AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Minaldi, Patricia H.	District Court - Louisiana	06/14/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U. S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

U. S. Courthouse
611 Broad Street
Lake Charles, LA 70601

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member and Manager	Patricia Minaldi, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2003	La. State Employee Retirement System (pension upon retirement)
2.	
3.	

Name of Person Reporting	Date of Report
Minaldi, Patricia H.	06/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Louisiana State Bar Association	06/03/12 - 06/09/12	Destin, Florida	Participation on panel for Joint Summer School Program	Reimbursement for 2 nights lodging
2.	LSU Law Center	08/05/12 - 08/08/12	Baton Rouge, Louisiana	Participation in Trial Advocacy Program	Reimbursement for lodging, mileage and meals
3.	Louisiana State Bar Association	09/21/2012	New Orleans, Louisiana	Participation in 19th Annual Admiralty Symposium	Reimbursement for lodging, mileage and parking
4.	LSU Law Center	09/24/2012 - 09/25/2012	Baton Rouge, Louisiana	Participation in Ira S. Flory Mock Trial Competition	Reimbursement for lodging and meals
5.					

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Minaldi, Patricia H.	06/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Minaldi, Patricia H.	06/14/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Legg Mason Cash Reserve Trust	A	Dividend	J	T					
2.	Smith Barney Trust Account*	A	Dividend	J	T	Closed				
3.	Smith Barney IRA*					Sold				
4.	- Covidien PLC	A	Dividend	J	T					
5.	- TE Connectivity LTD CHF	A	Dividend	J	T	Sold				
6.	- TYCO INTL LTD CHF	A	Dividend	J	T	Sold				
7.	- Frontier Communications Corp.			J	T	Sold				
8.	- Intel Corp.	A	Dividend	J	T					
9.	- Oracle Corp.	B	Dividend	J	T	Sold				
10.	- Toyota Motor Corp. ADR NEW	A	Dividend	K	T					
11.	- Verizon Communications	A	Dividend	J	T					
12.	- Legg Mason Opport. TR CL C			J	T					
13.	- Legg Mason Emerging Mkts Trust CL C			J	T					
14.	- Legg Mason Value Trust FD CL C			J	T					
15.	- Legg Mason Value Trust FD CL C			J	T					
16.	- Legg Mason SPEC INV TR CL C			J	T					
17.	AXA Equitable (formerly Fidelity Aggressive Growth)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Minaldi, Patricia H.	06/14/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AXA Equitable (formerly Fidelity Growth Companies)	B		K	T					
19. AXA Equitable (formerly Fidelity Value)	B	Dividend	L	T					
20. Spartan Total Market Index	A	Dividend	K	T					
21. AIM Equity Funds Blue Chip Fund	A	Dividend	K	T					
22. Hartford Mutual Funds Capital Appreciation Fund	A	Dividend	K	T					
23. Hartford Mutual Funds Midcap Fund	A	Dividend	K	T					
24. Hartford Mutual Funds Global Health Fund	A	Dividend	J	T					
25. First Trust Target VIP Portfolio	A	Dividend	J	T					
26. La. State Employee Retirement System*		None	L	T					Please see note Part VIII
27. Series EE Bonds		None	J	T					
28. Maxim Stock Index Portfolio		None	J	T					La. Deferred Comp. Plan
29. American Century Ultra Fund		None	J	T					La. Deferred Comp. Plan
30. Maxim INVESCO Small Cap Growth Portfolio		None	J	T					La. Deferred Comp. Plan
31. Western Asset Money Market	A	Dividend	J	T					
32. WR Advisors Science and Technology	E	Dividend	L	T					
33. Raymond James/Morgan Keegan Account									
34. -- Apple, Inc.	A	Dividend	J	T	Buy	12/18/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	-- Greenway Medical Technologies, Inc.		None	J	T	Buy	9/28/12	J		
36.	-- Intel Corp.		None	J	T	Buy (add'l)	9/18/12	J		
37.	-- McDonalds Corp.	A	Dividend	J	T	Buy	9/18/12	J		
38.	-- Toyota Motor Co. ADR 2 Comm.	B	Dividend	K	T	Buy	9/11/12	K	B	
39.	-- Verizon Communications	A	Dividend	J	T			J	A	
40.	-- Covidien PLC	A	Dividend	J	T	Buy	09/11/12	J	A	
41.	-- Covidien PLC		None	J	T	Buy (add'l)	9/18/12	J		
42.	Franklin Income Fund	B	Int./Div.	K	T					
43.										
44.										

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Previously reported as separate accounts and are now consolidated. (F om Part VII, record 2).
2. IRA inherited [redacted] which is now invested in companies listed. (From Part VII, record 3).

3. La. State Employee Retirement Plan is in litigation (From Part VII, record 26).

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Minaldi, Patricia H.	06/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patricia H. Minaldi

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544