AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shapiro, Norma L.	**2. Court or Organization** EDPA, Philadelphia	**3. Date of Report** 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 601 Market Street Philadelphia, PA 19106		

***IMPORTANT NOTES:* The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.**

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Life Trustee	Jewish Publication Society
2.	Member, Committee on Women in the Profession	Pennsylvania Bar Association
3.	Member, Minority Bar Committee	Pennsylvania Bar Association
4.	Chair, Leadership Development & Recruitment Committee	Pennsylvania Bar Association
5.	Co-Chair, Diversity and Inclusion in the Profession Committee	Pennsylvania Bar Association
6.	Member, Sandra Day O'Connor Award Committee	Philadelphia Bar Association
7.	Chair, Board of Directors	Violette De Mazia Foundation
8.	Chair, Standing Committee on Federal Judicial Improvements	American Bar Association
9.	Member, 2012 John Marshall Award Selection Committee	American Bar Association
10.	Representative, Appellate Judges Education Institute, Board of Directors	American Bar Association
11.	Executrix	Estate [redacted] (See Part VIII)

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bar Association	02/02/2012-02/06/2012	New Orleans, LA	Midyear Meeting and meeting of Appellate Judges Education Institute	Transportation, food & lodging.
2.	American Bar Association	04/21/2012-04/22/2012	Philadelphia, PA	Standing Committee on Federal Judicial Improvements Spring Meeting	Food & lodging.
3.	American Bar Association	08/02/2012-08/05/2012	Chicago, IL	ABA Annual Meeting and meeting of Appellate Judges Education Institute	Transportation, food & lodging.

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4.	American Bar Association	11/02/2012-11/05/2012	Fort Lauderdale, FL	Standing Committee on Federal Judicial Improvements Fall Meeting	Transportation, food & lodging.
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mellon Bank (Checking Account)		None	J	T					Not now interest bearing.
2. Firstrust Bank (Checking)	A	Interest	J	T					
3. Firstrust Bank (Savings)	A	Interest	M	T					
4. Stifel Nicholas General Money	A	Dividend	J	T					
5. Oppenheimer PA Municipal Bond	B	Dividend	K	T					
6. Vanguard Life Vanguard Strategy Mod. Growth - IRA	D	Dividend	M	T					
7. Vanguard Star Fund-IRA	C	Dividend	M	T					
8. Vanguard GNMA Fund Admiral	D	Dividend	M	T					
9. Unit Investment First Trust Unit [redacted]	B	Dividend			Sold	08/09/12	K	A	
10. Unit Trust First Trust Unit [redacted]	A	Dividend			Sold	08/30/12	J	A	
11. Unit Trust First Trust Unit [redacted]	B	Dividend	K	T					
12. First Trust Unit [redacted]	B	Dividend	K	T					
13. Bank of America (Y)									
14. Unit Trust First Trust Unit [redacted] (X)	B	Dividend	K	T	Buy	08/07/12	K		
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information.

Part 1 - Positions:
Numbers 1, 2, 4 and 6 are non-profit organizations. I have no control over the expenditures of these organizations, although with effort I could ascertain their assets.

Number 5 is a 501(c)(3) charitable organization. I do vote on the expenditure of funds together with the other four Directors. I receive no compensation. The total assets of this organization at the end of 2012 was in the amount of approximately $8,176,462.

[redacted], died on November 27, 2007. His Will names me the Executrix and sole beneficiary of his estate.

His assets, listed under Section VII, became assets of the Estate [redacted]; in 2008, they were transferred to a Tax Exempt Marital Trust and rolled over into my Vanguard IRA. All assets listed in Section VII which were in his name prior to his death were in the Estate [redacted] at year end and transferred to the Tax Exempt Marital Trust in 2008. Bank accounts that were previously joint accounts are now titled in my name only.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Norma L. Shapiro

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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