168.					Buy	2/27/12	M		
169.					Sold	2/28/12	N	D	
170.					Buy	2/29/12	N		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/04/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy	3/7/12	M		
172.					Sold	3/8/12	O	E	
173.					Buy	3/14/12	M		
174.					Sold	3/14/12	M	A	
175.					Buy	3/20/12	M		
176.					Buy	3/21/12	M		
177.					Buy	3/23/12	O		
178.					Sold (part)	3/26/12	O	E	
179.					Buy	3/29/12	P1		
180.					Sold (part)	4/3/12	O		
181.					Sold (part)	4/12/12	M		
182.					Sold (part)	4/18/12	N	A	
183.					Sold (part)	4/24/12	M		
184.					Sold (part)	4/25/12	N		
185.					Sold	4/30/12	L	D	
186.					Buy	5/1/12	K		
187.					Buy	5/2/12	K		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Buchwald, Naomi R.

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05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	205.					Sold (part)	7/12/12	M	
206.					Buy	7/26/12	M		
207.					Sold (part)	7/26/12	M		
208.					Buy	7/27/12	M		
209.					Sold (part)	7/27/12	M		
210.					Sold (part)	8/7/12	M		
211.					Sold (part)	8/14/12	M		
212.					Sold (part)	8/15/12	M		
213.					Sold (part)	8/16/12	M		
214.					Sold (part)	8/24/12	L		
215.					Buy	9/13/12	O		
216.					Sold (part)	9/24/12	N		
217.					Buy	9/28/12	M		
218.					Sold (part)	10/4/12	N	E	
219.					Sold (part)	10/8/12	O	C	
220.					Sold (part)	10/19/12	M	C	
221.					Buy	10/19/12	M		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Buchwald, Naomi R.

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05/04/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	222.					Sold (part)	11/2/12	M	
223.					Buy	11/7/12	N		
224.					Sold	11/8/12	N		
225.					Buy	11/19/12	N		
226.					Sold (part)	11/19/12	N	D	
227.					Buy	11/20/12	O		
228.					Sold (part)	11/20/12	O		
229.					Buy	11/22/12	M		
230.					Buy	11/28/12	M		
231.					Sold (part)	11/28/12	M		
232.					Sold (part)	12/17/12	M		
233.					Sold (part)	12/20/12	M		
234.					Buy	12/26/12	N		
235.					Sold (part)	12/31/12	N		
236. Yahoo Common Stock		None			Buy	1/17/12	M		
237.					Sold (part)	1/23/12	N		
238.					Buy	5/21/12	M		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	239.					Sold	6/1/12	O	
240. Apple Comm on Stock		None	M	T	Buy	1/24/12	N		
241.					Sold	1/24/12	N	B	
242.					Buy	1/26/12	N		
243.					Sold	2/23/12	N	E	
244.					Buy	3/27/12	O		
245.					Sold	3/27/12	O	A	
246.					Buy	03/29/12	O		
247.					Sold (part)	3/29/12	N	B	
248.					Buy	3/30/12	N		
249.					Sold	4/2/12	O	D	
250.					Buy	4/13/12	N		
251.					Buy	4/18/12	O		
252.					Sold (part)	4/18/12	O	C	
253.					Buy	4/20/12	N		
254.					Sold (part)	4/30/12	O		
255.					Sold	5/17/12	N		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Buchwald, Naomi R.

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05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy	10/15/12	N		
257.					Sold	10/15/12	N	A	
258.					Buy	11/7/12	O		
259.					Sold	11/8/12	O		
260.					Buy	11/19/12	O		
261.					Sold	11/19/12	O	C	
262.					Buy	11/20/12	O		
263.					Sold	11/20/12	O	B	
264.					Buy	11/28/12	O		
265.					Sold (part)	12/14/12	L		
266.					Sold (part)	12/17/12	M		
267.					Sold (part)	12/21/12	N		
268.					Sold (part)	12/31/12	L		
269. Baidu ADRs		None			Buy	1/23/12	M		
270.					Sold	1/23/12	M	A	
271.					Buy	2/8/12	M		
272.					Buy	2/27/12	M		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 HI = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Buchwald, Naomi R.

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05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Sold	2/28/12	N	D	
274.					Buy	3/7/12	M		
275.					Sold	3/8/12	M	C	
276.					Buy	3/20/12	M		
277.					Sold	3/20/12	M	B	
278.					Buy	4/30/12	M		
279.					Buy	5/1/12	M		
280.					Sold	5/18/12	N		
281.					Buy	7/2/12	M		
282.					Sold (part)	7/3/12	M	B	
283.					Sold	7/5/12	M	C	
284.					Buy	7/6/12	O		
285.					Sold (part)	7/6/12	M	A	
286.					Sold (part)	7/12/12	M		
287.					Sold (part)	7/13/12	M		
288.					Sold (part)	7/17/12	N		
289.					Buy	7/31/12	M		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Buchwald, Naomi R.	Date of Report 05/04/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold	7/31/12	M		
291.					Buy	8/2/12	M		
292.					Buy	8/7/12	M		
293.					Buy	8/8/12	M		
294.					Sold (part)	8/14/12	L		
295.					Sold (part)	8/16/12	L		
296.					Sold (part)	10/8/12	M		
297.					Sold	10/19/12	M		
298. Cisco Common Stock	C	Dividend	N	T	Buy	1/30/12	N		
299.					Buy	1/31/12	N		
300.					Buy	2/1/12	O		
301.					Sold (part)	2/1/12	P1	D	
302.					Sold (part)	2/2/12	N	C	
303.					Buy	2/8/12	M		
304.					Buy	3/21/12	M		
305.					Sold (part)	3/23/12	N	B	
306.					Buy	4/3/12	O		

- | | | | | | |
|--|---|--|--|--|--|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$1,000,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000
J = \$25,000,001 - \$50,000,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Buy	4/4/12	N		
308.					Buy	4/8/12	N		
309.					Buy	4/9/12	N		
310.					Buy	4/10/12	K		
311.					Buy	4/11/12	K		
312.					Buy	4/15/12	K		
313.					Buy	4/16/12	K		
314.					Buy	5/6/12	L		
315.					Buy	5/14/12	M		
316.					Sold (part)	8/8/12	L		
317.					Sold (part)	8/9/12	N		
318.					Sold (part)	8/15/12	L		
319.					Buy	8/23/12	M		
320.					Sold (part)	8/27/12	N		
321.					Sold (part)	9/7/12	N		
322.					Sold (part)	10/8/12	M		
323.					Buy	10/8/12	M		

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|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	324.					Buy	11/5/12	K		
325.					Sold (part)	11/13/12	M			
326.					Sold (part)	12/13/12	K			
327.					Buy	12/20/12	L			
328.					Sold (part)	12/21/12	N			
329.					Sold (part)	12/31/12	N			
330. Facebook Common		None			Buy	5/22/12	K			
331.					Sold	8/31/12	K			
332. Home Depot Common		None			Buy	4/12/12	M			
333.					Sold	4/12/12	M	A		
334. Lowes Common		None			Buy	3/2/12	M			
335.					Sold	3/7/12	M	A		
336.					Buy	4/12/12	L			
337.					Sold	4/12/12	L	A		
338.					Buy	8/16/12	M			
339.					Sold	8/16/12	M	A		
340.					Buy	8/17/12	M			

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|--|---|--|---|--|--|
| 1. Income Gain Codes:
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000
J = \$25,000,001 - \$50,000,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	341.					Sold	8/24/12	M	A
342. Time Warner Cable Common	A	Dividend	J	T	Buy	7/13/12	L		
343.					Sold (part)	7/13/12	L	A	
344. Valero Common Stock		None			Buy	3/2/12	M		
345.					Sold	3/7/12	M	C	
346. Whirlpool Common		None			Buy	1/17/12	M		
347.					Sold	1/18/12	M	C	
348.					Buy	4/12/12	L		
349.					Sold	4/12/12	L	A	
350.					Buy	4/13/12	L		
351.					Sold	4/24/12	L		
352. POA Account # 1 : Chase Accounts	B	Interest	N	T					
353. POA Account# 2: Bank of America (CD)	A	Interest	M	T					
354. POA Account #3: Vanguard Wellington Admiral Fund	C	Dividend	L	T					
355. POA Account #4									
356. -Eaton Vance Floating Rate Fund	B	Dividend	L	T					
357. -Oppenheimer Senior Floating Rate Fund	C	Dividend	L	T					

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|--|--|--|--|--|-------------------------|
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P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
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Name of Person Reporting Buchwald, Naomi R.	Date of Report 05/04/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	358. POA Account #5:	A	Int./Div.	K	T				
359. -CitiBank Deposit Program									
360. -Merrill Lynch Preferred					Sold	05/09/12	J	A	
361. -DWS High Income Fund									
362. POA Account #6:	B	Int./Div.	M	T					
363. -Western Asset Money Market									
364. -CitiBank Deposit Program									
365. -Intel									
366. -Gabelli Equity Tr. PFD									
367. -Morgan Stanley Preferred					Sold	05/09/12	K		
368. -DWS High Income Fund									
369. -DWS Gov't Securities Fund									
370. POA Account #7:	C	Int./Div.	M	T					
371. -CitiBank Deposit Program									
372. -Columbia Acorn Select Fund									
373. -Columbia Select Smaller Cap Fund									
374. -DWS Strategic Value Fund									

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|--|---|--|---|--|-------------------------|
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H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
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FINANCIAL DISCLOSURE REPORT

Page 26 of 28

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/04/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. -Fidelity Advisor Diversified International Fund									
376. -JP Morgan Mid Cap Value Fund									
377. -Growth Fund of America									
378. -Invesco Van Kampen Senior. Loan Fund									
379. -Touchtone Mid Cap Growth Fund									
380. -Escrow Leiner Health Products exchanged for Lehman									
381. -Express Scripts Holding Co. Common Stock (X)									

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|--|---|--|---|--|-------------------------|
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I12 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Buchwald, Naomi R.	Date of Report 05/04/2013
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/04/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544